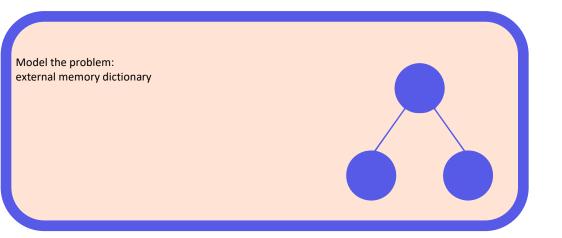
CS 6530: Advanced Database Systems Fall 2023

# Lecture 10 Write-Optimized Indexes

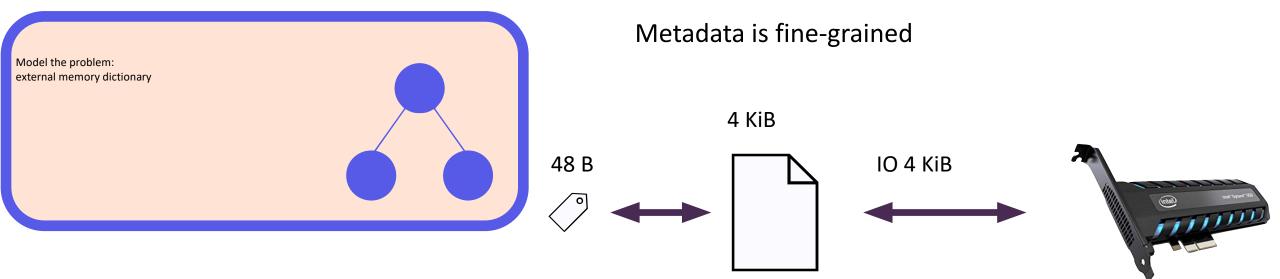
# Prashant Pandey prashant.pandey@utah.edu

Slides taken from Prof. Alex Conway, Cornell Tech

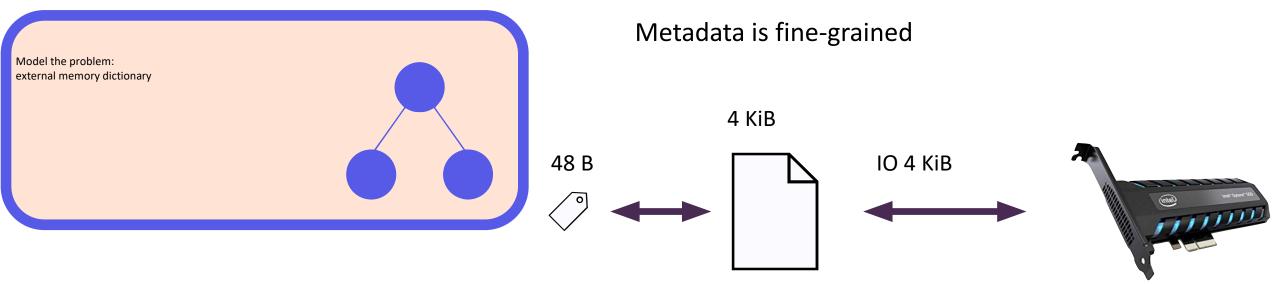




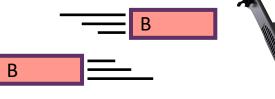










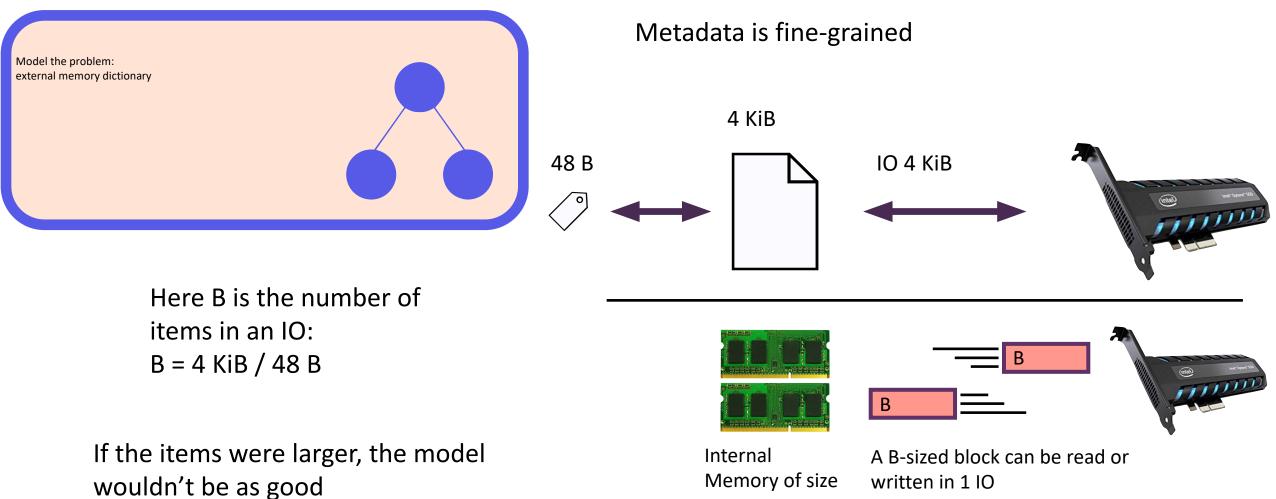




Internal Memory of size M A B-sized block can be read or written in 1 IO

**External Memory Model** 

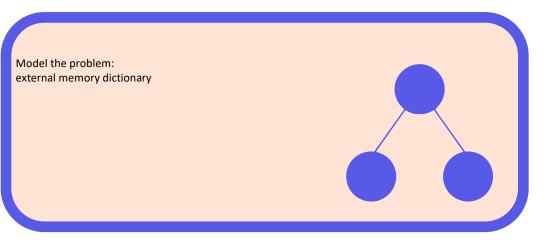




Μ

**External Memory Model** 





Two Flavors of External-Memory Dictionary

Different lower bounds (performance limits)



### Comparison-Based Dictionaries

#### Comparison External Memory Model

	<	
user024299	=	user082587
	>	



### Comparison-Based Dictionaries

#### Comparison External Memory Model





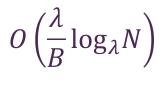
#### Comparison-Based Dictionaries

#### Comparison External Memory Model



Lower bounds for external memory dictionaries, Brodal, G., Fagerberg, R. SODA '03 Brodal-Fagerberg Lower Bound

Insertions in



Lookups in

 $\Omega(\log_{\lambda} N)$ 

where  $\lambda$  is a tuning parameter



External Memory Model



**External Memory Model** 

user024299

YOU REALLY CAN DO WHATEVER YOU WANT



**External Memory Model** 

user024299

Hashing

XXH(user024299)

YOU REALLY CAN DO WHATEVER YOU WANT



**External Memory Model** 

user024299

Hashing

XXH(user024299)

Filters

qf\_insert(user024299)





**External Memory Model** 

user024299

Hashing

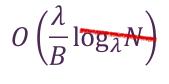
XXH(user024299)

Filters

qf\_insert(user024299)

YOU REALLY CAN DO WHATEVER YOU WANT Iacono-Pătrașcu Lower Bound

Insertions in



Lookups in

 $\Omega(\log_{\lambda} N)$ 

where  $\lambda$  is a tuning parameter

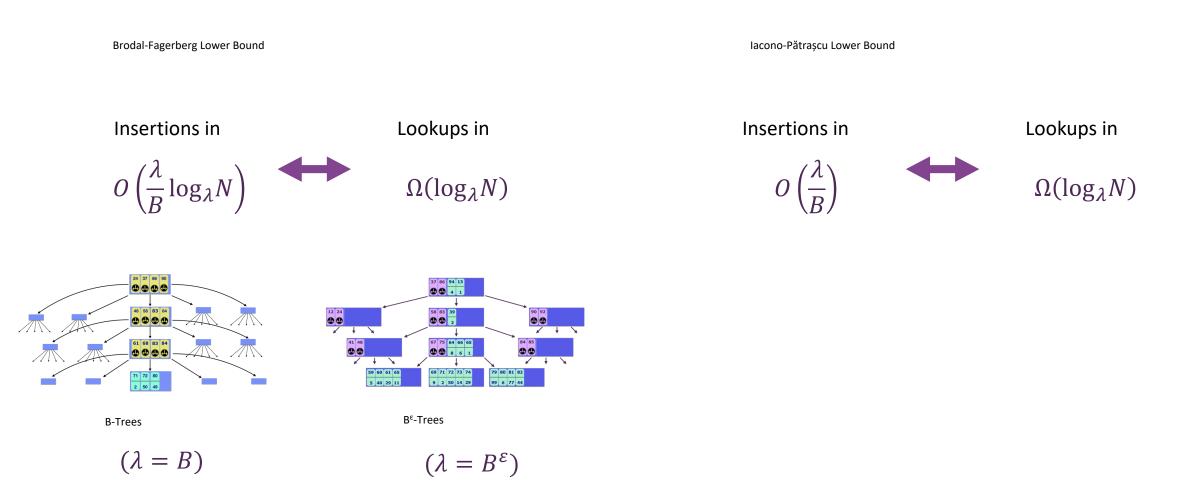
Using hashing to solve the dictionary problem (in external memory), Iacono, J., Pătrașcu, M. SODA '12



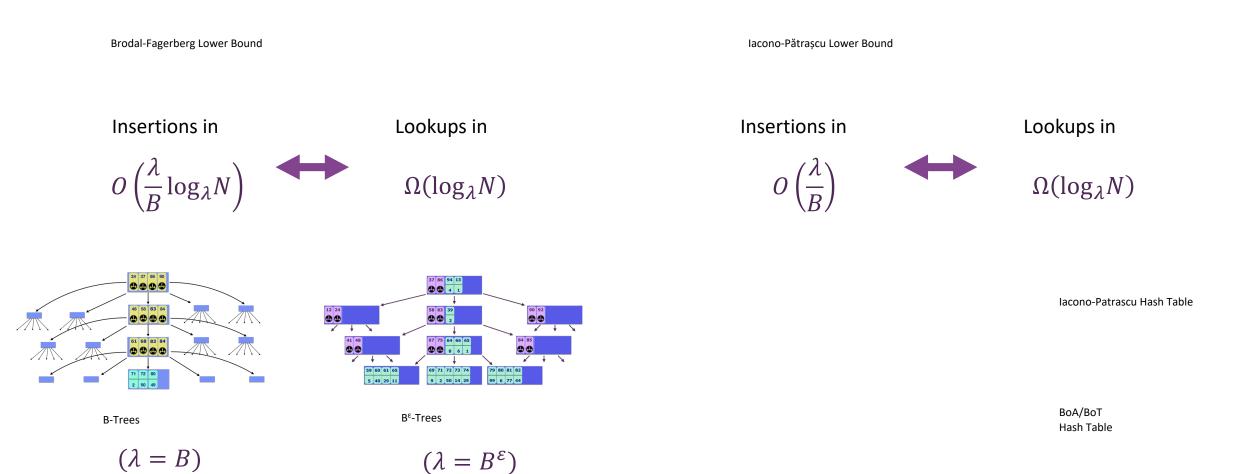


Comparison External Memory Model

SCHOOL OF COMPUTING UNIVERSITY OF UTAH General External Memory Model

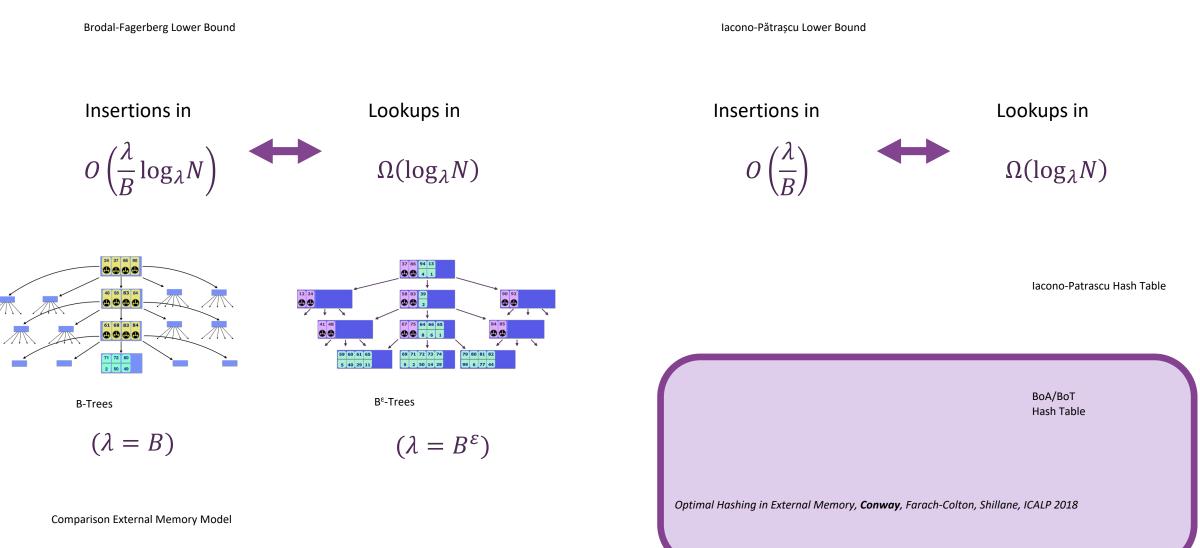


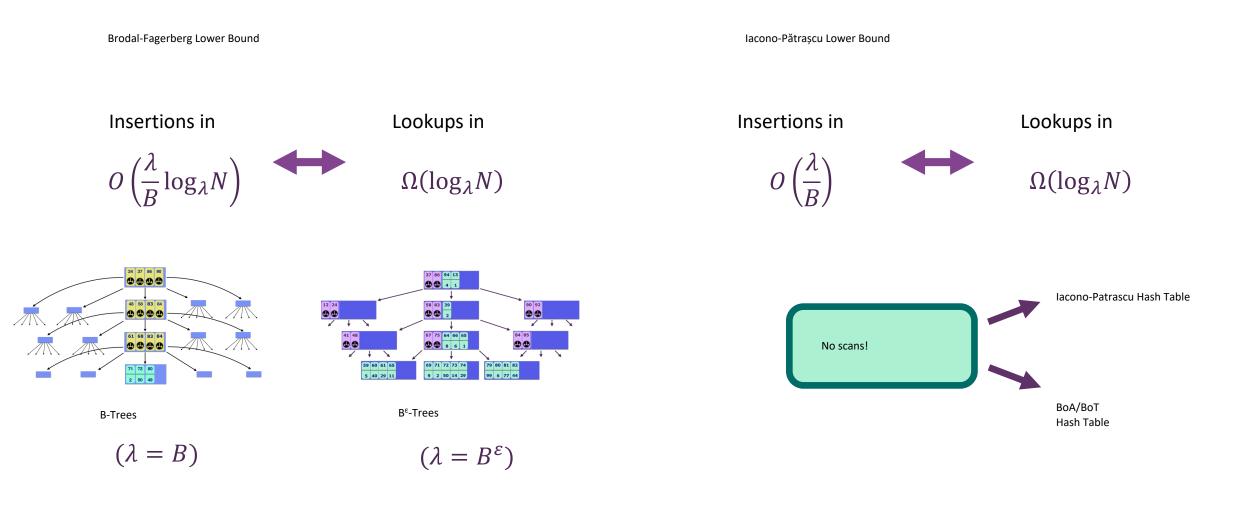
Comparison External Memory Model



Comparison External Memory Model

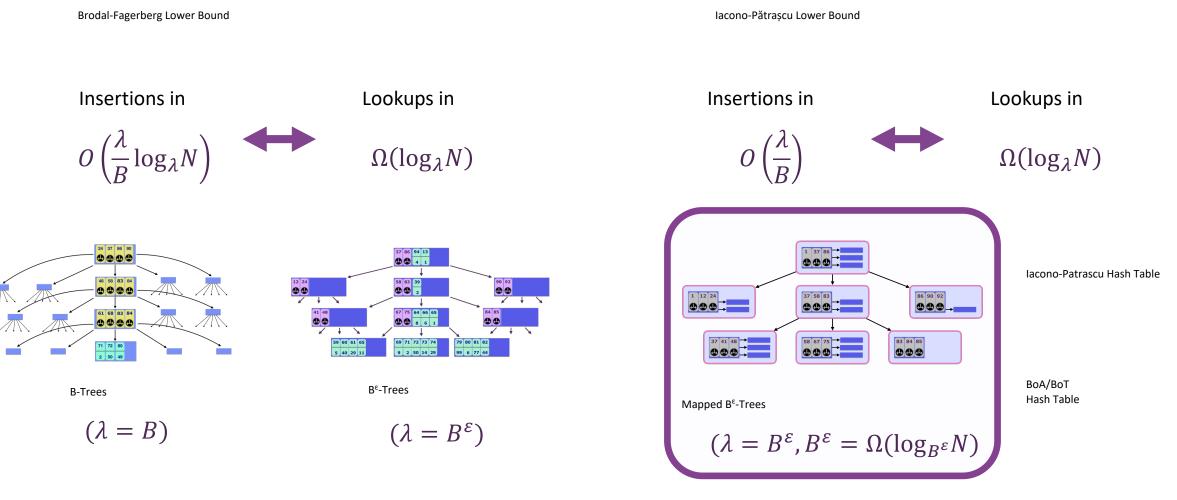
General External Memory Model





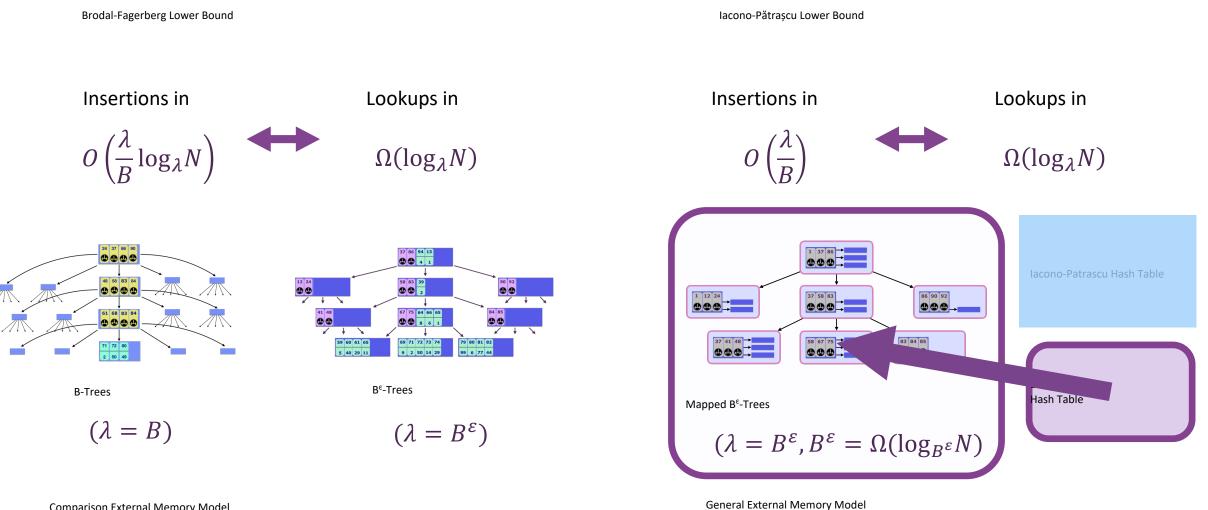
Comparison External Memory Model





Comparison External Memory Model

General External Memory Model



Comparison External Memory Model

# I/O Amplification

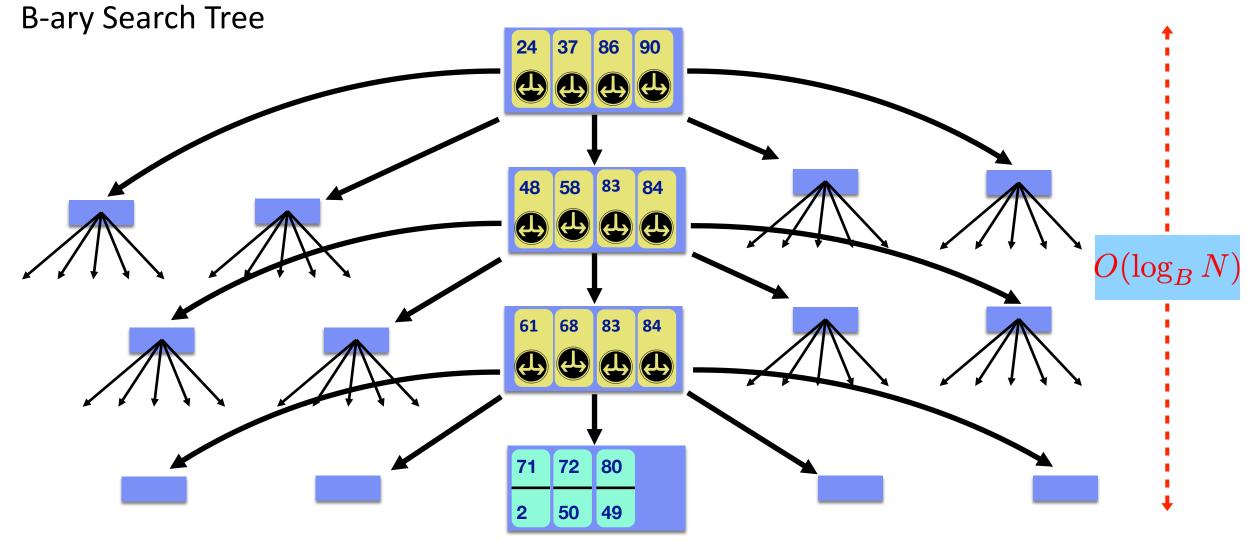
**Read amplification** is the ratio of the number of blocks read from the disk versus the number of blocks required to read the key-value pair.

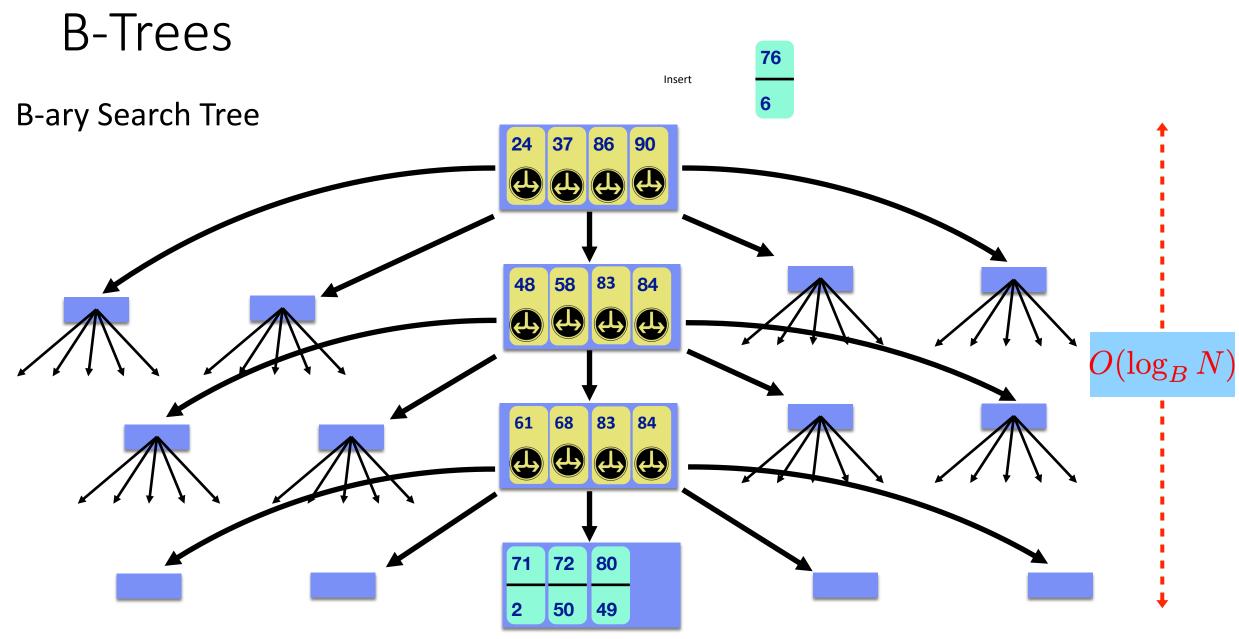
Write amplification is the ratio of the number of blocks written to the disk versus the number of blocks required to write the key-value pair.

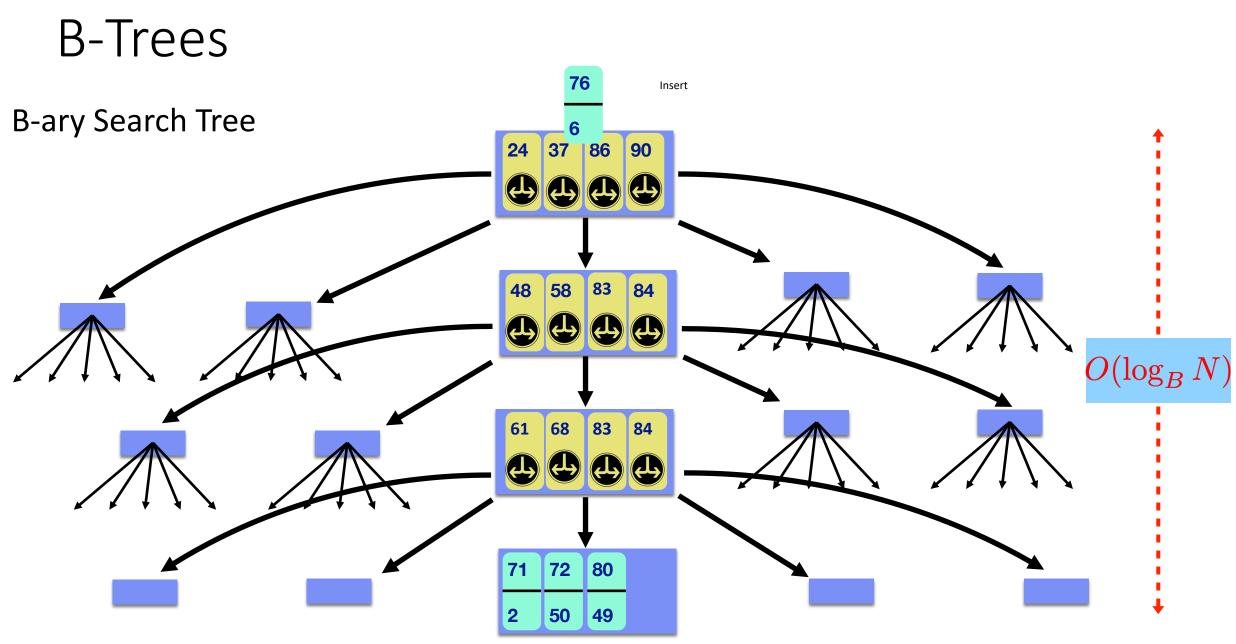


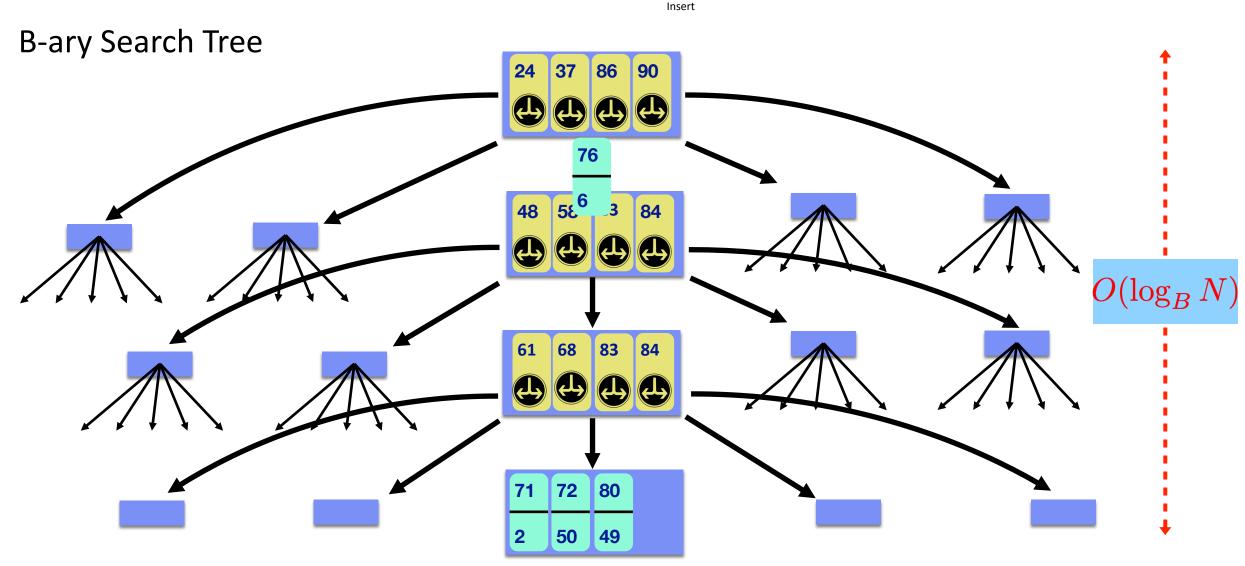


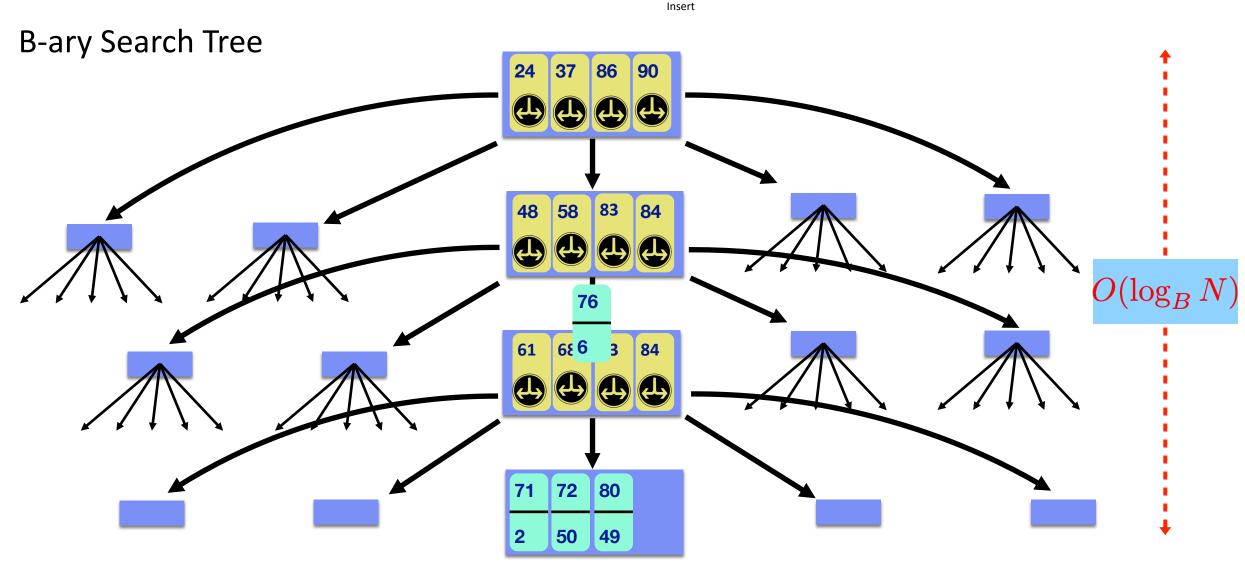
**B**-Trees

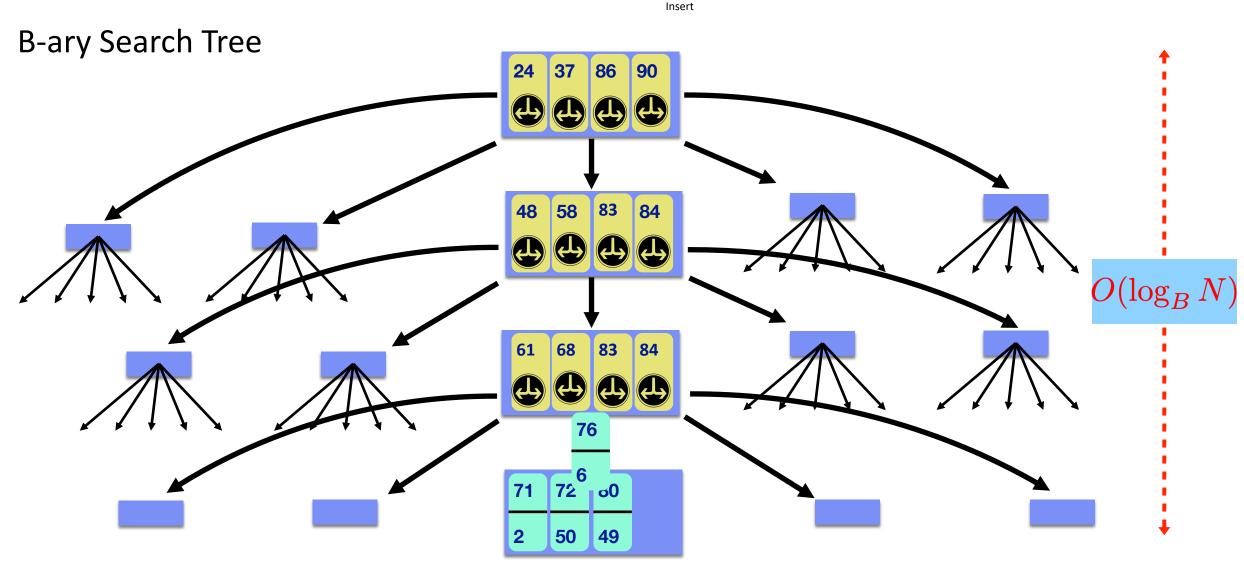


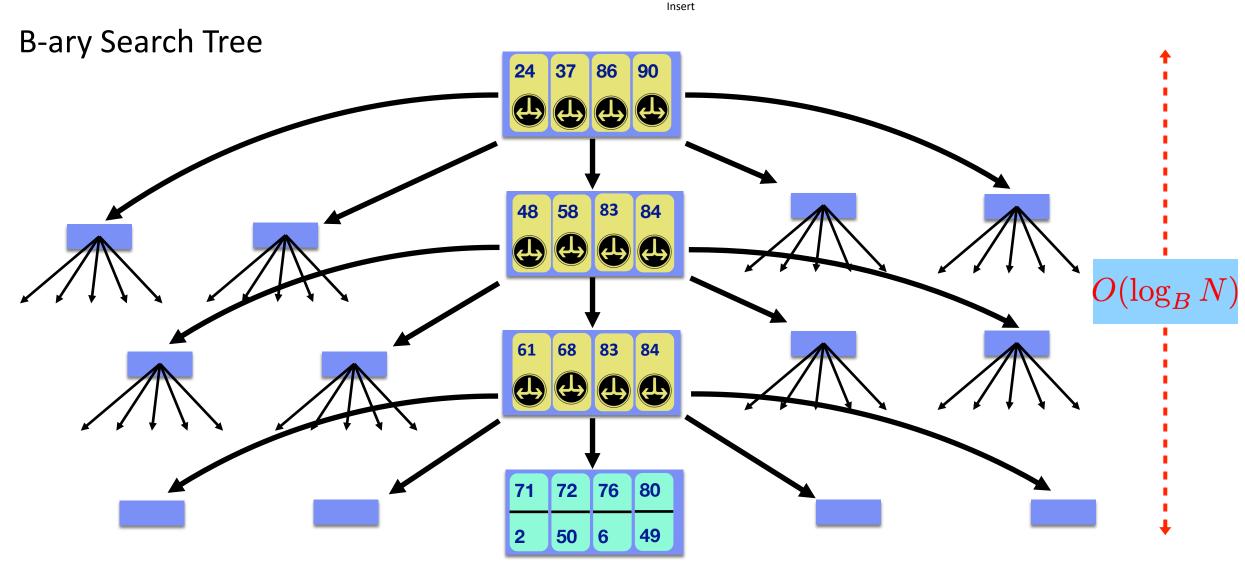




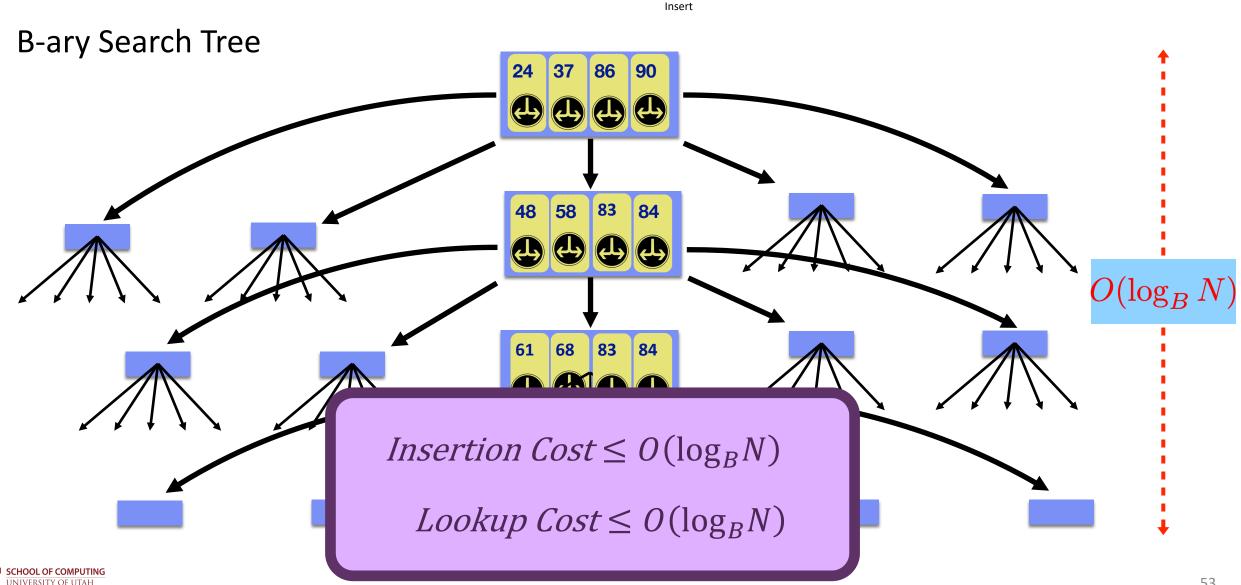










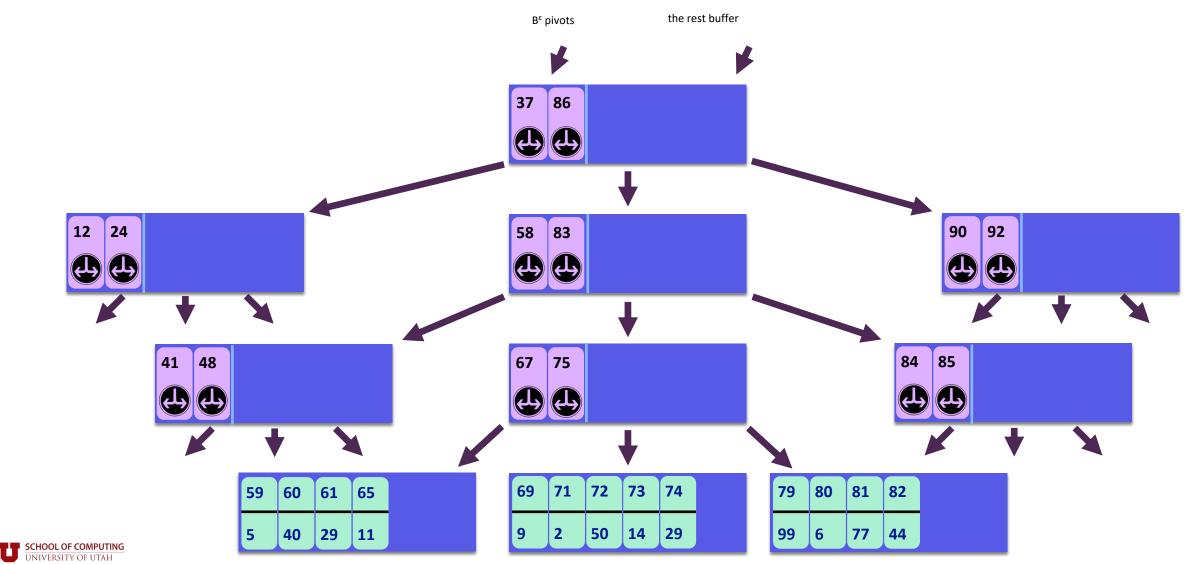






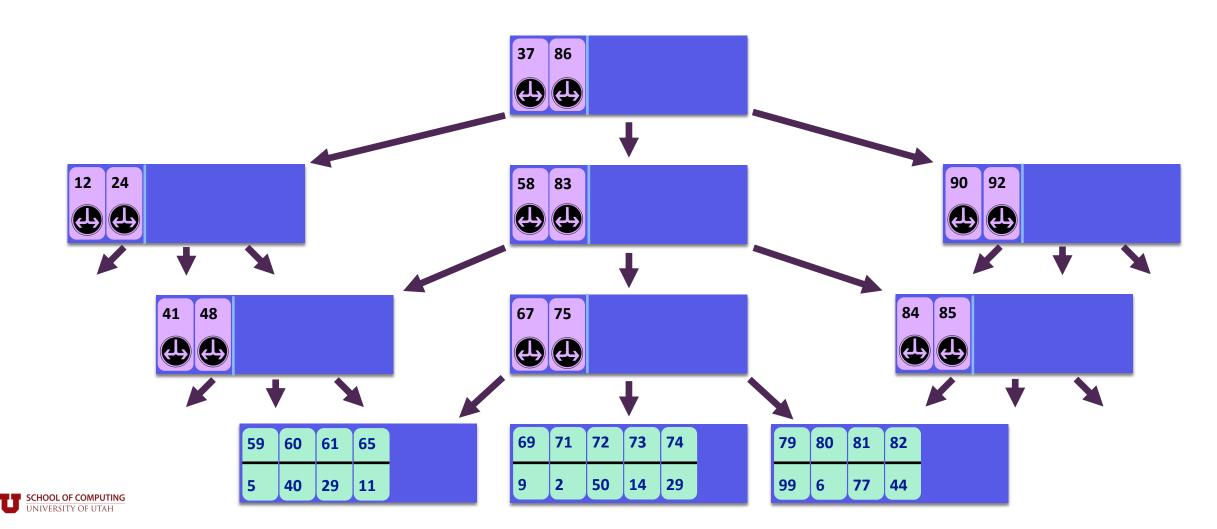


A B<sup>ε</sup>-tree is a search tree (like a B-tree)

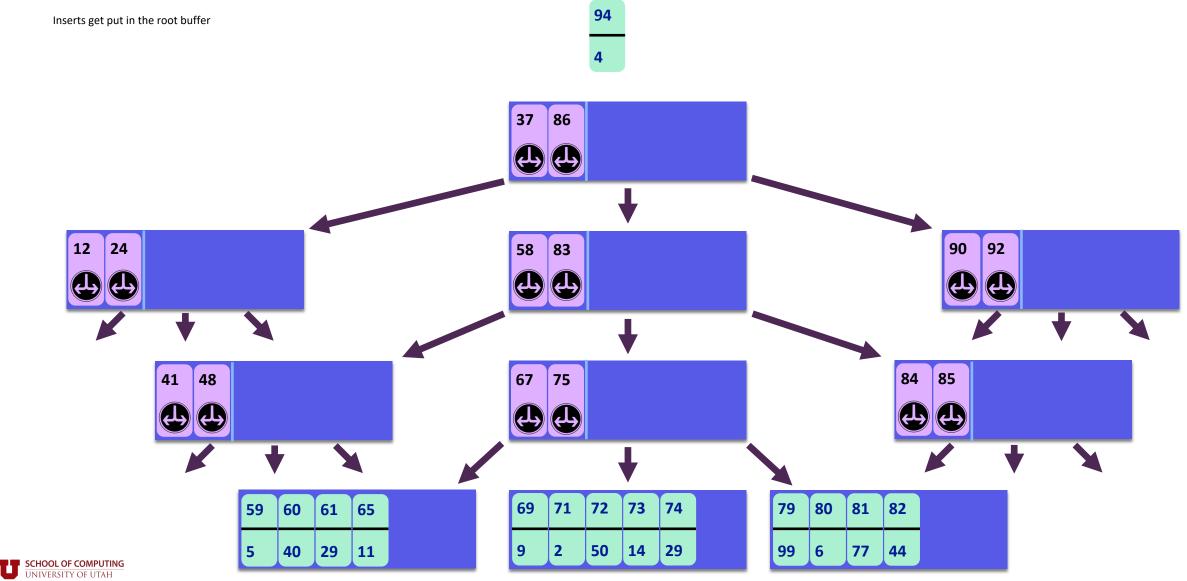




Inserts get put in the root buffer

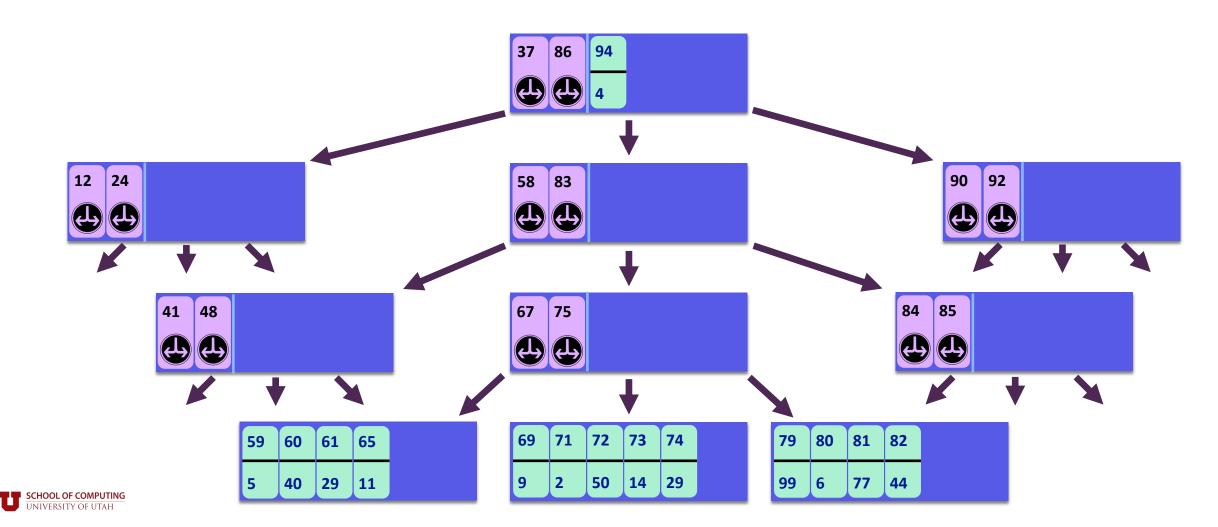




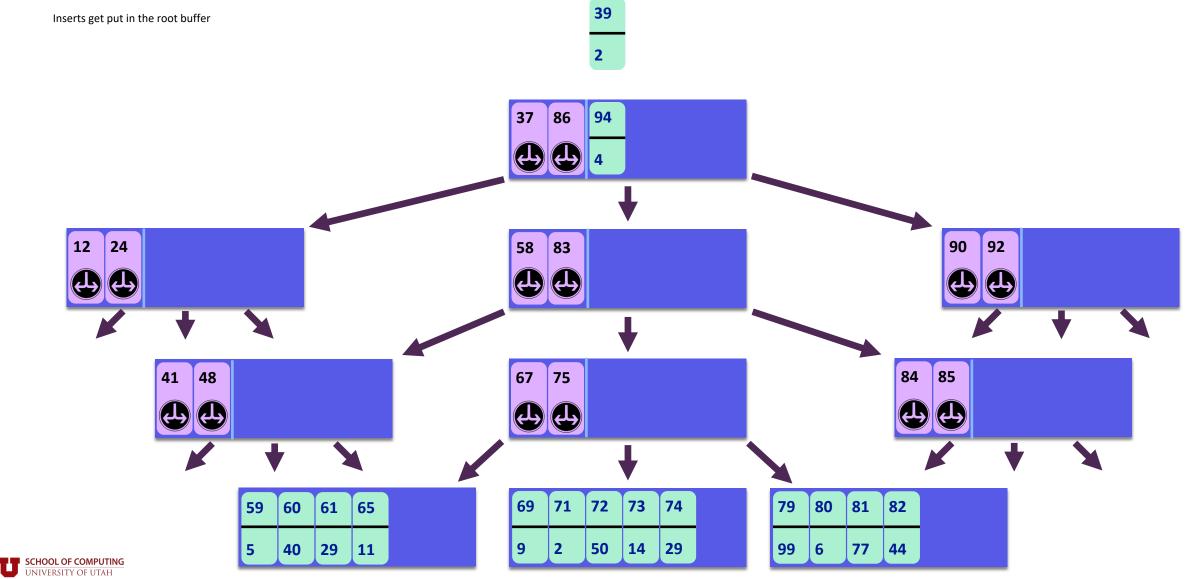




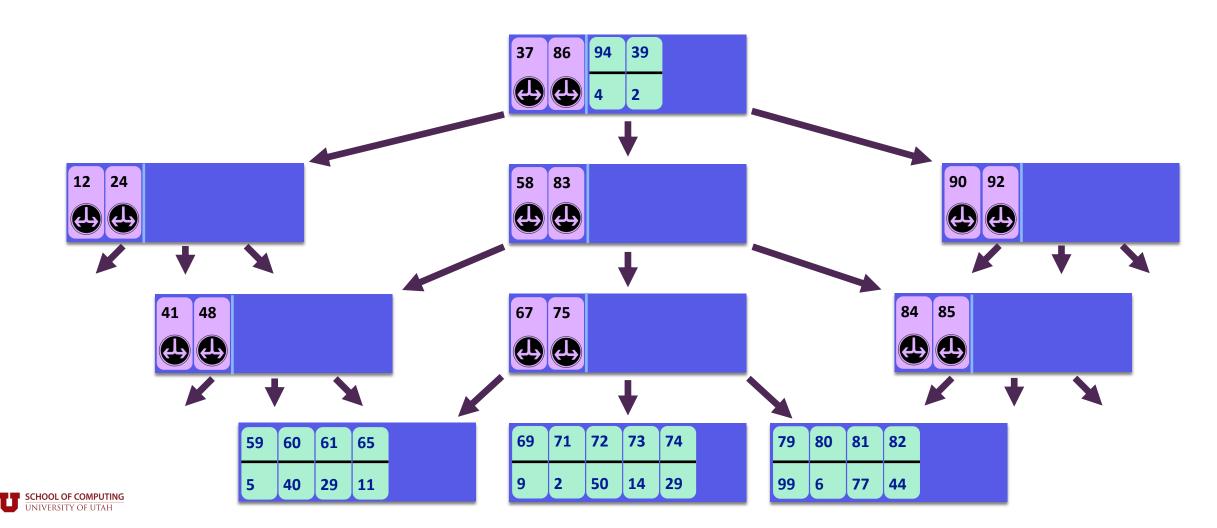
Inserts get put in the root buffer



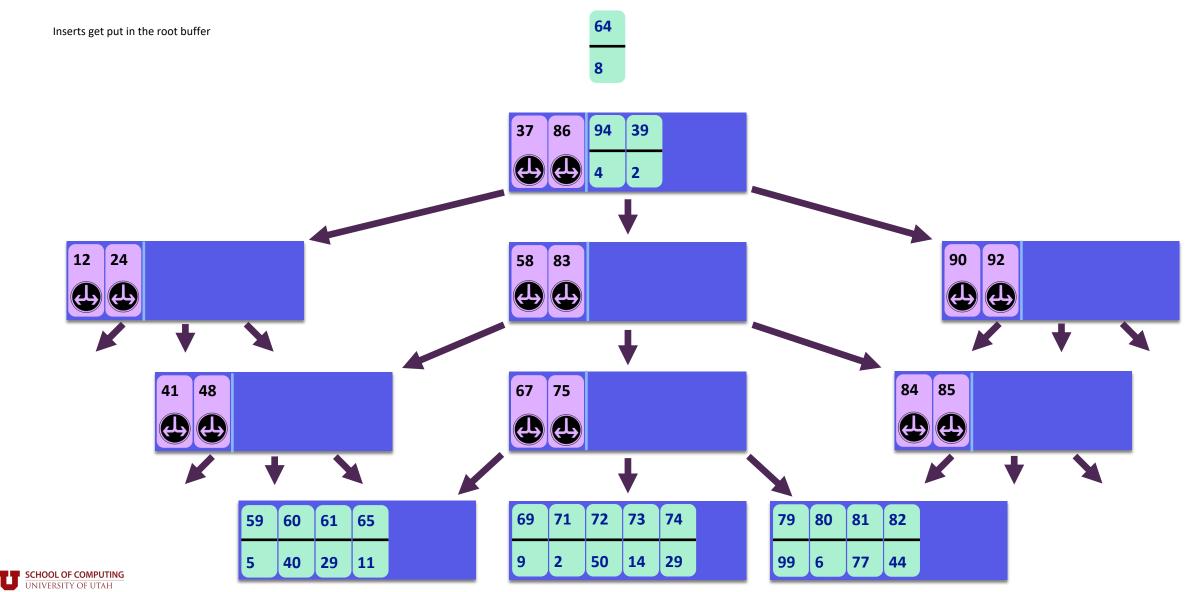




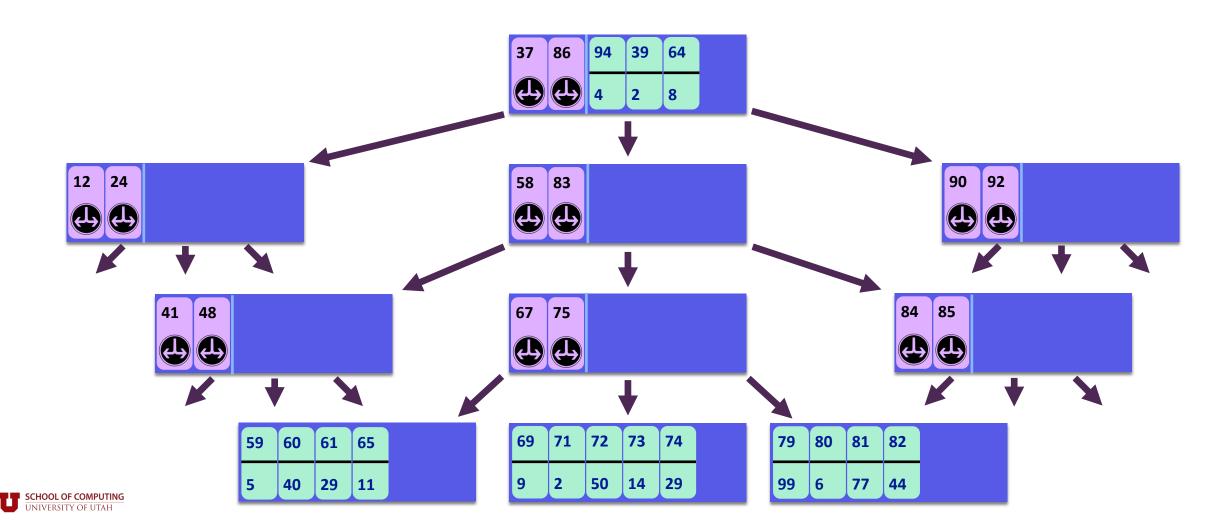




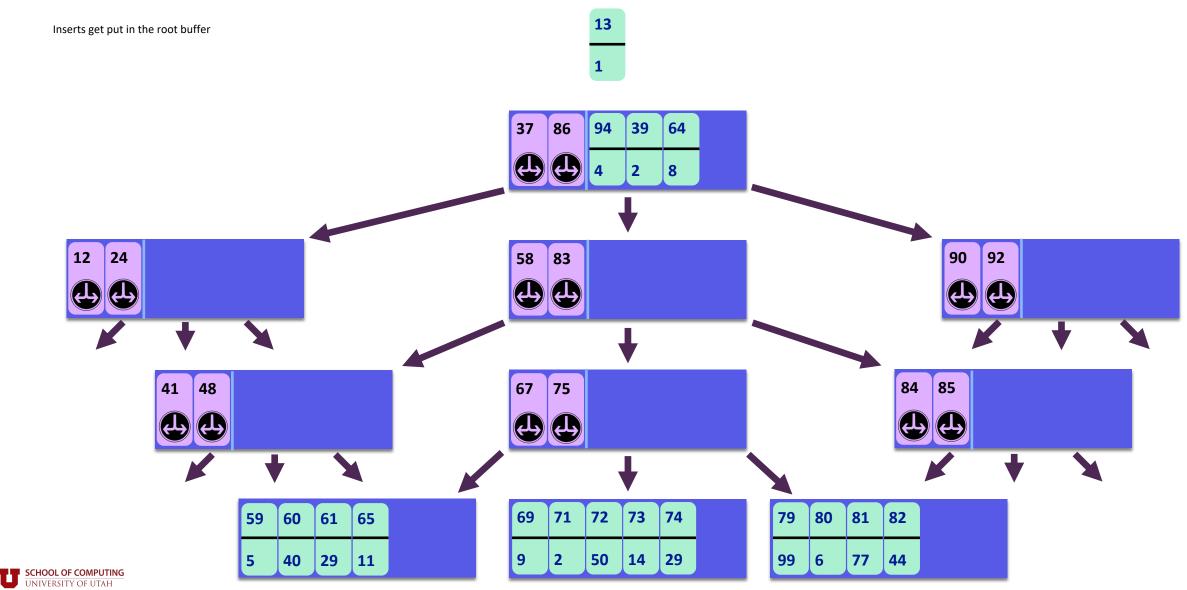




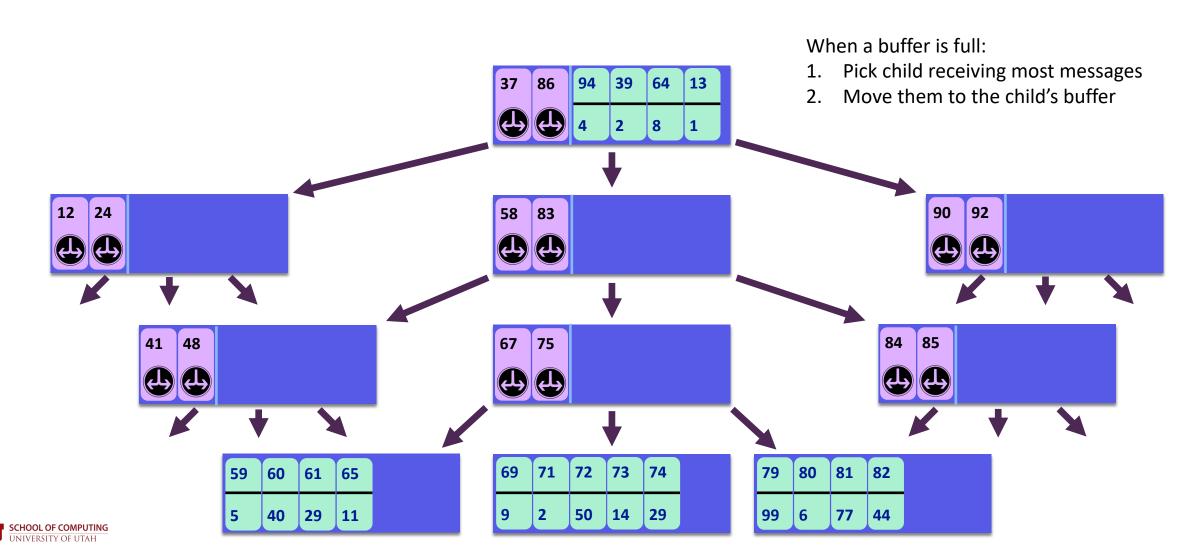




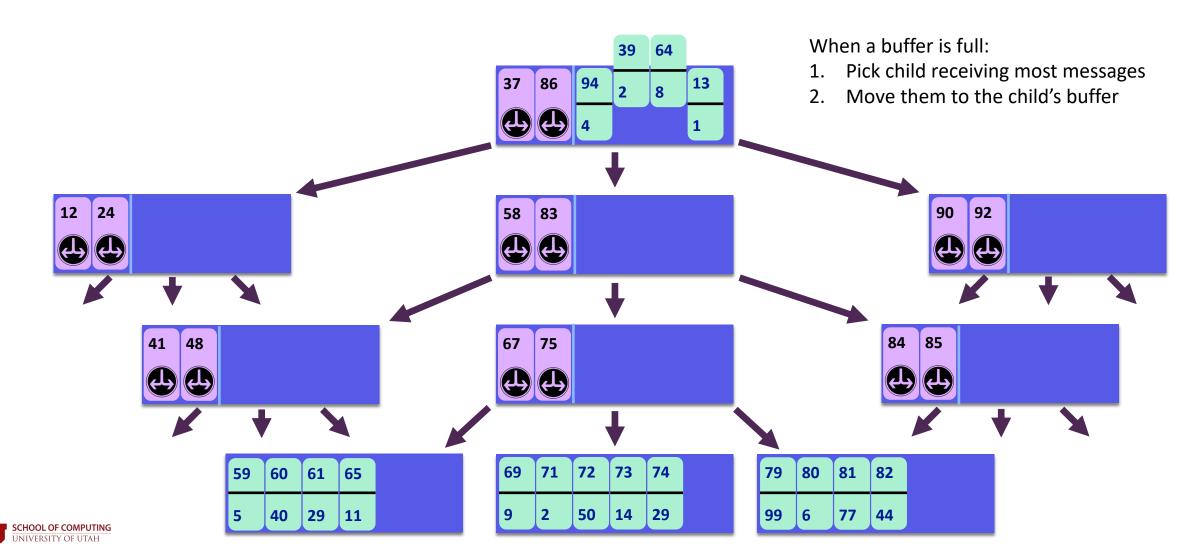




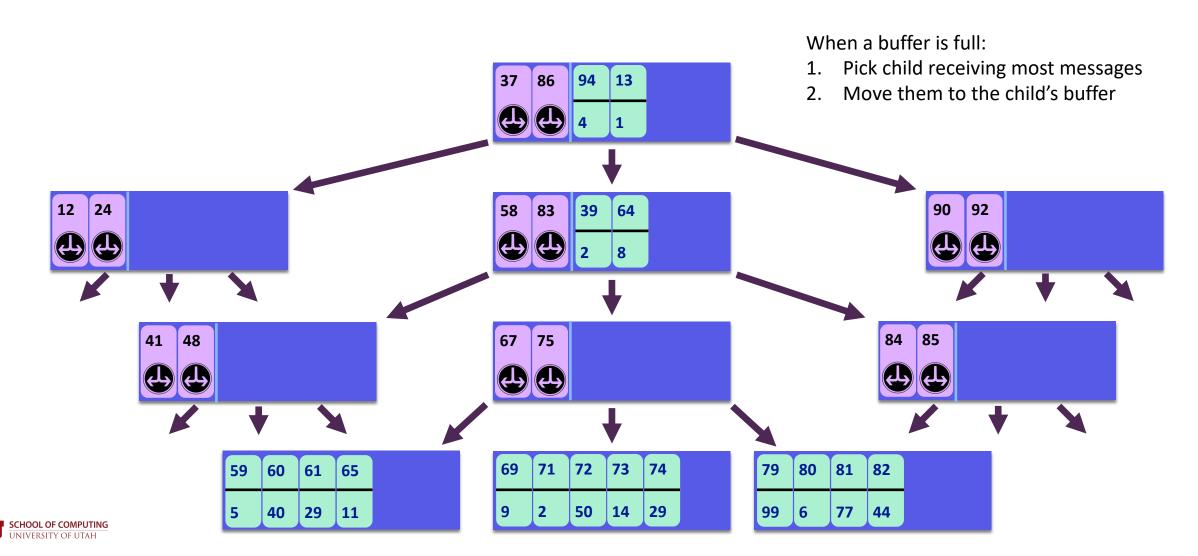




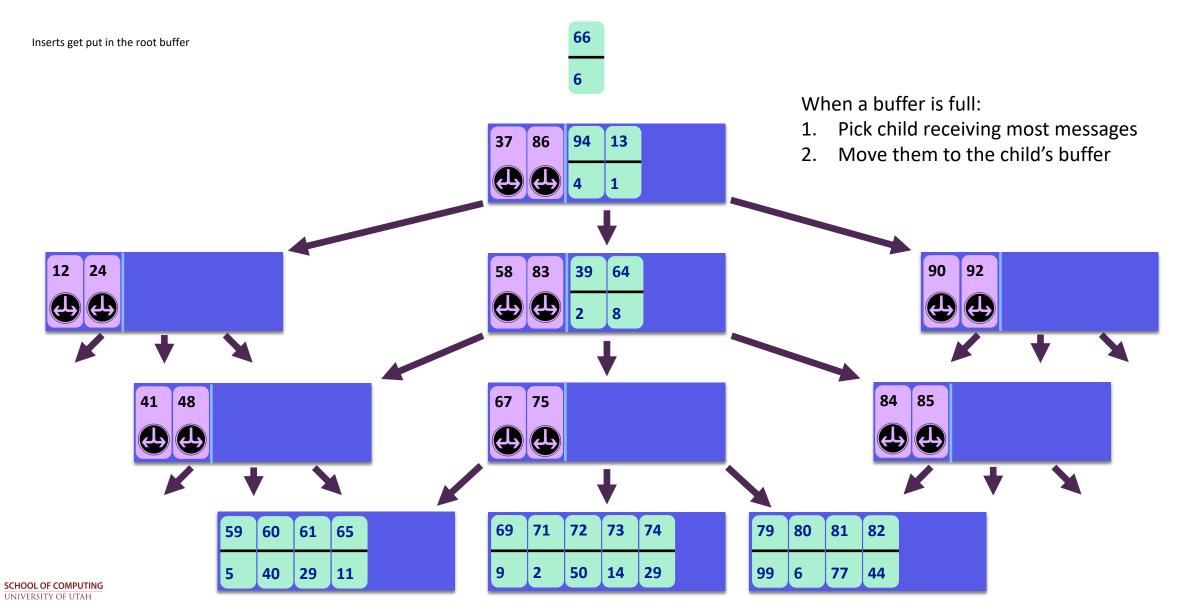




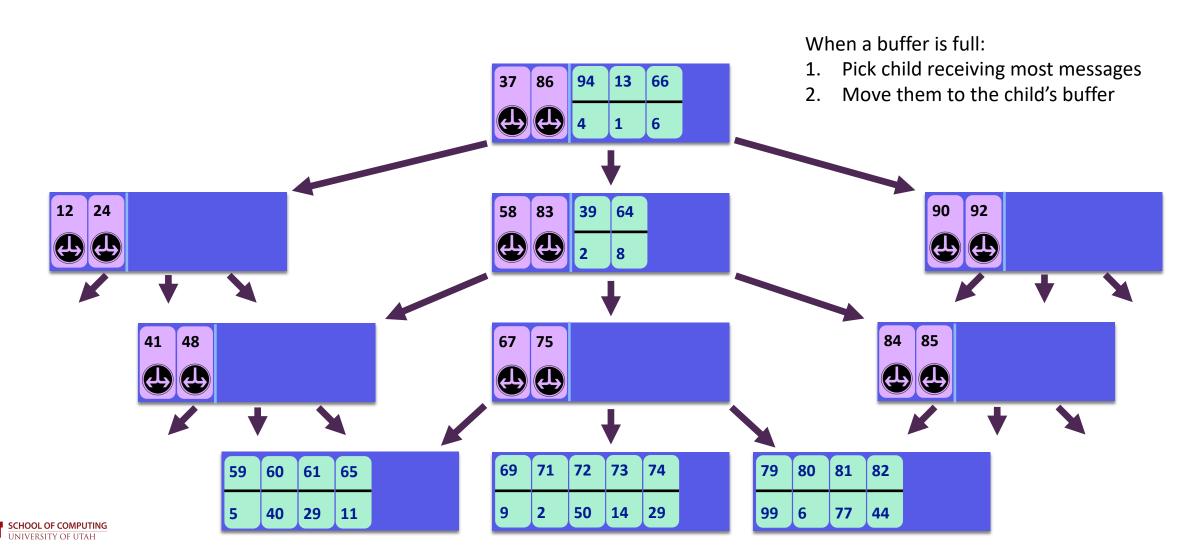




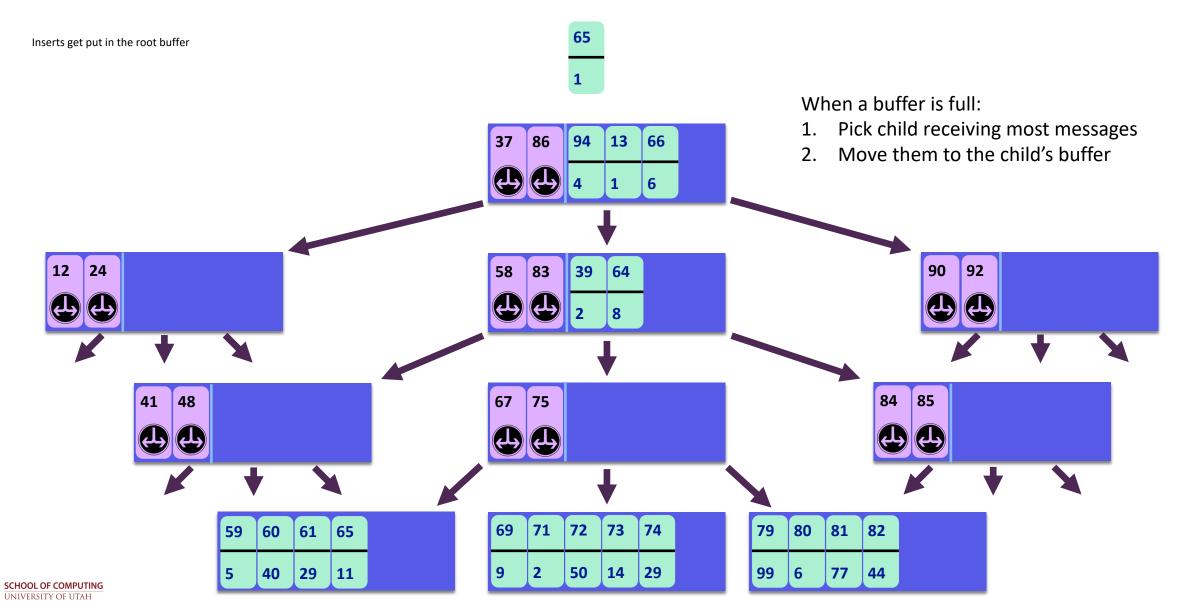
#### B<sup>ε</sup>-Trees



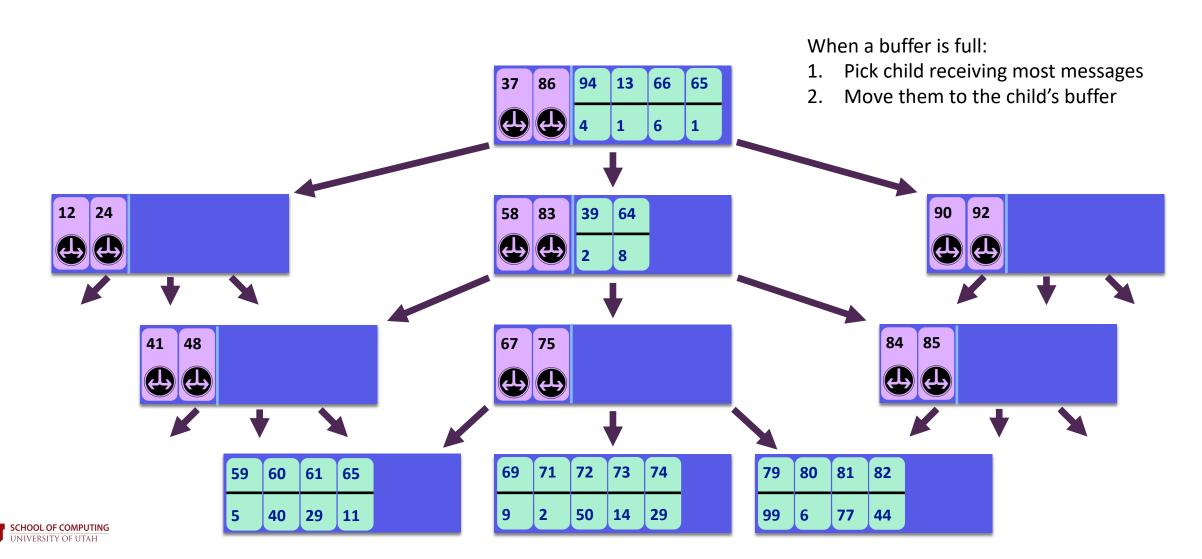




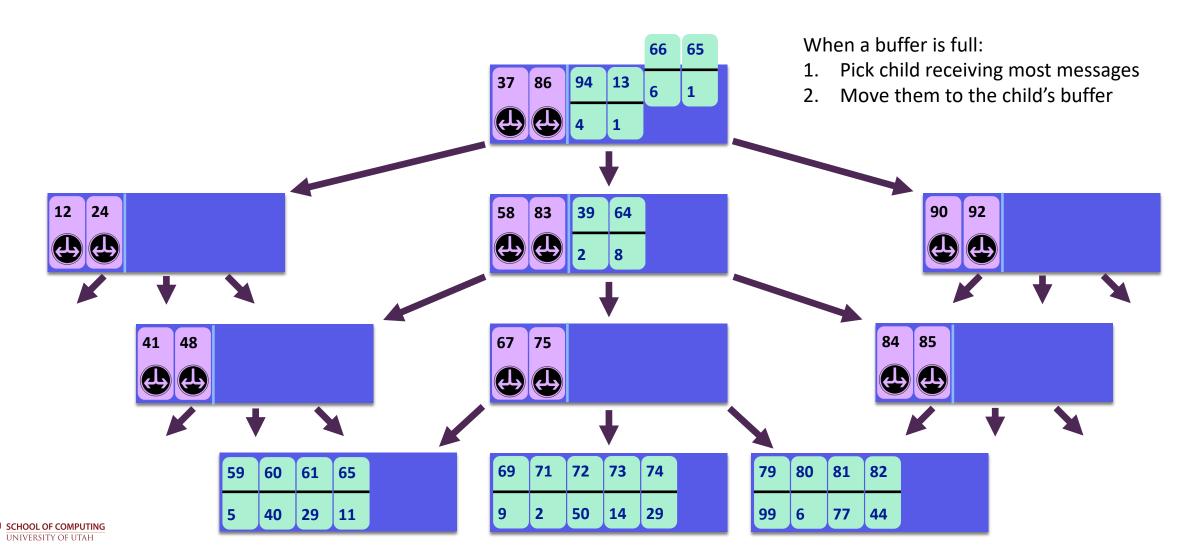
#### B<sup>ε</sup>-Trees



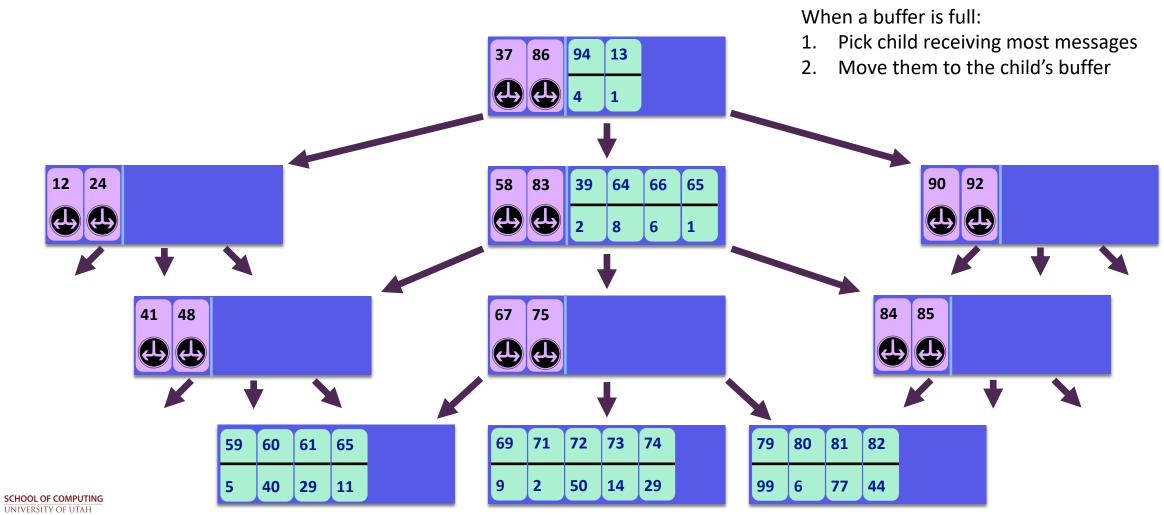






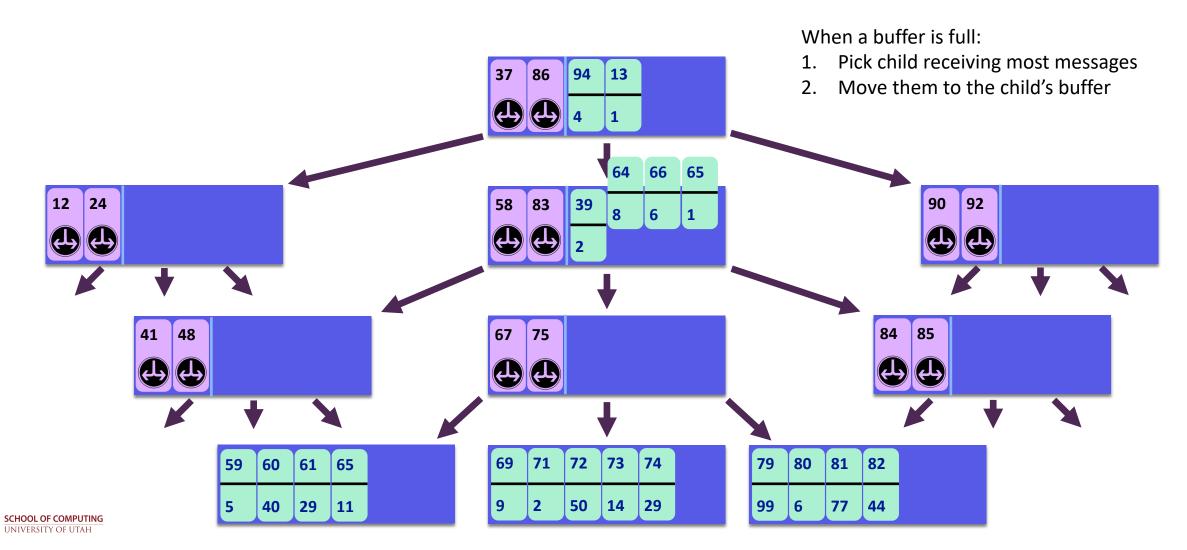




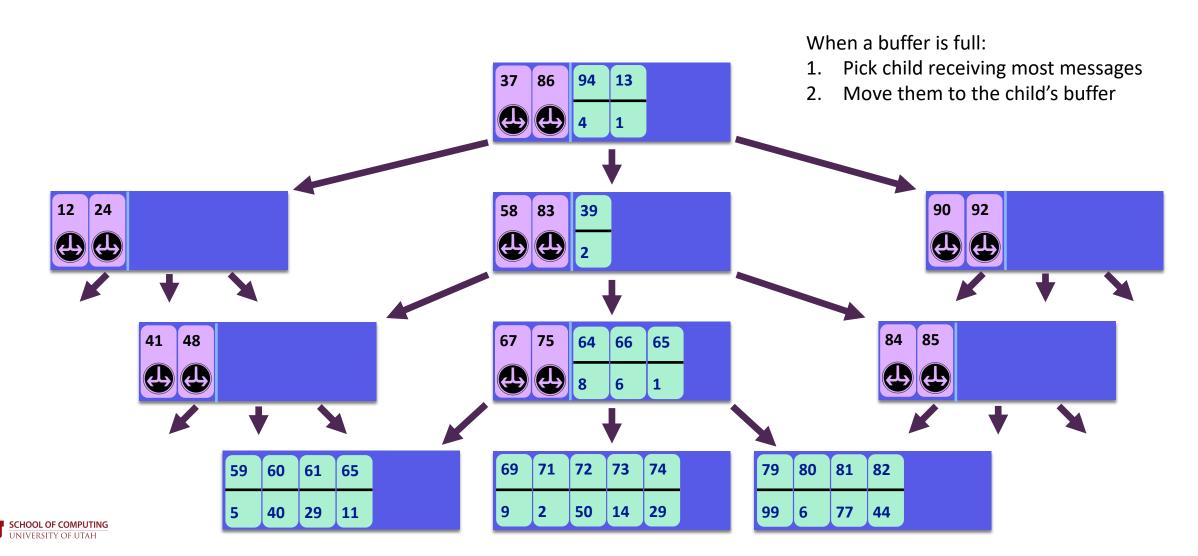


72





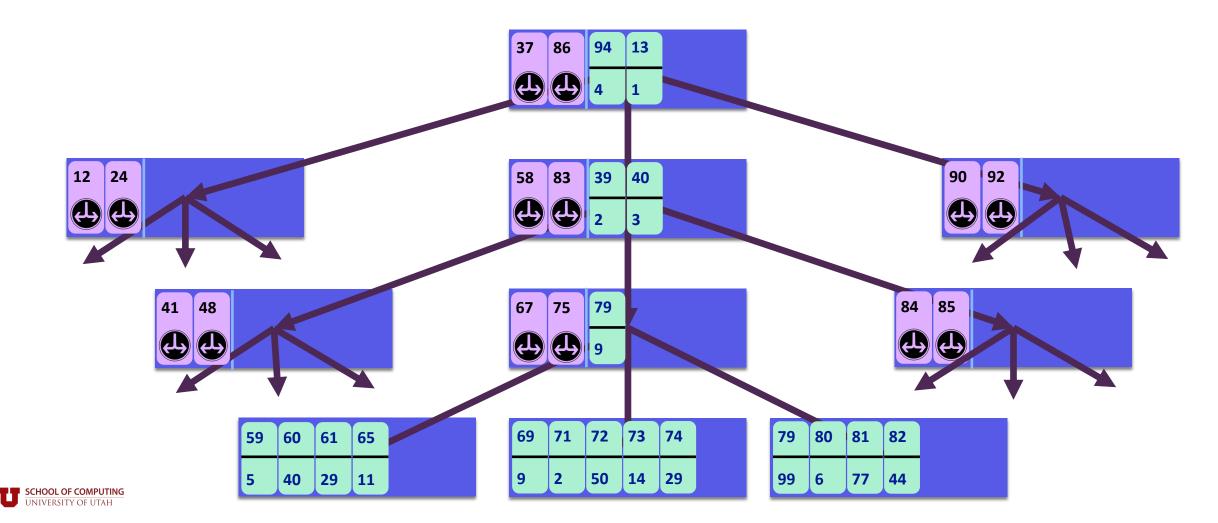




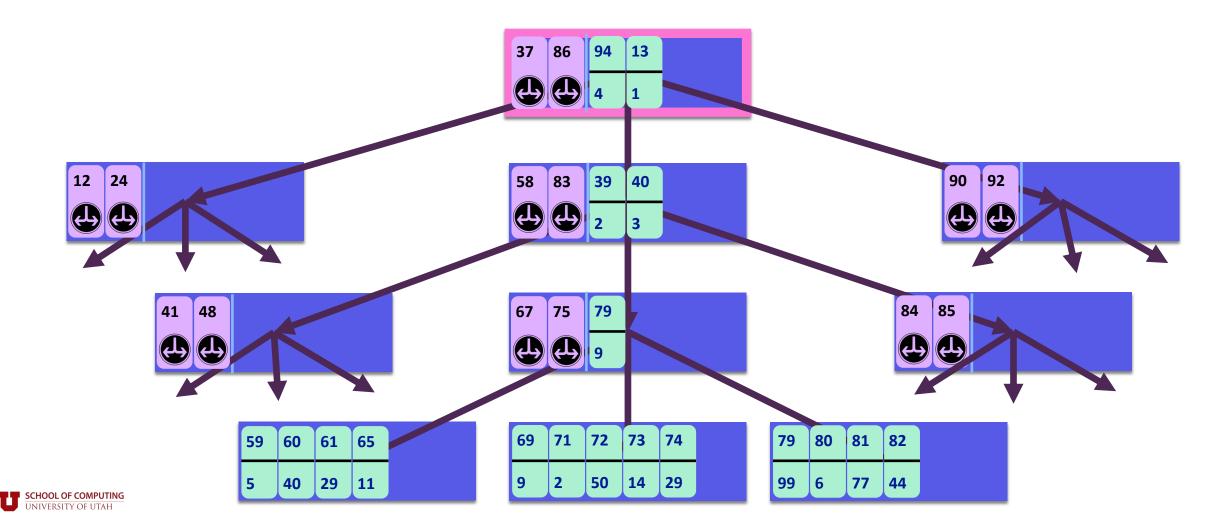
#### Lookups in $B^{\varepsilon}$ -Trees



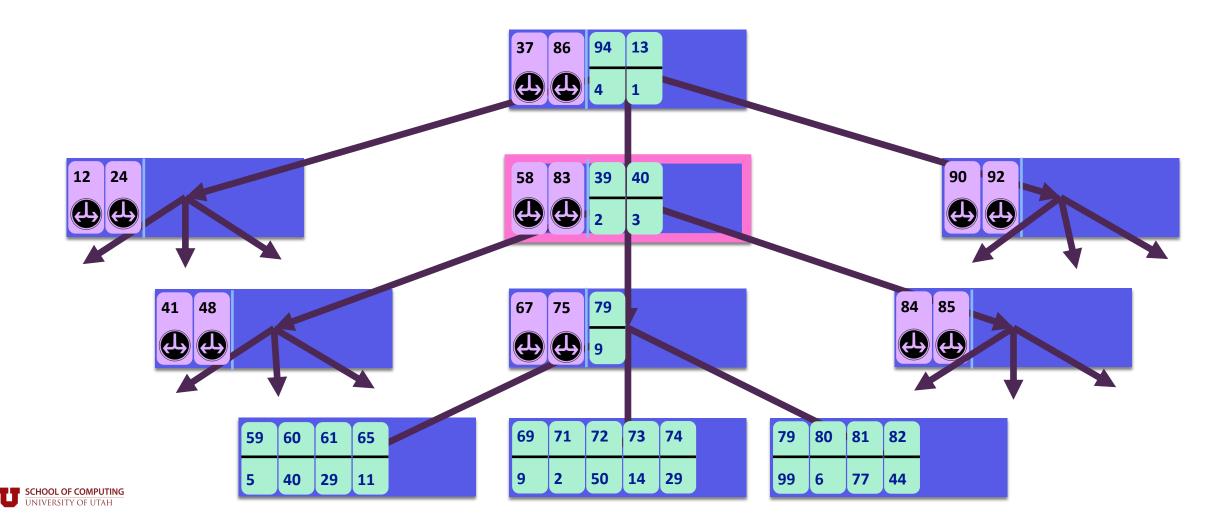




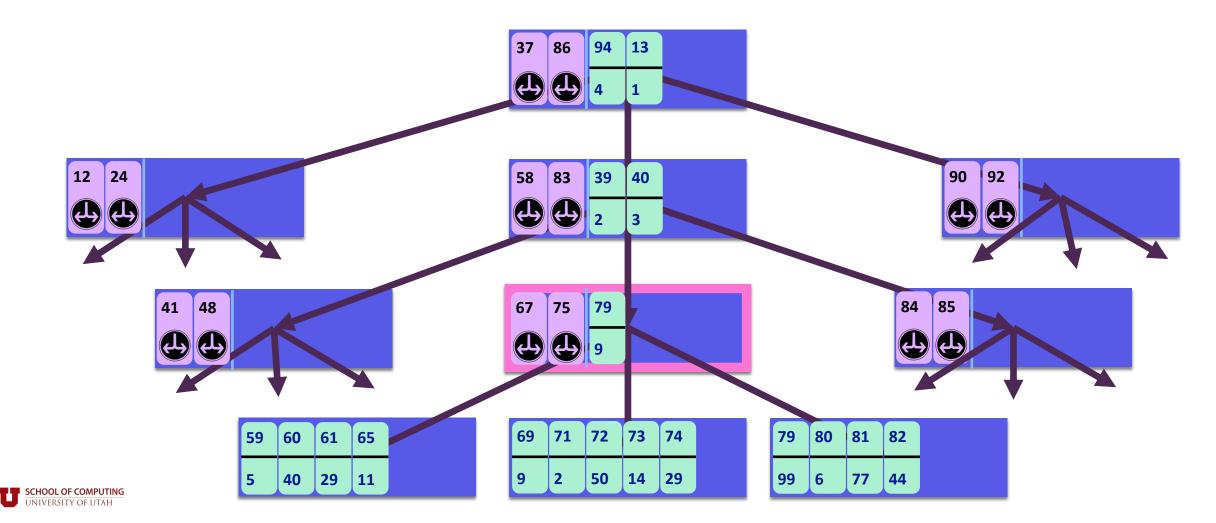








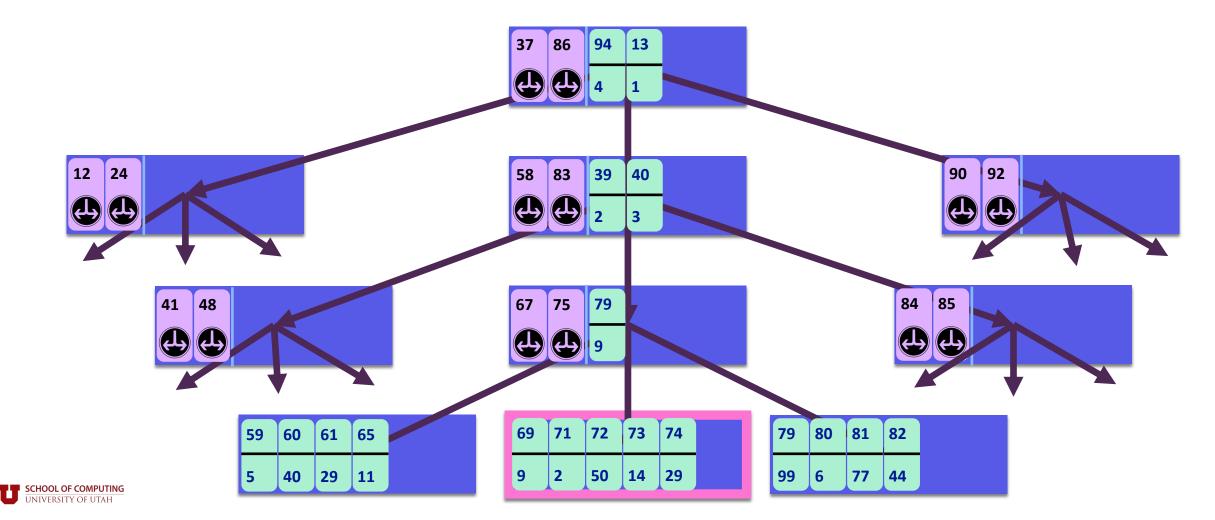






Lookups follow pivots, but check buffers along the way

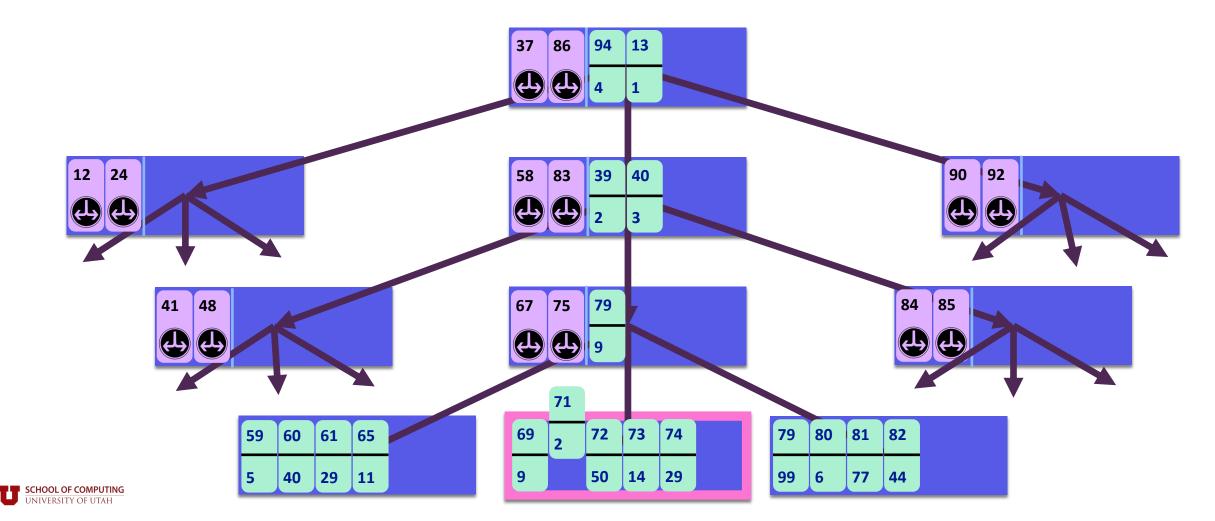
 $Query(71) \longrightarrow 2$ 





Lookups follow pivots, but check buffers along the way

 $Query(71) \longrightarrow 2$ 



#### Insertions in $B^{\epsilon}$ -Trees are more expensive than they look



#### Insertions in $B^{\epsilon}$ -Trees are more expensive than they look

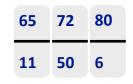
#### (Also most LSMS)



65	72	80	
11	50	6	

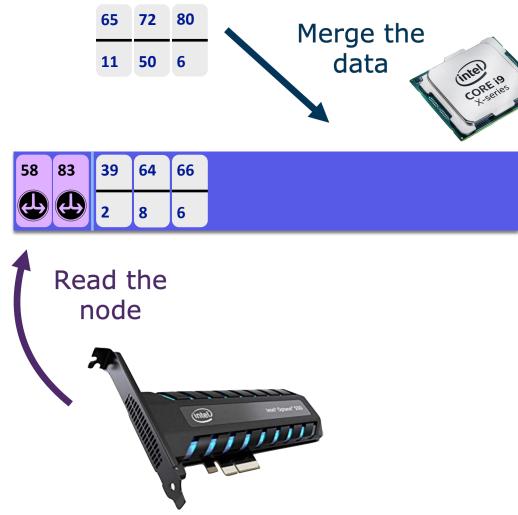


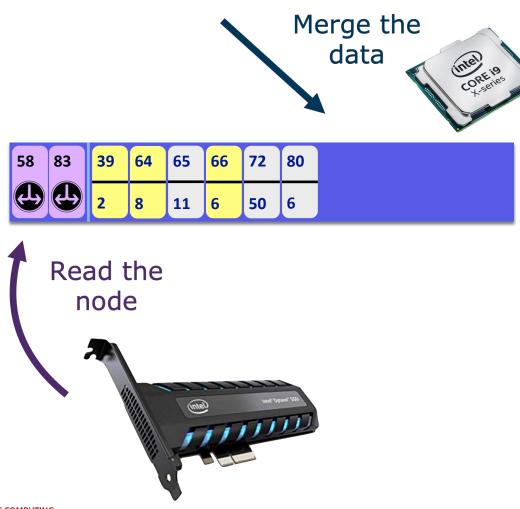


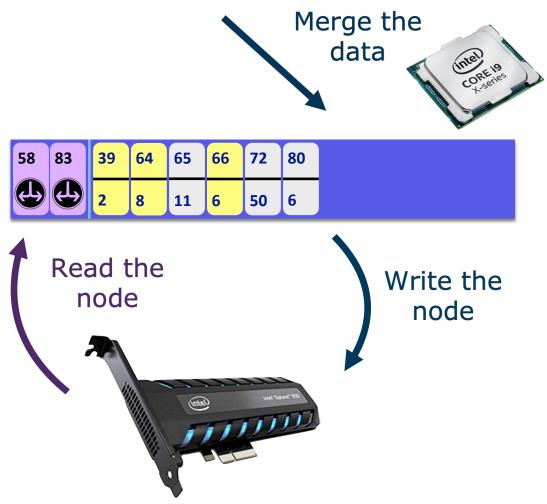


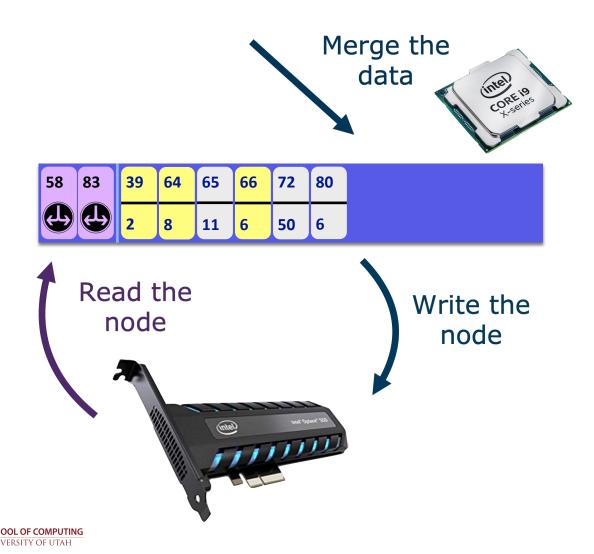
58 83	39	64	66	
	2	8	6	
Rea	ad t ode			

UNIVERSITY OF UTAH



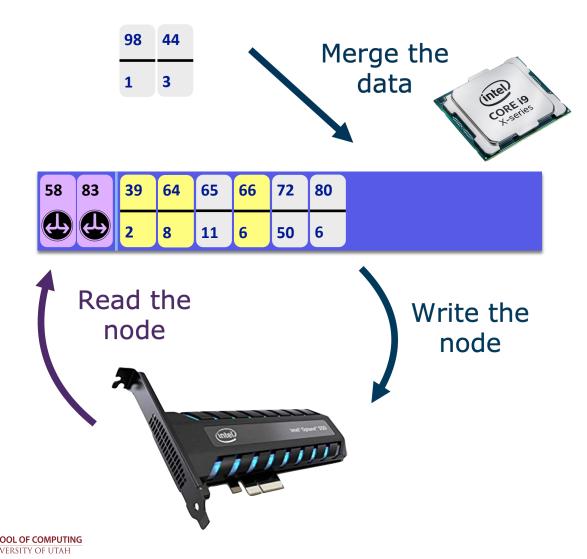






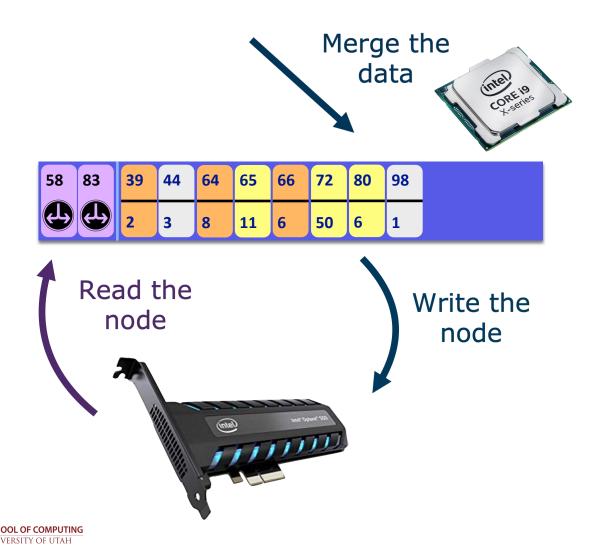
**CPU Work** = O(old + new)

**Volume of IO** = O(old + new)



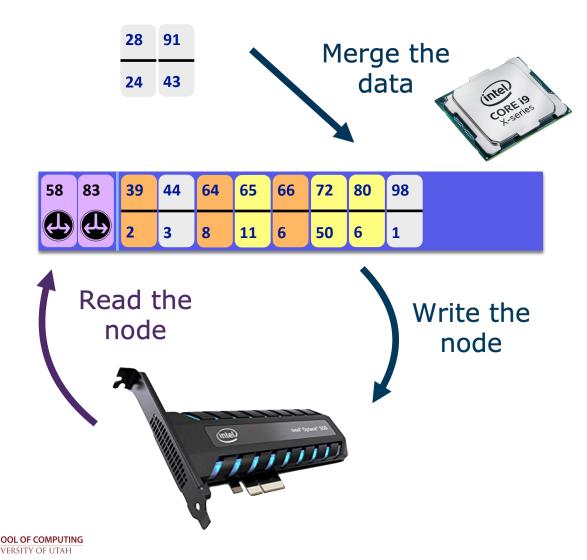
**CPU Work** = O(old + new)

**Volume of IO** = O(old + new)



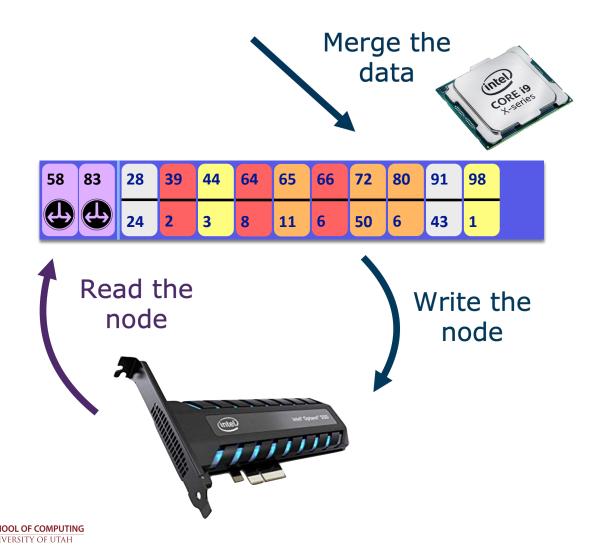
**CPU Work** = O(old + new)

**Volume of IO** = O(old + new)



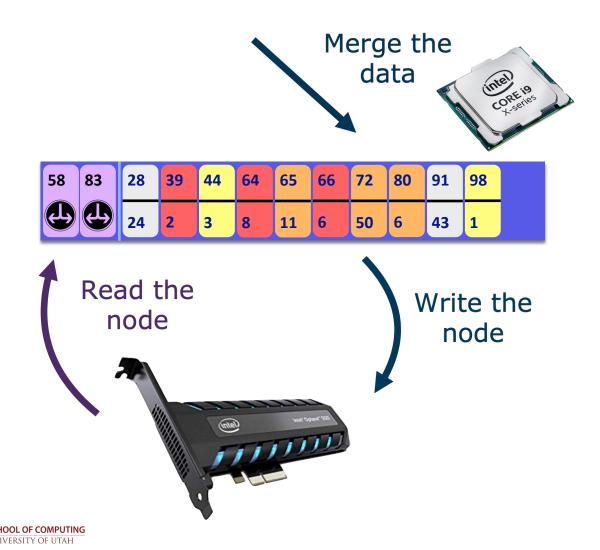
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**Volume of IO** = O(old + new)

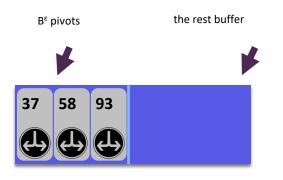
Older data gets written over and over again

Up to  $B^{\varepsilon}$  times per node!

SplinterDB: Closing the Bandwidth Gap for NVMe Key-Value Stores Conway, Gupta, Chidambaram, Farach-Colton, Spillane, Tai, Johnson, ATC 2020



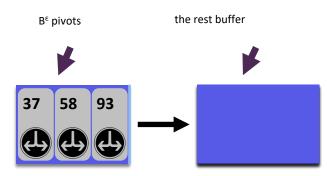
A Size-Tiered B<sup> $\epsilon$ </sup>-tree is a B<sup> $\epsilon$ </sup>-tree where the buffer is stored discontiguously



Recall: a  $B^\epsilon\text{-}tree$  node has pivots and a buffer



A Size-Tiered B<sup> $\epsilon$ </sup>-tree is a B<sup> $\epsilon$ </sup>-tree where the buffer is stored discontiguously

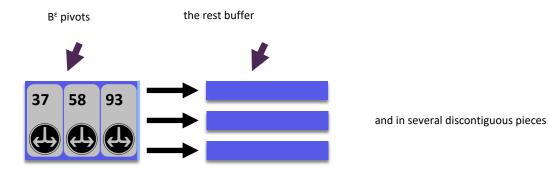


Recall: a  $B^\epsilon\text{-}tree$  node has pivots and a buffer

In an STB<sup>ε</sup>-tree, the buffer is stored separately



A Size-Tiered B<sup> $\epsilon$ </sup>-tree is a B<sup> $\epsilon$ </sup>-tree where the buffer is stored discontiguously



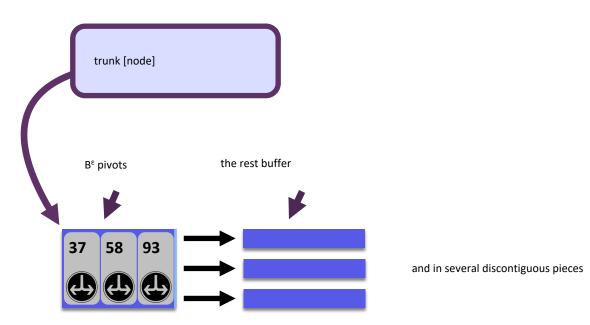
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Size-Tiered B<sup>ε</sup>-Trees

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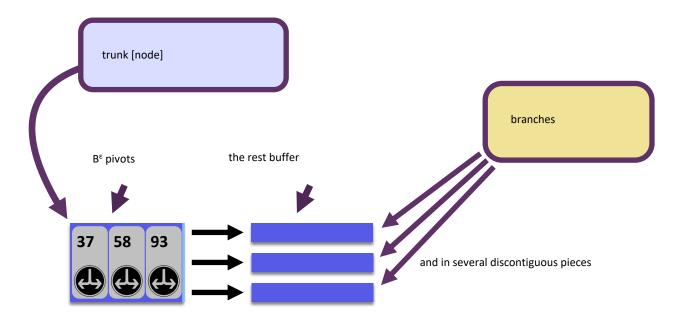
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Size-Tiered B<sup>ε</sup>-Trees

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Recall: a  $B^\epsilon\text{-}tree$  node has pivots and a buffer

In an STB<sup>ε</sup>-tree, the buffer is stored separately



### Insertions in Size-Tiered B<sup>ε</sup>-Trees



A Size-Tiered B<sup> $\epsilon$ </sup>-tree is a B<sup> $\epsilon$ </sup>-tree where the buffer is stored discontiguously

When new data is flushed into the trunk node...





A Size-Tiered B<sup> $\epsilon$ </sup>-tree is a B<sup> $\epsilon$ </sup>-tree where the buffer is stored discontiguously



When new data is flushed into the trunk node...

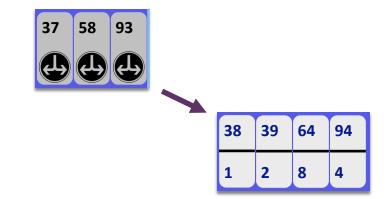




A Size-Tiered B<sup> $\epsilon$ </sup>-tree is a B<sup> $\epsilon$ </sup>-tree where the buffer is stored discontiguously

When new data is flushed into the trunk node...

... it is added as a new branch

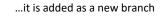


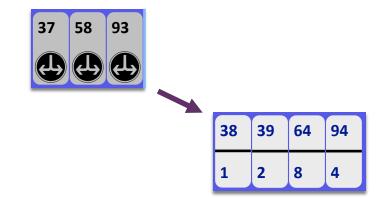


A Size-Tiered B<sup> $\epsilon$ </sup>-tree is a B<sup> $\epsilon$ </sup>-tree where the buffer is stored discontiguously



When new data is flushed into the trunk node...



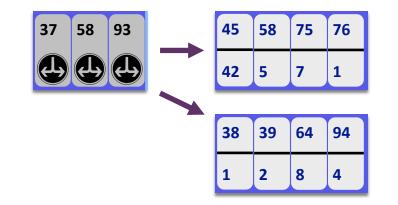




A Size-Tiered B<sup>E</sup>-tree is a B<sup>E</sup>-tree where the buffer is stored B<sup>E</sup>-Trees

discontiguously

When new data is flushed into the trunk node...

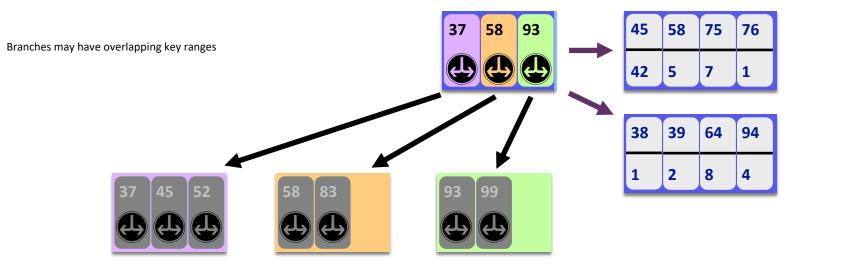


... it is added as a new branch



A Size-Tiered B<sup> $\epsilon$ </sup>-tree is a B<sup> $\epsilon$ </sup>-tree where the buffer is stored discontiguously

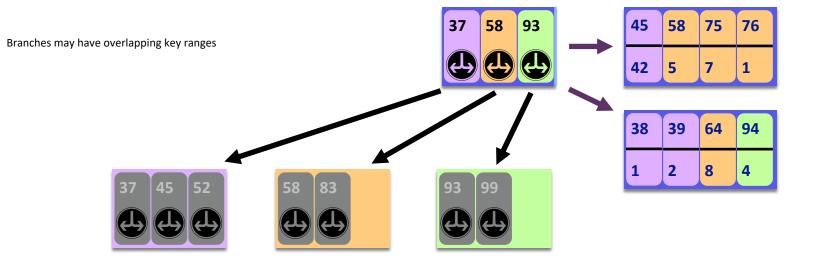
When new data is flushed into the trunk node...



... it is added as a new branch

A Size-Tiered B<sup> $\epsilon$ </sup>-tree is a B<sup> $\epsilon$ </sup>-tree where the buffer is stored discontiguously

When new data is flushed into the trunk node...



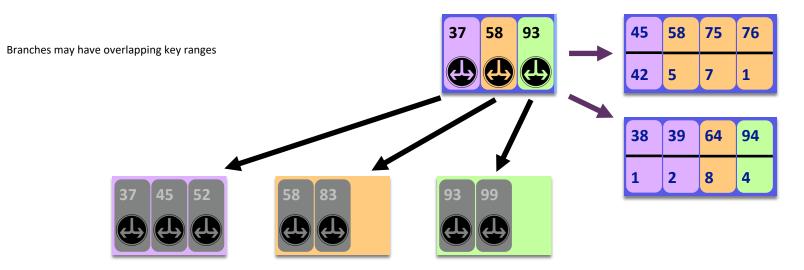
... it is added as a new branch

Size-Tiered B<sup>ε</sup>-Trees

A Size-Tiered B<sup> $\epsilon$ </sup>-tree is a B<sup> $\epsilon$ </sup>-tree where the buffer is stored discontiguously

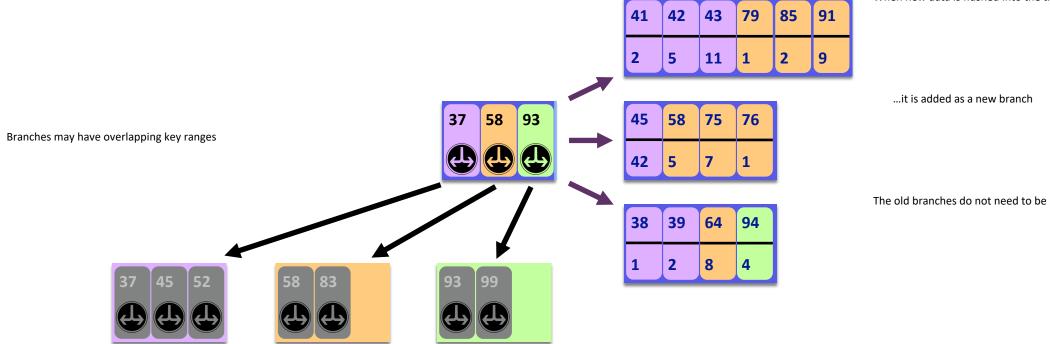
41	42	43	79	85	91
2	5	11	1	2	9

When new data is flushed into the trunk node...



... it is added as a new branch

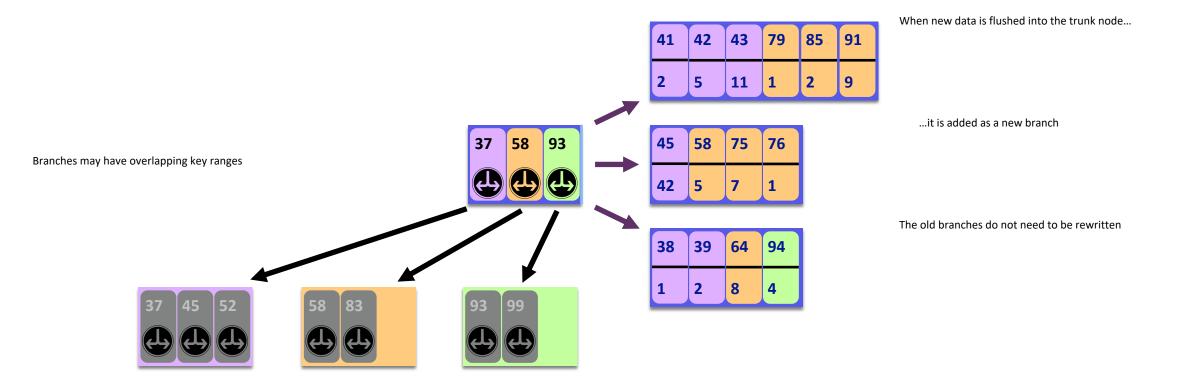
A Size-Tiered B<sup> $\epsilon$ </sup>-tree is a B<sup> $\epsilon$ </sup>-tree where the buffer is stored discontiguously



When new data is flushed into the trunk node...

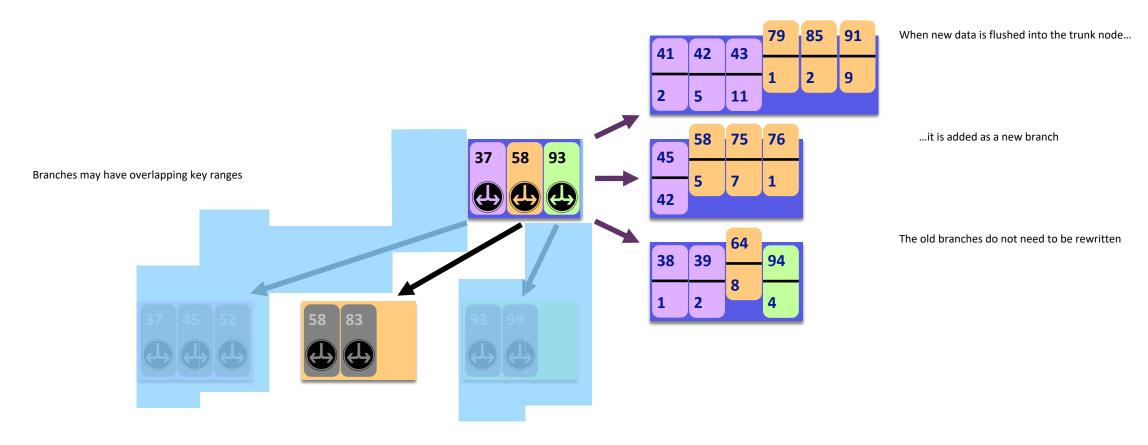
A Size-Tiered B $^{\epsilon}$ -tree is a B $^{\epsilon}$ -tree where the buffer is stored discontiguously

- 1. Pick child receiving most messages
- 2. Merge them into a new branch for the child



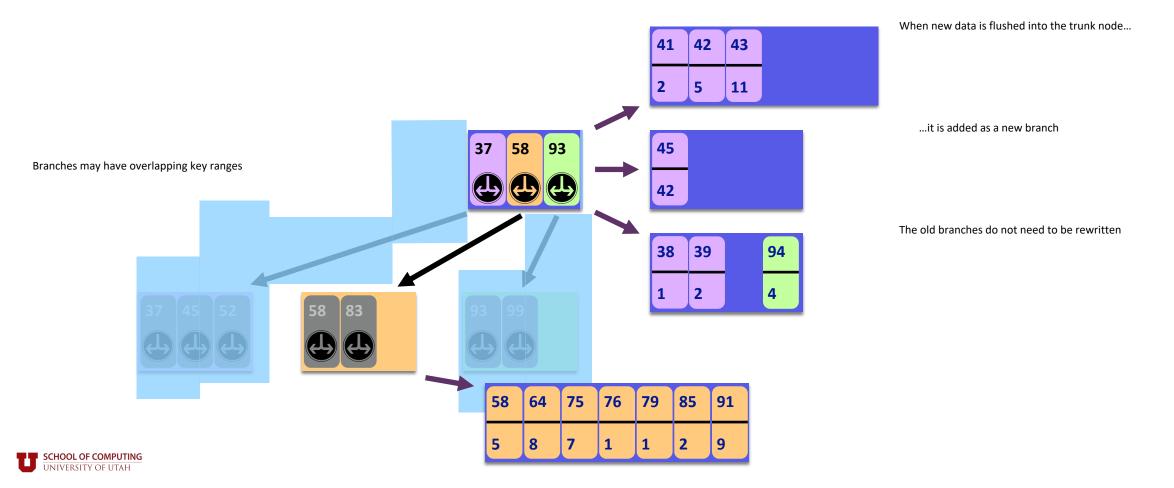
A Size-Tiered B $^{\epsilon}$ -tree is a B $^{\epsilon}$ -tree where the buffer is stored discontiguously

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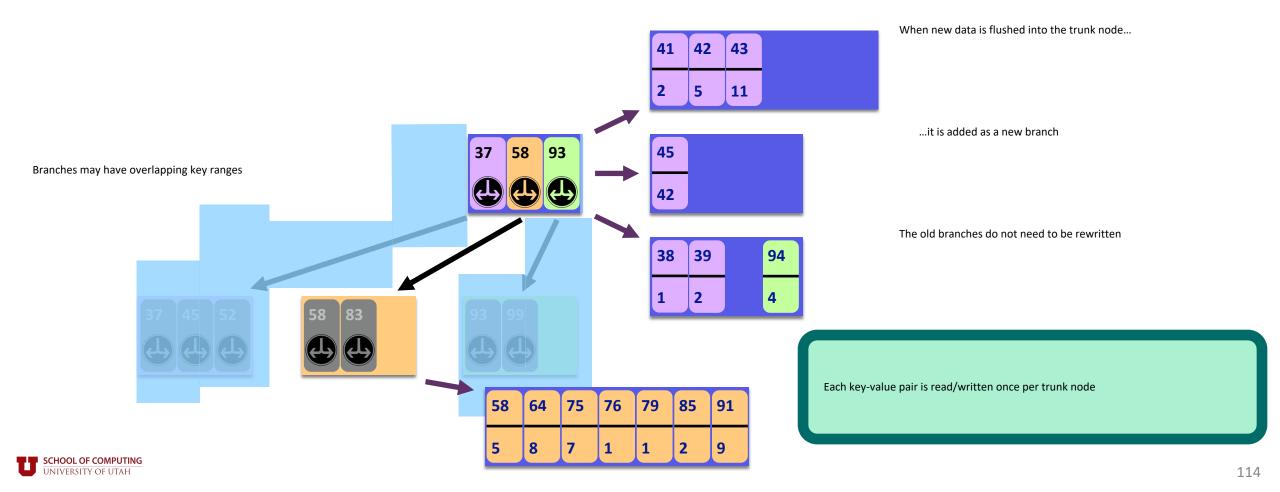
A Size-Tiered B $^{\epsilon}$ -tree is a B $^{\epsilon}$ -tree where the buffer is stored discontiguously

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A Size-Tiered B $^{\epsilon}$ -tree is a B $^{\epsilon}$ -tree where the buffer is stored discontiguously

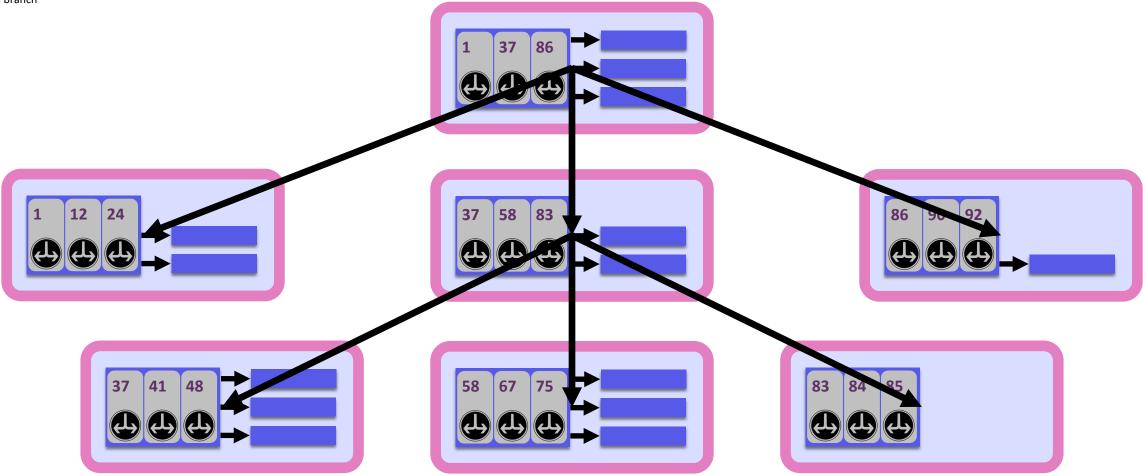
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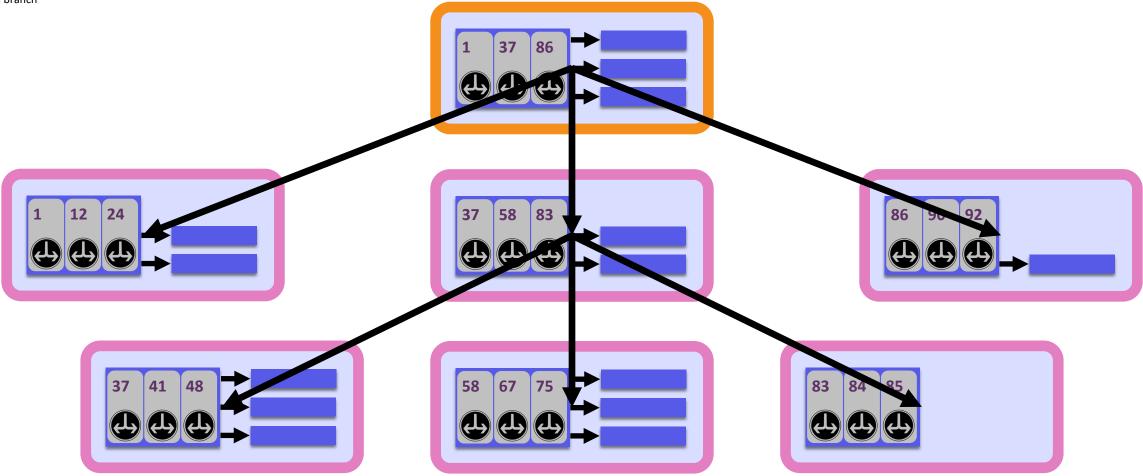
## Lookups in Size-Tiered B $^{\epsilon}$ -Trees



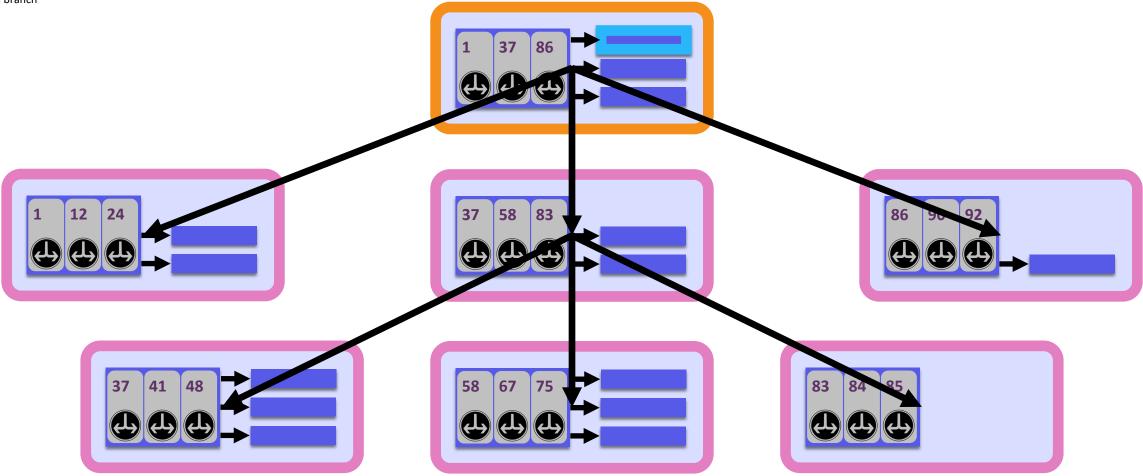
Query(71)



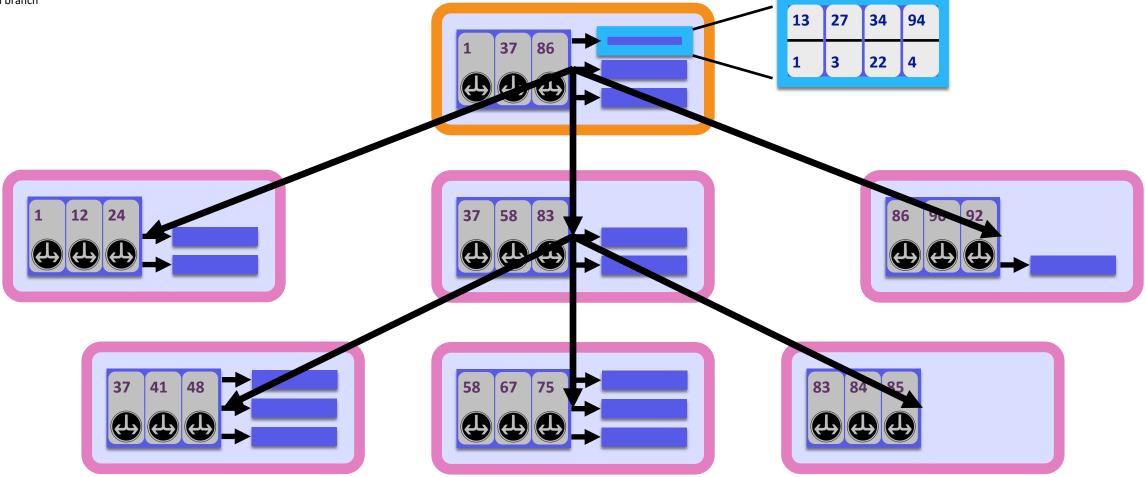
Query(71)



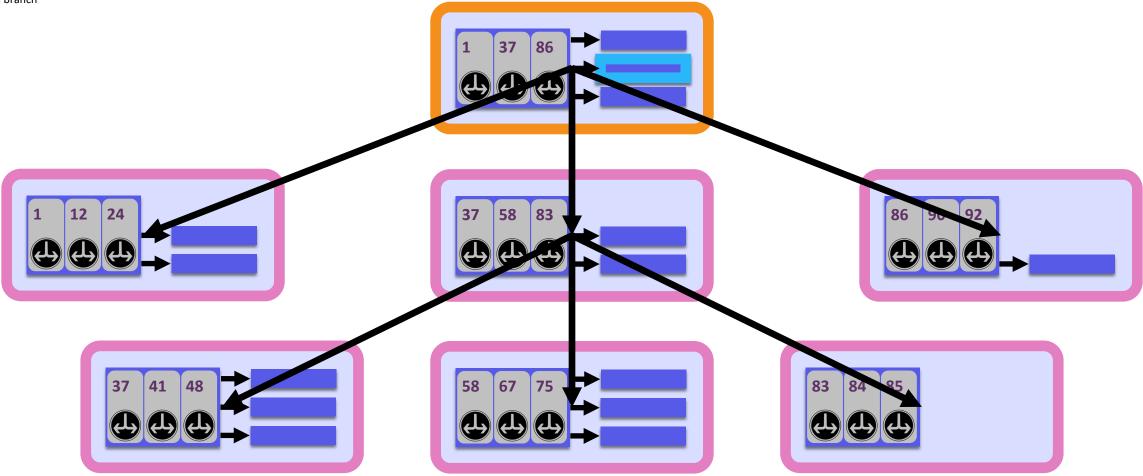
Query(71)



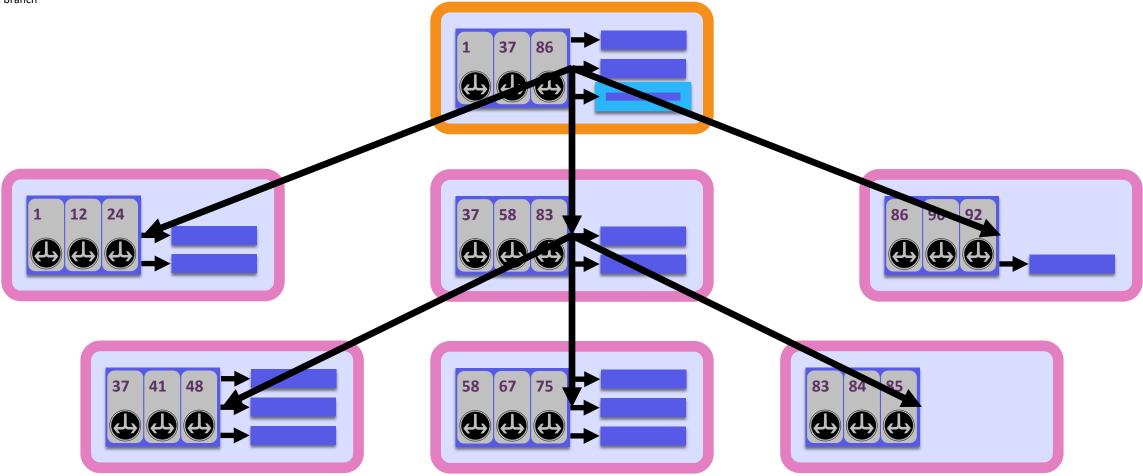
Query(71)



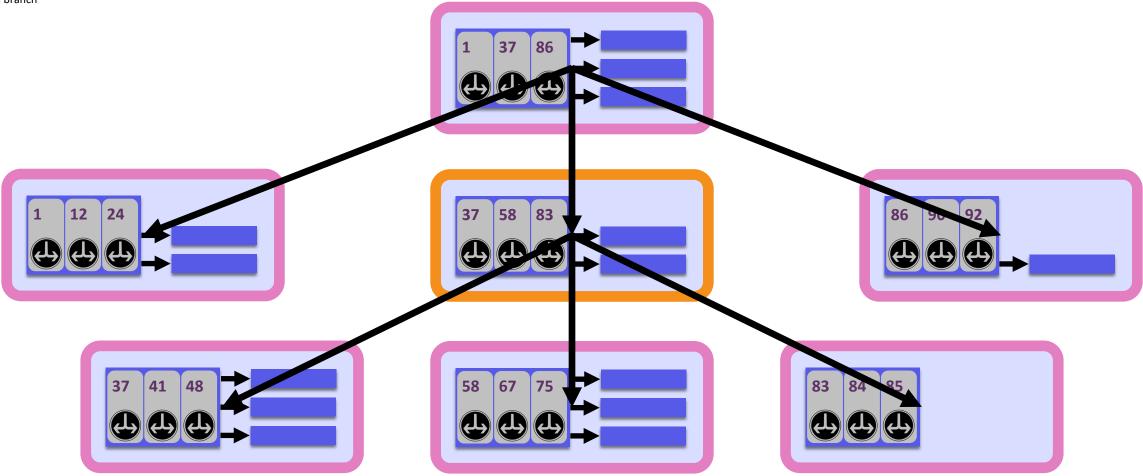
Query(71)



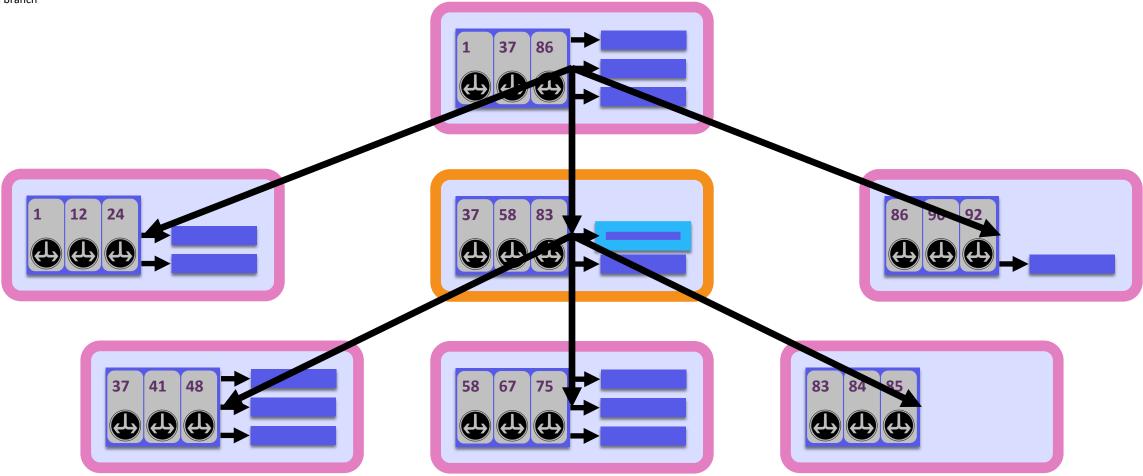
Query(71)



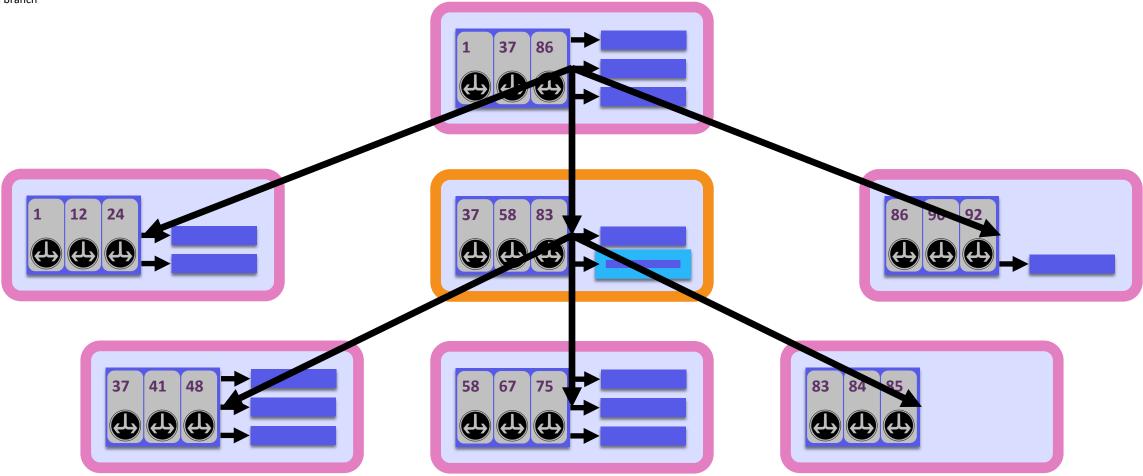
Query(71)



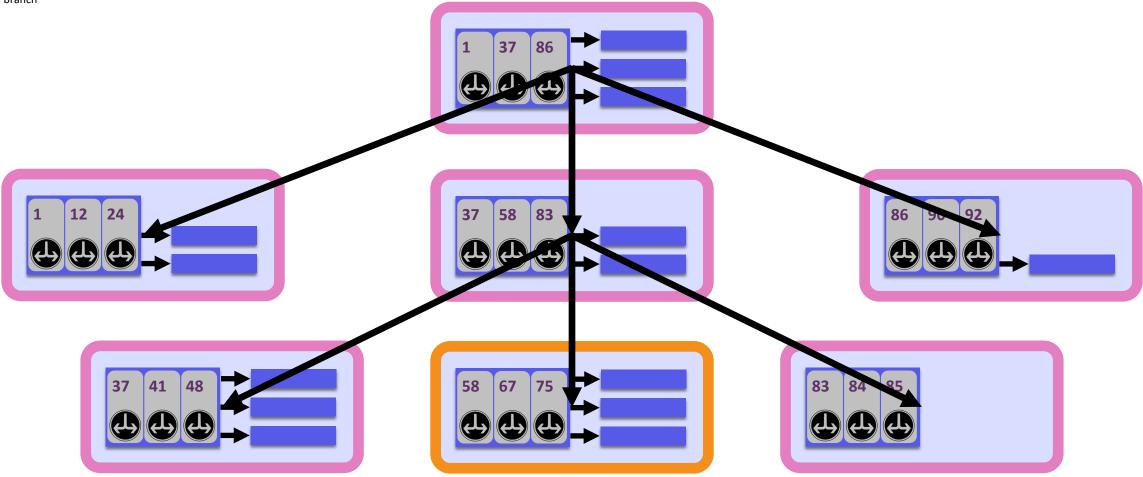
Query(71)



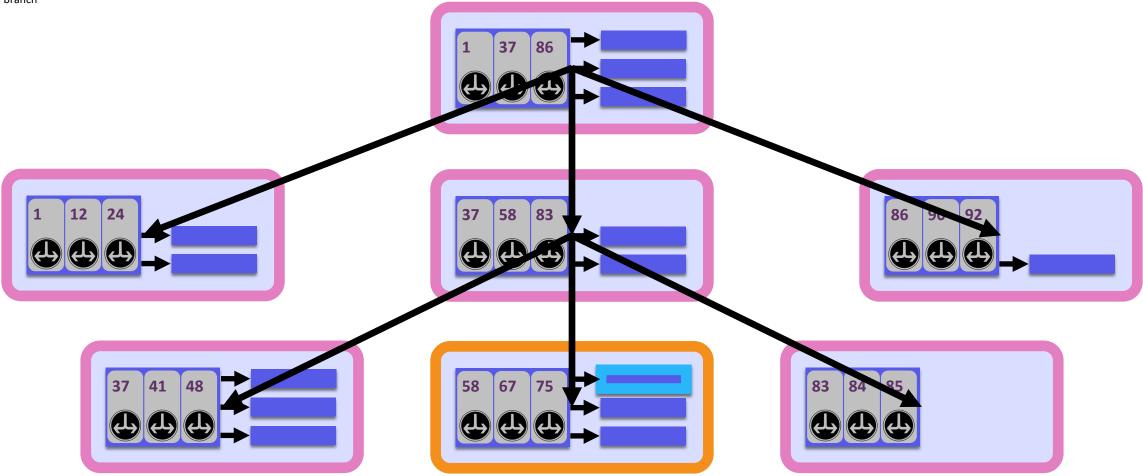
Query(71)



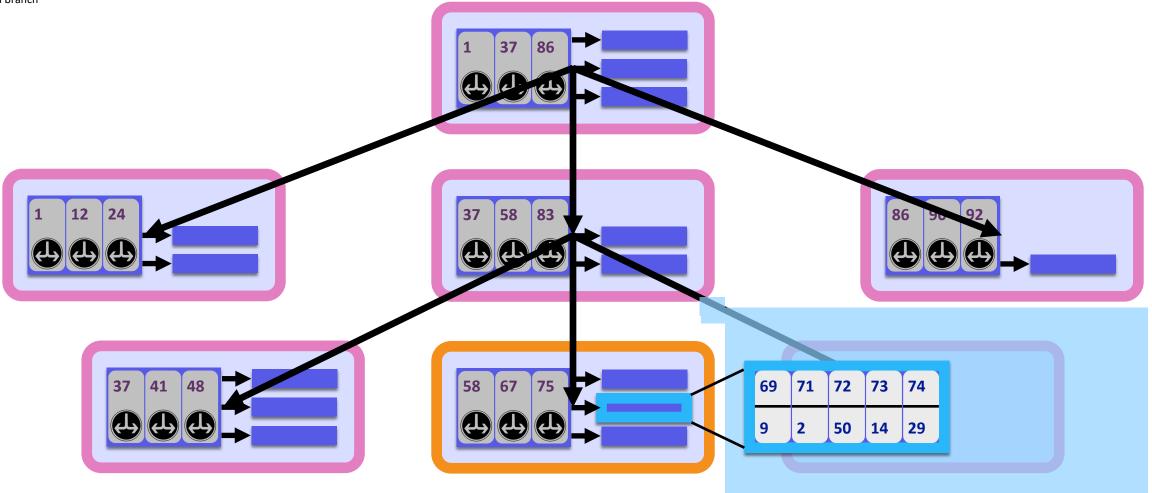
Query(71)



Query(71)

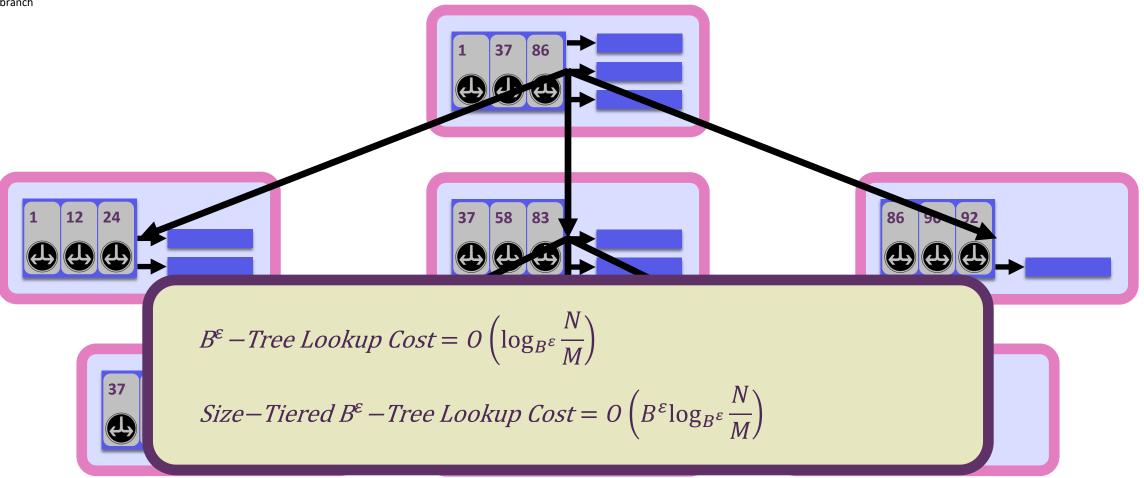


Query(71)



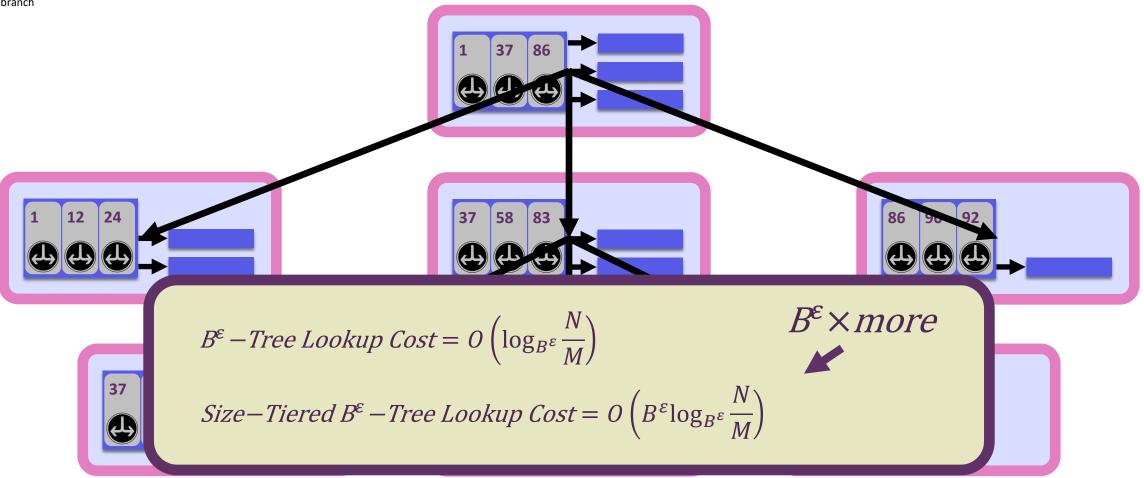
 $Query(71) \longrightarrow 2$ Lookups in a STB<sup>*ε*</sup>-tree are like lookups in a B<sup>*ε*</sup>-tree, except they must check each branch 67 75 

Query(71)





Query(71)

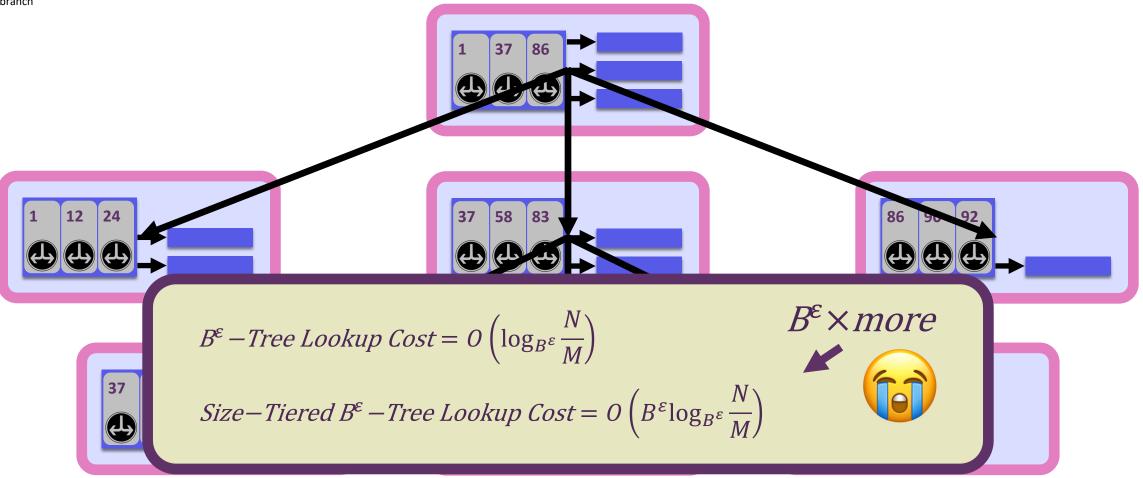




#### Size-Tiered B<sup> $\epsilon$ </sup>-Trees

Query(71)

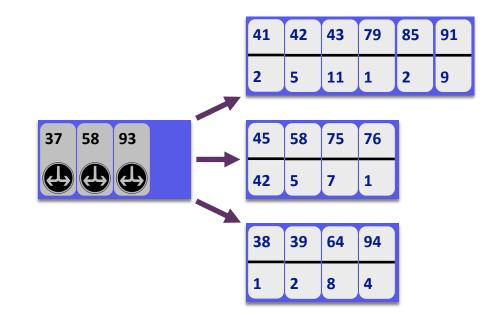
Lookups in a STB<sup> $\varepsilon$ </sup>-tree are like lookups in a B<sup> $\varepsilon$ </sup>-tree, except they must check each branch





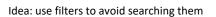


The problem is that each node has multiple branches

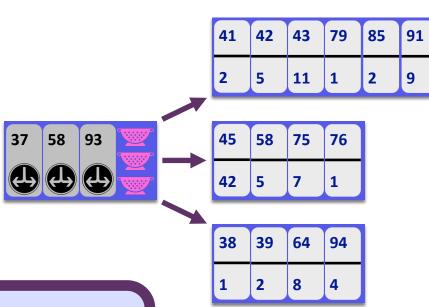




The problem is that each node has multiple branches



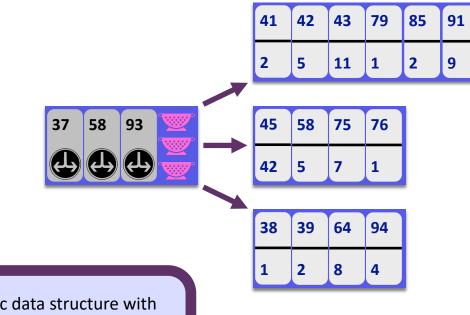
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A filter is a probabilistic data structure with
answers membership with no false
negatives

Examples: Bloom, cuckoo, quotient

The problem is that each node has multiple branches



Now a lookup will only search those branches which contain the key (plus rare false positives)

Idea: use filters to avoid searching them

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A filter is a probabilistic data structure with answers membership with no false negatives

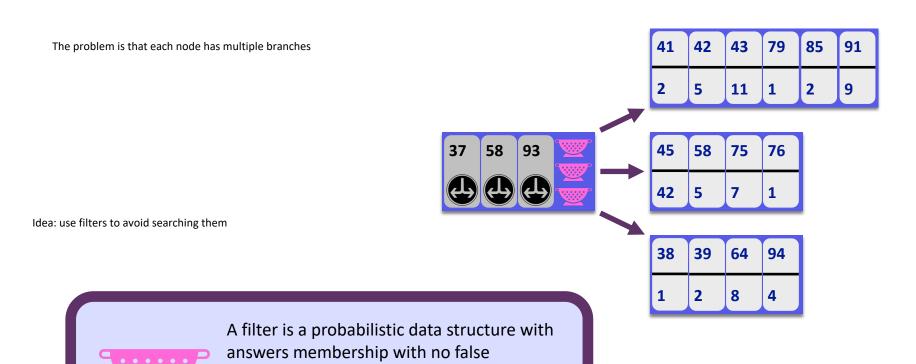
Examples: Bloom, cuckoo, quotient

negatives

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Examples: Bloom, cuckoo, quotient

Query(64)



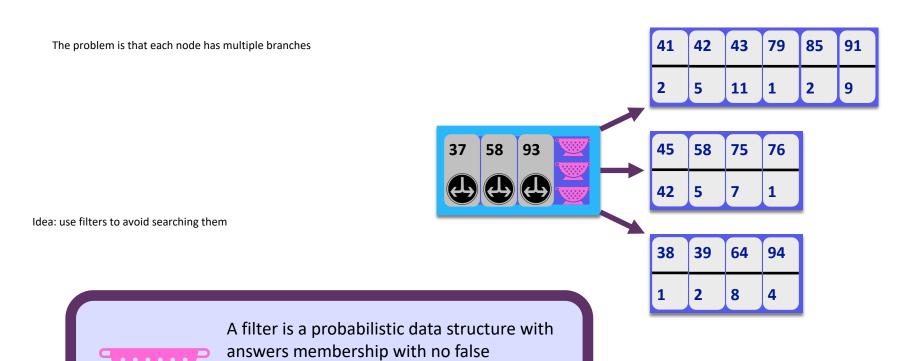
Now a lookup will only search those branches which contain the key (plus rare false positives)

negatives

SCHOOL OF COMPUTING

Examples: Bloom, cuckoo, quotient

Query(64)



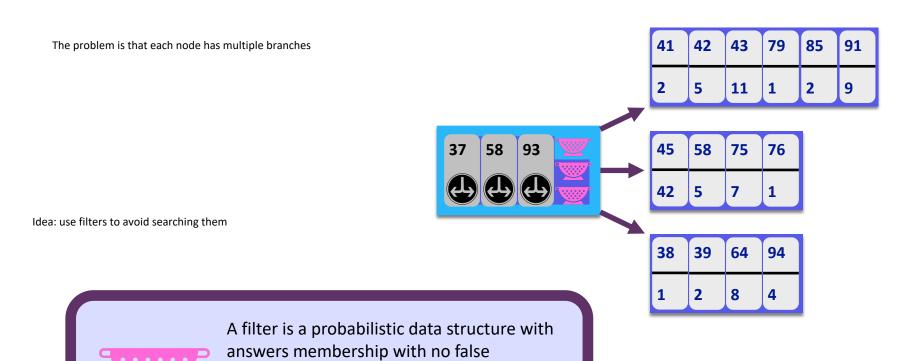
Now a lookup will only search those branches which contain the key (plus rare false positives)

negatives

SCHOOL OF COMPUTING

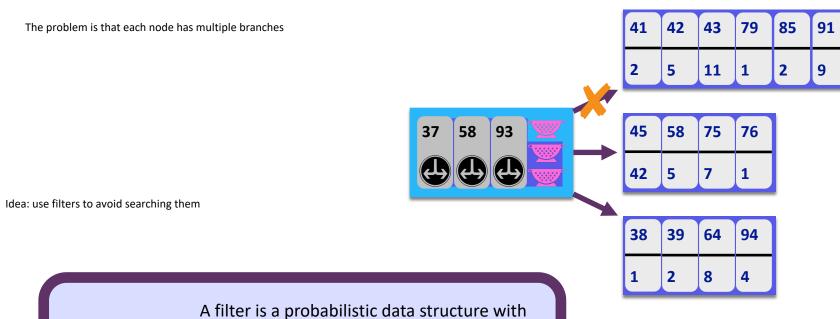
Examples: Bloom, cuckoo, quotient

Query(64)



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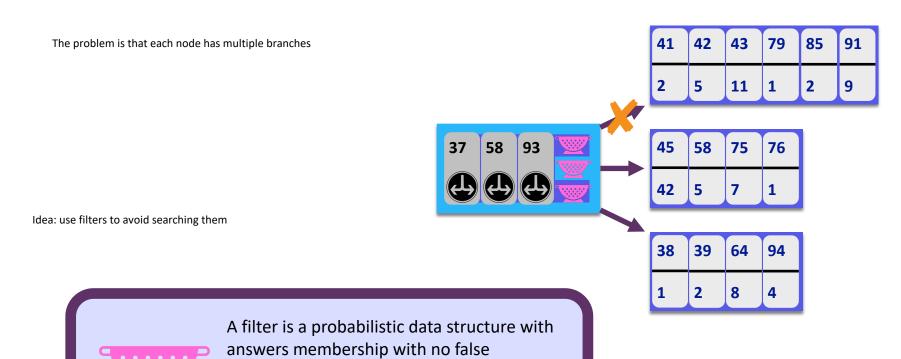
SCHOOL OF COMPUTING

negatives

SCHOOL OF COMPUTING

Examples: Bloom, cuckoo, quotient

Query(64)



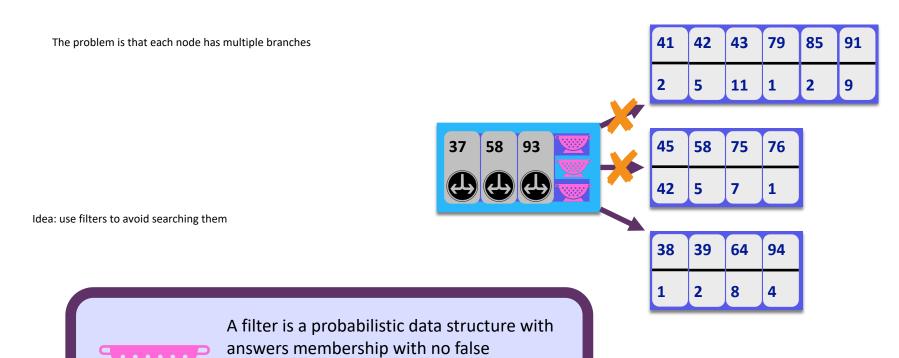
Now a lookup will only search those branches which contain the key (plus rare false positives)

negatives

SCHOOL OF COMPUTING

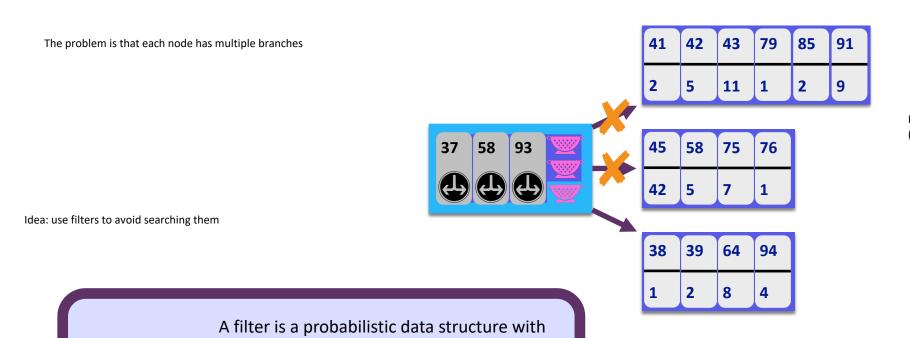
Examples: Bloom, cuckoo, quotient

Query(64)



Now a lookup will only search those branches which contain the key (plus rare false positives)

#### Query(64)



answers membership with no false

Examples: Bloom, cuckoo, quotient

negatives

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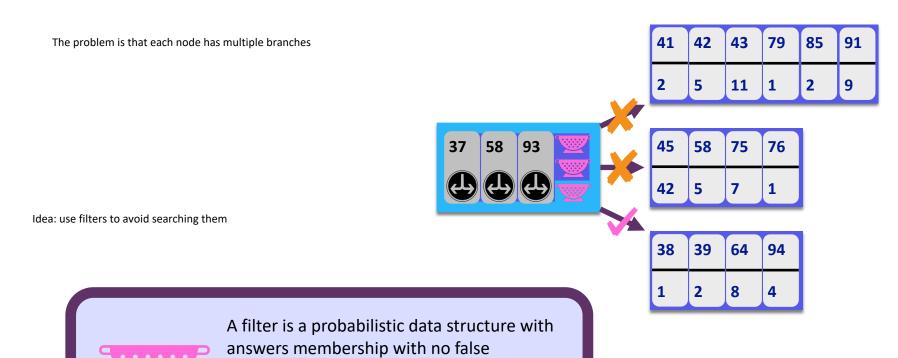
Now a lookup will only search those branches which contain the key (plus rare false positives)

negatives

SCHOOL OF COMPUTING

Examples: Bloom, cuckoo, quotient

Query(64)



Now a lookup will only search those branches which contain the key (plus rare false positives)

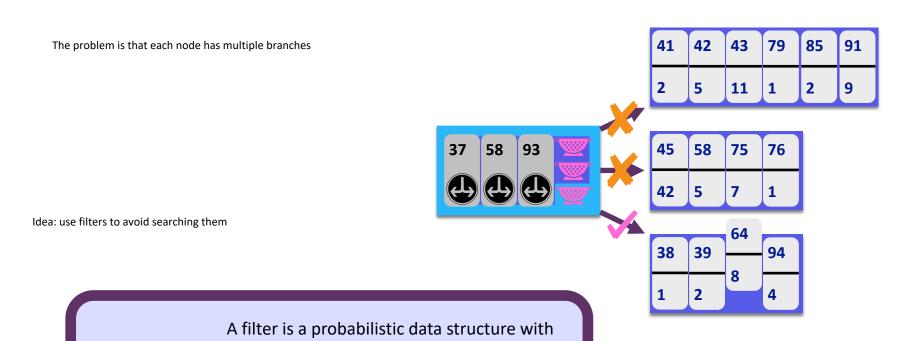
answers membership with no false

Examples: Bloom, cuckoo, quotient

negatives

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 $_{Query(64)} \rightarrow _{8}$ 



Now a lookup will only search those branches which contain the key (plus rare false positives)

 $Query(64) \longrightarrow 8$ 



Now a lookup will only search those branches which contain the key (plus rare false positives)

Idea: use filters to avoid searching them

False Positive Rate 
$$\leq O\left(\frac{\varepsilon}{B^{\varepsilon} \log_B N}\right)$$



 $Query(64) \rightarrow 8$ 



Now a lookup will only search those branches which contain the key (plus rare false positives)

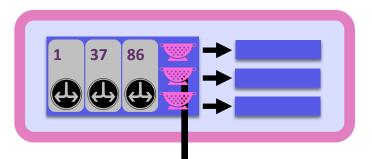
False Positive Rate 
$$\leq O\left(\frac{\varepsilon}{B^{\varepsilon}\log_{B}N}\right)$$

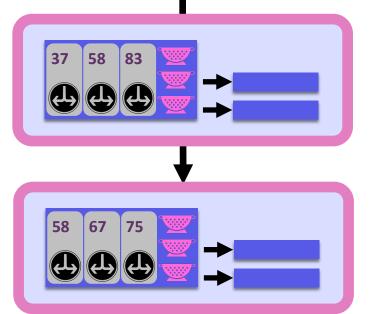
Lookups in O(1) IOs





Querying all these filters is expensive

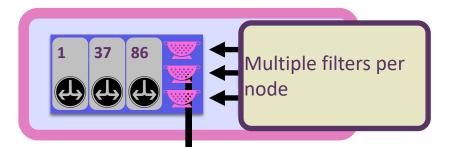


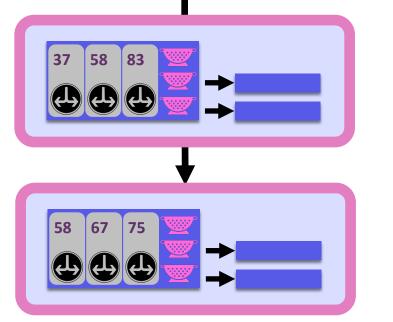




Querying all these filters is expensive

In practice, we see 15-40 filter lookups per point query

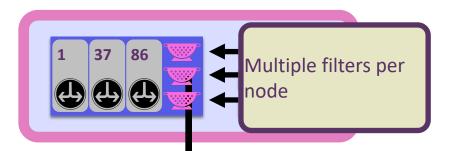






Querying all these filters is expensive

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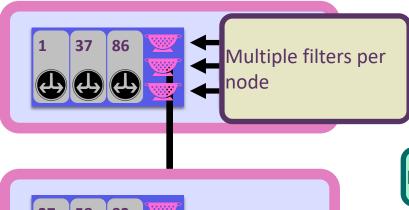
 We could hope to amortize against IO

BUT...



Querying all these filters is expensive

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 We could hope to amortize against IO

BUT...

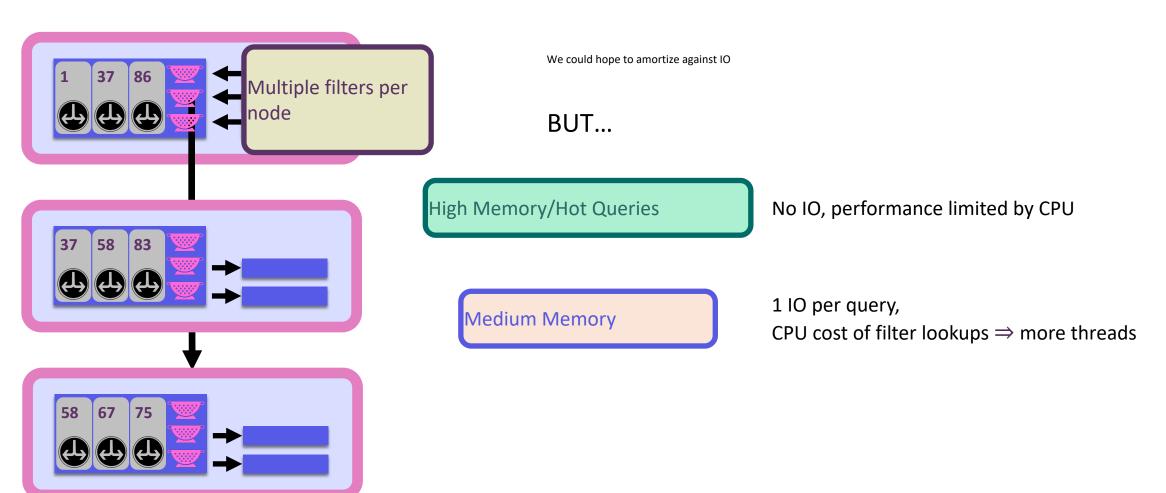
High Memory/Hot Queries

No IO, performance limited by CPU



Querying all these filters is expensive

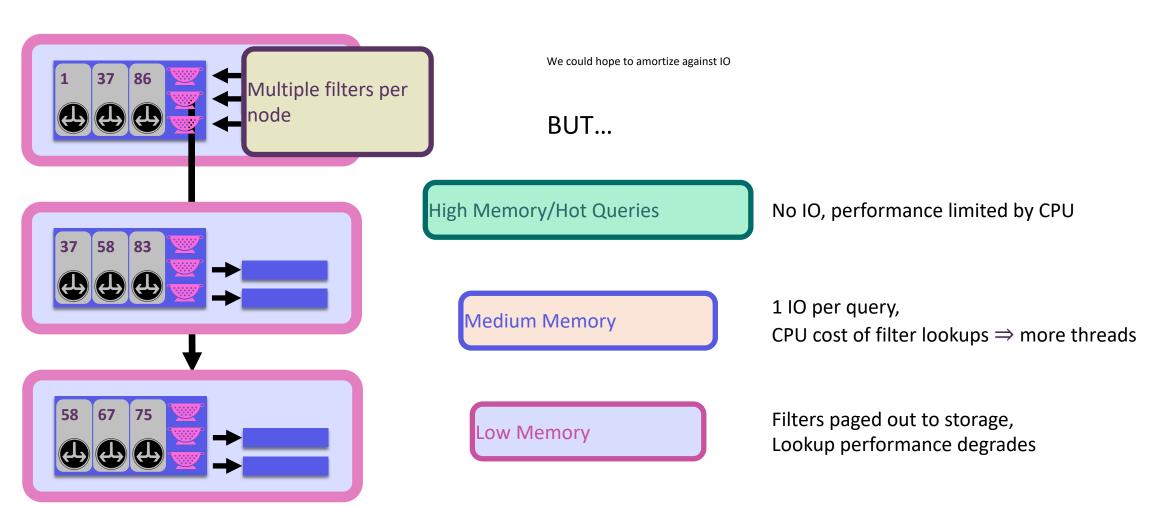
In practice, we see 15-40 filter lookups per point query





Querying all these filters is expensive

In practice, we see 15-40 filter lookups per point query



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#### Maplets



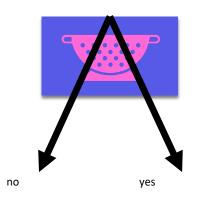
#### Maplets

A maplet is a filter which can also store small values





Is X in the set?



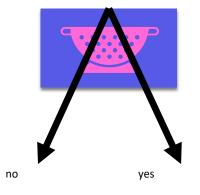
#### Filter

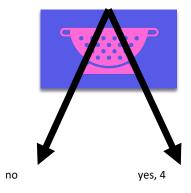




Is X in the set?

Is X in the set?





Filter

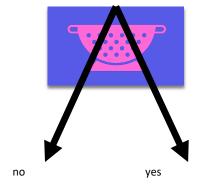


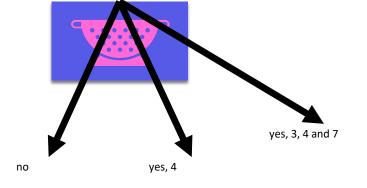




Is X in the set?

Is X in the set?



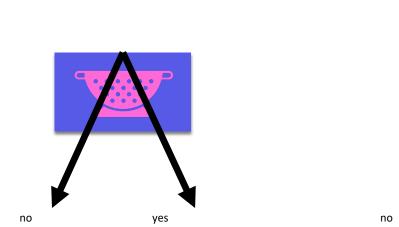


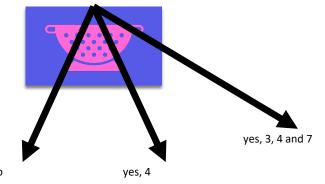
Filter

Maplet









No false negatives, same false positive guarantee

Filter

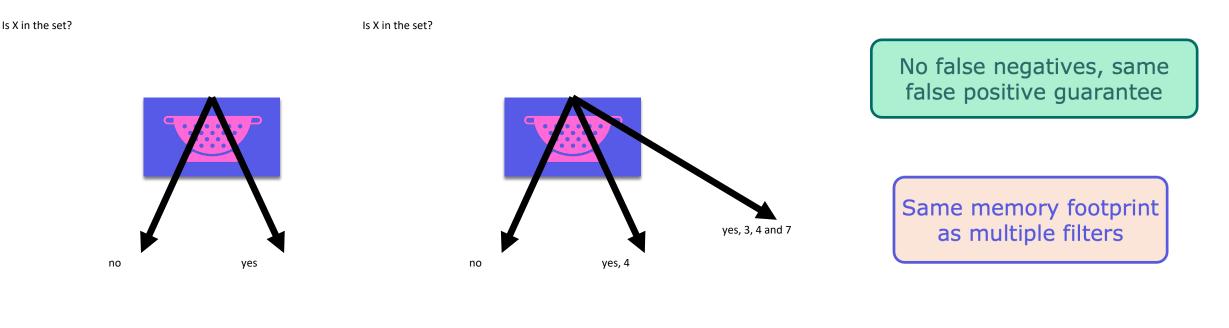
Is X in the set?

Maplet

Is X in the set?





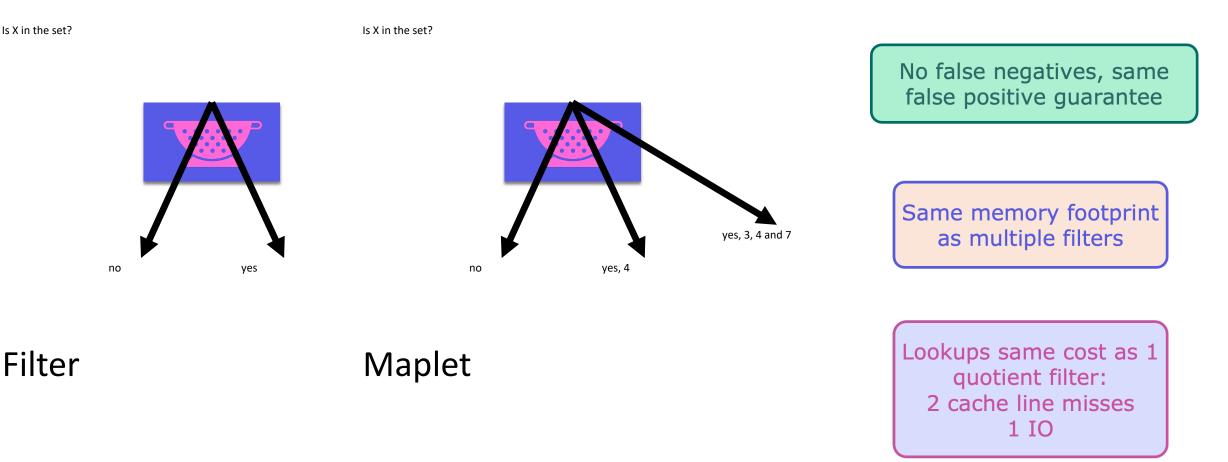


Filter

Maplet







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SplinterDB and Maplets: Improving the Trade-Offs in LSM Compaction Policy Conway, Farach-Colton, Johnson, SIGMOD 2023



Replace individual filters with a single maplet

			41	42	43	79	85	91	
			2	5	11	1	2	9	
							_		
58	93		45	58	75	76			
		<b>W</b>	42	5	7	1			
			38	39	64	94			
			1	2	8	4			



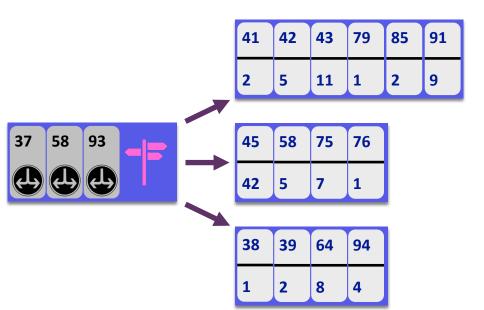
Replace individual filters with a single maplet

	41	42	43	79	85	91
	2	5	11	1	2	9
					_	
37 58 93	45	58	75	76		
	42	5	7	1		
	38	39	64	94		
	1	2	8	4		



Replace individual filters with a single maplet

Use the values to store which buffers contain matching keys





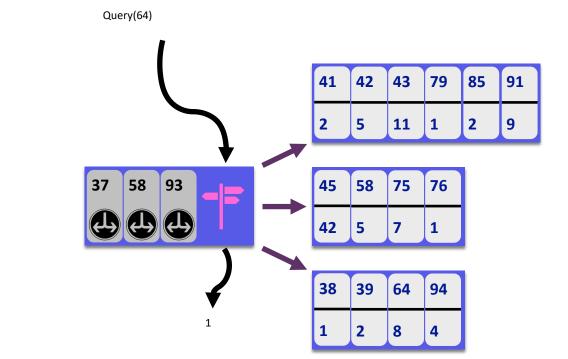
Query(64) 

Replace individual filters with a single maplet

Use the values to store which buffers contain matching keys



# Mapped $B^{\varepsilon}$ -Trees



Replace individual filters with a single maplet

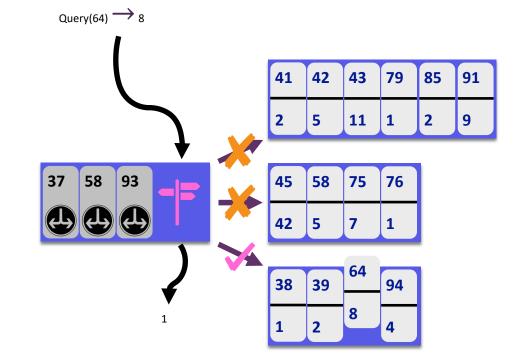
Use the values to store which buffers contain matching keys



# Mapped $B^{\varepsilon}$ -Trees

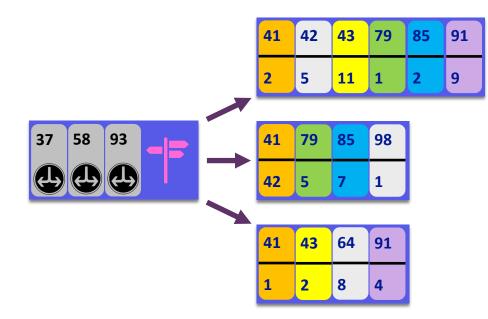
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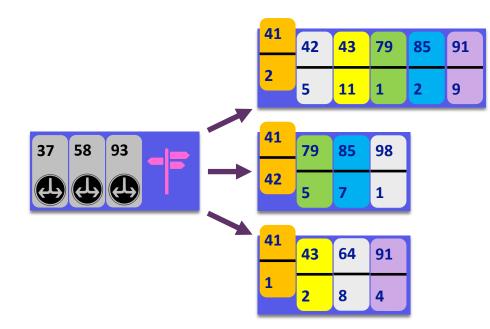




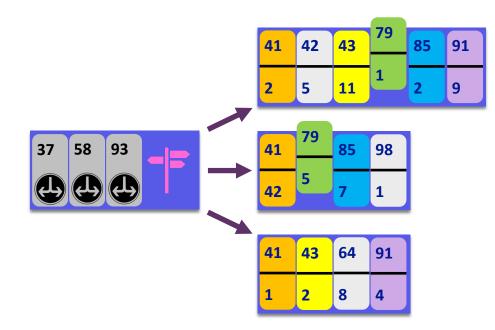




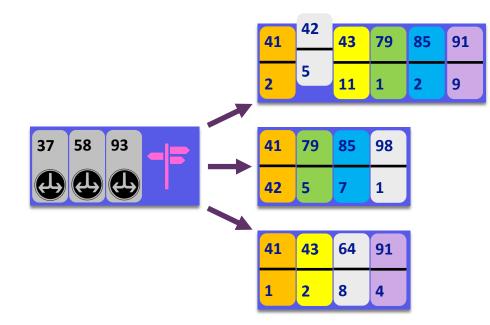




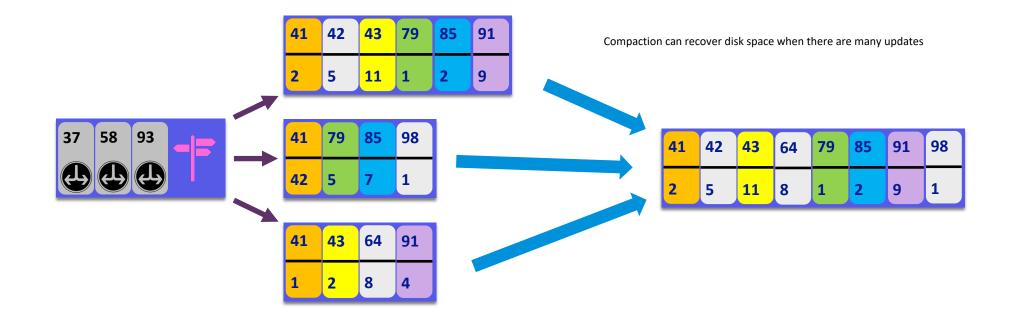






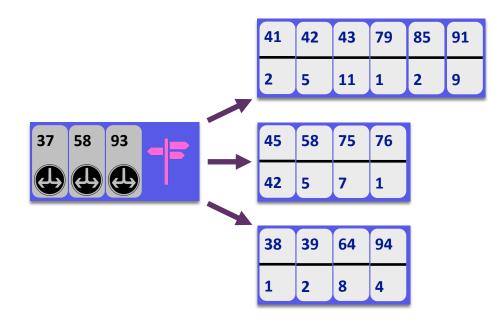








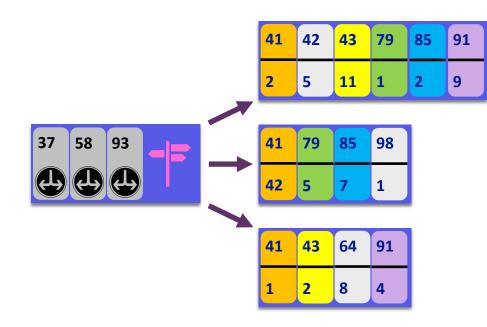
Compaction saves little space when there is little redundant data

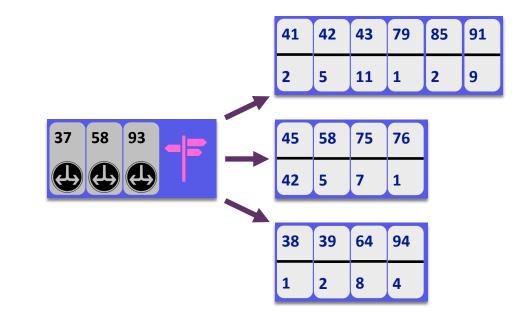


So we don't want to waste time compacting branches with few updates



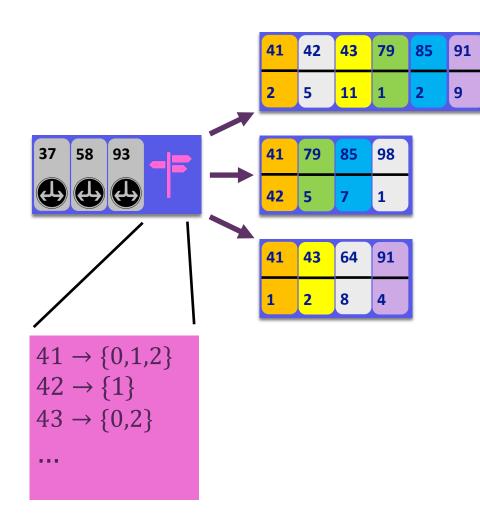
Maplets can tell us how much redundant data there is

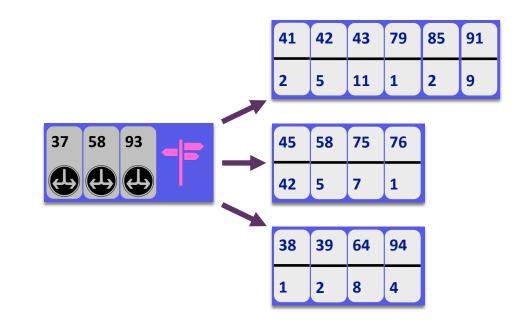






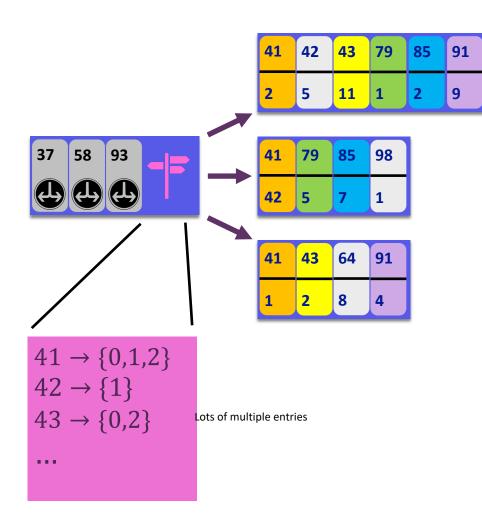
Maplets can tell us how much redundant data there is

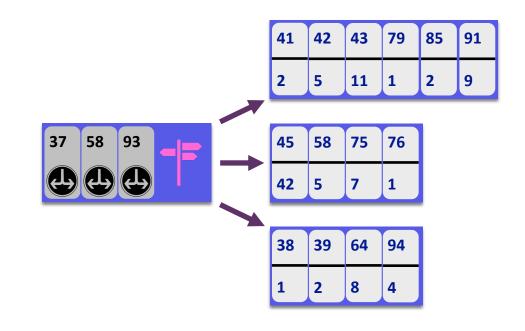






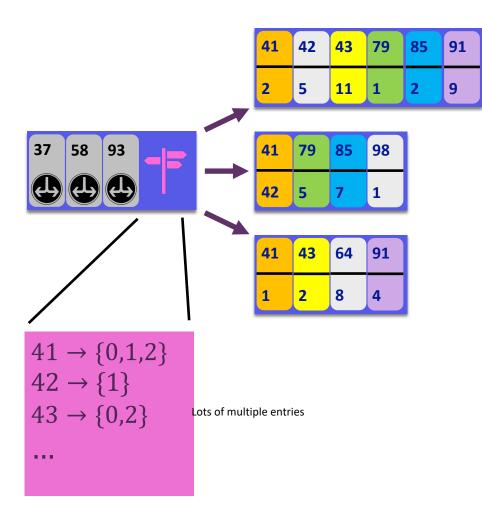
Maplets can tell us how much redundant data there is

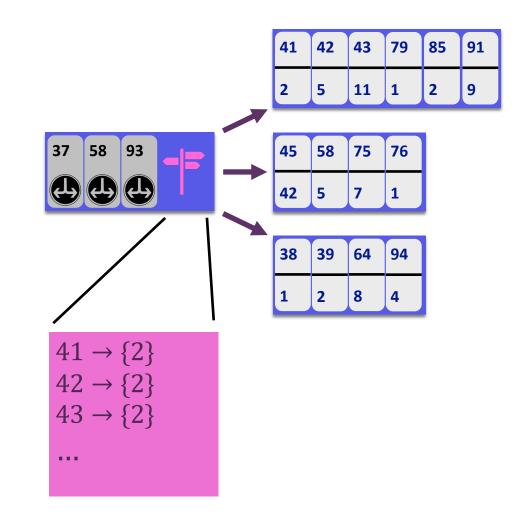




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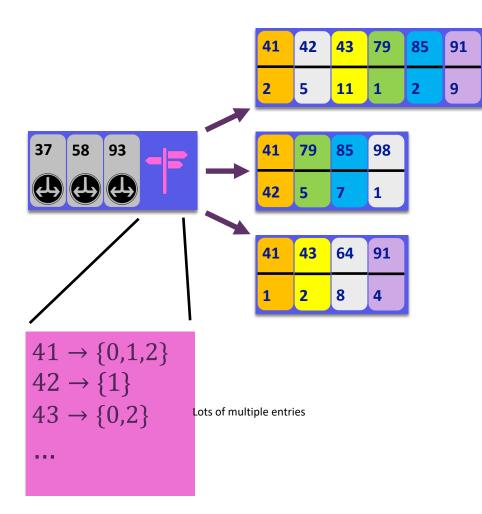
Maplets can tell us how much redundant data there is

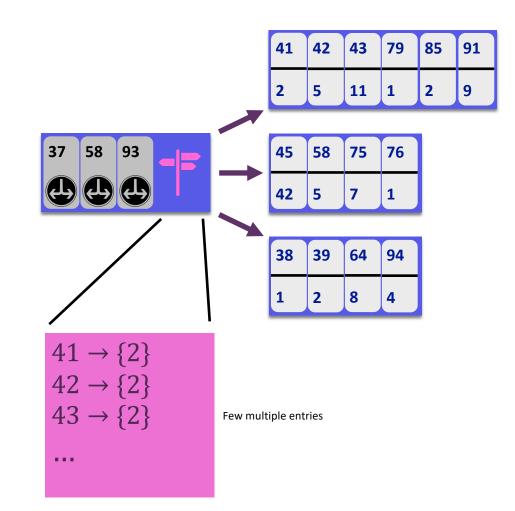






Maplets can tell us how much redundant data there is

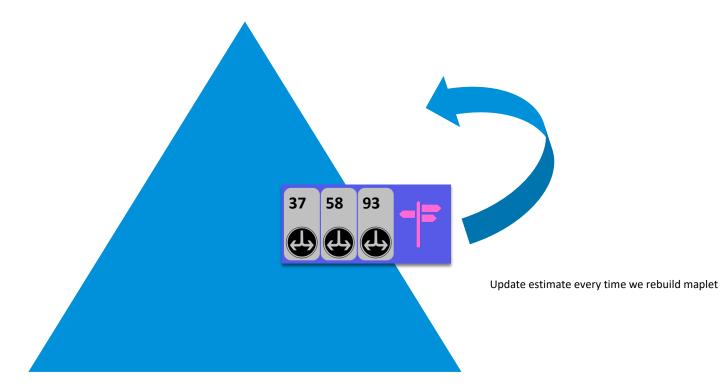




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## SplinterDB Adaptive Space Reclamation

SplinterDB maintains a heap of trunk nodes, sorted by estimated amount of redundant data





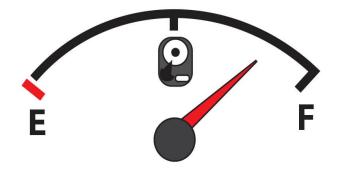
## SplinterDB Adaptive Space Reclamation

SplinterDB maintains a heap of trunk nodes, sorted by estimated amount of redundant data

37

58

93

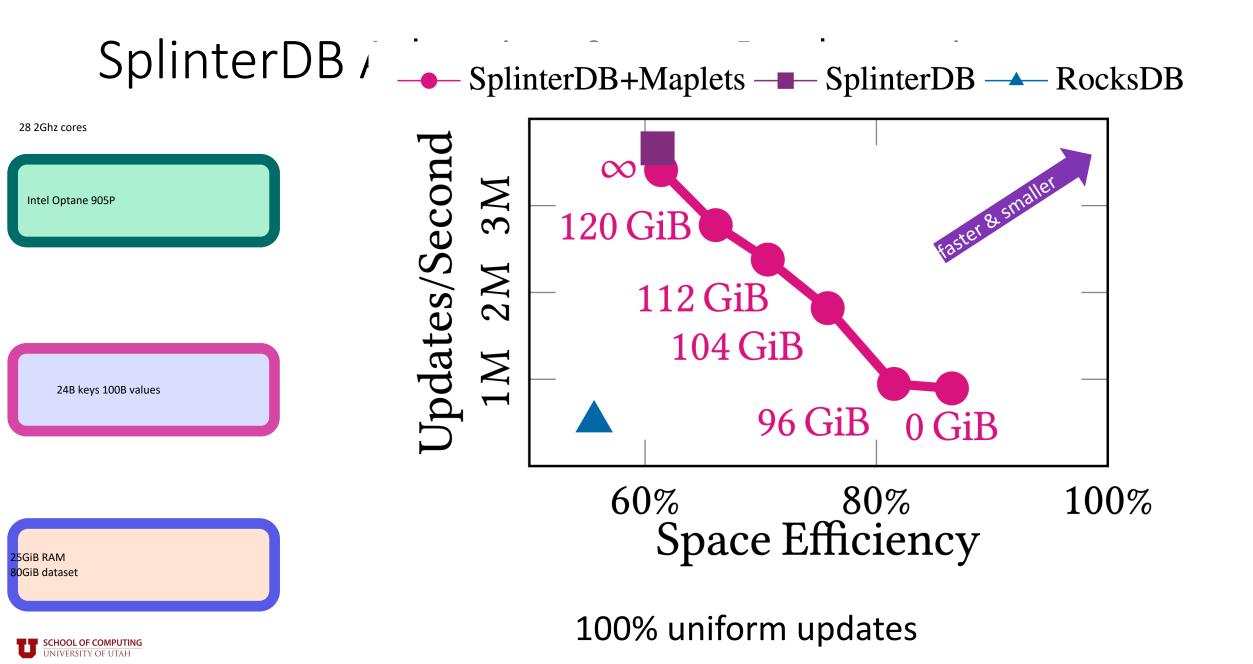


Whenever disk usage gets too high, SplinterDB initiates compaction on top node of the heap.

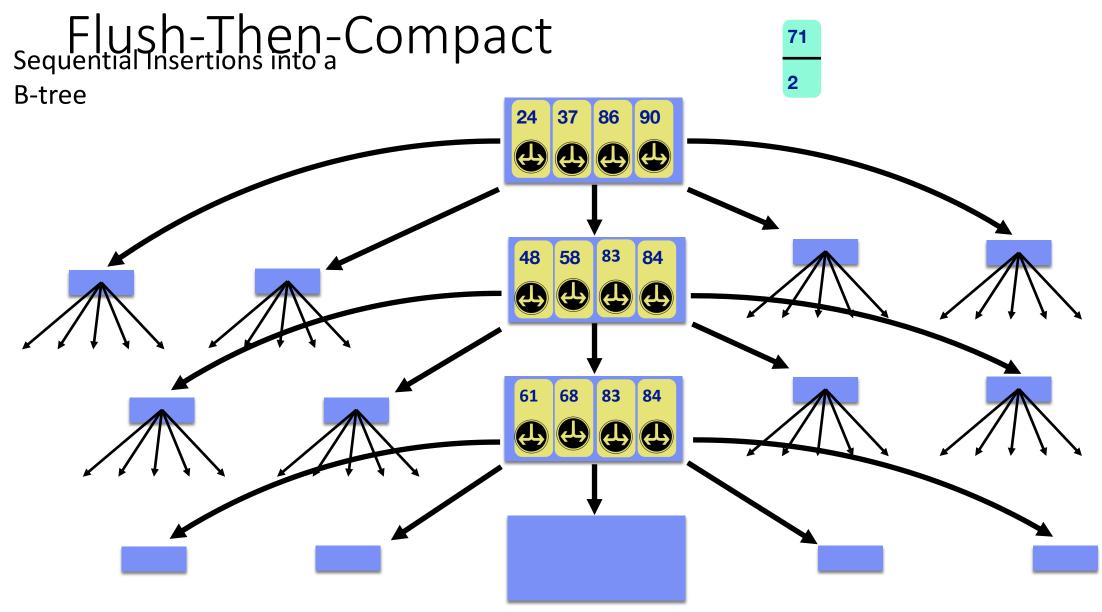
Goal: maximal gains, minimal pains

Update estimate every time we rebuild maplet

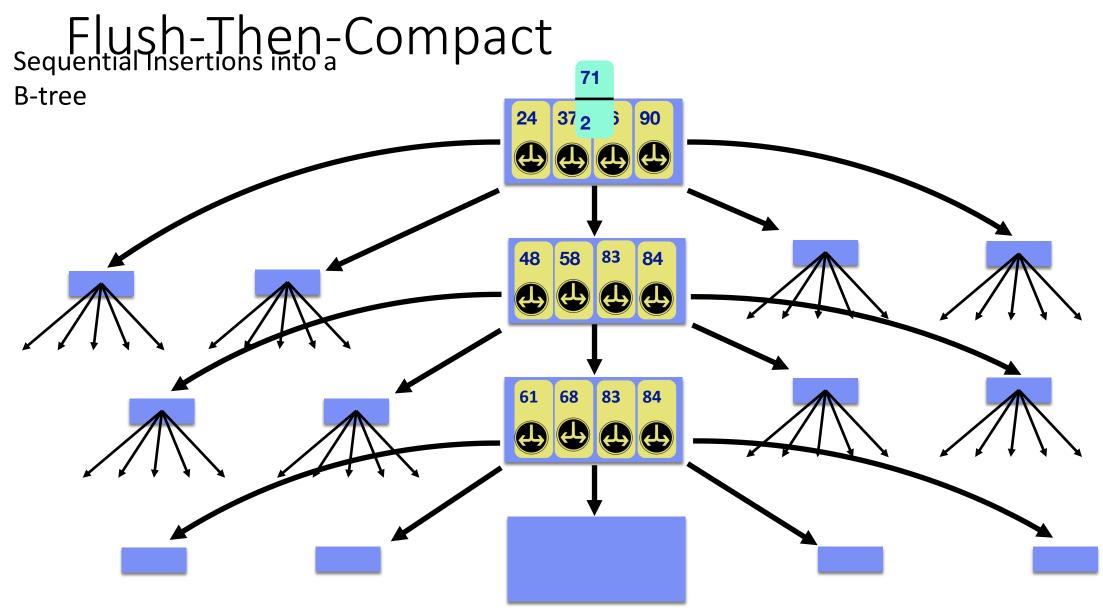






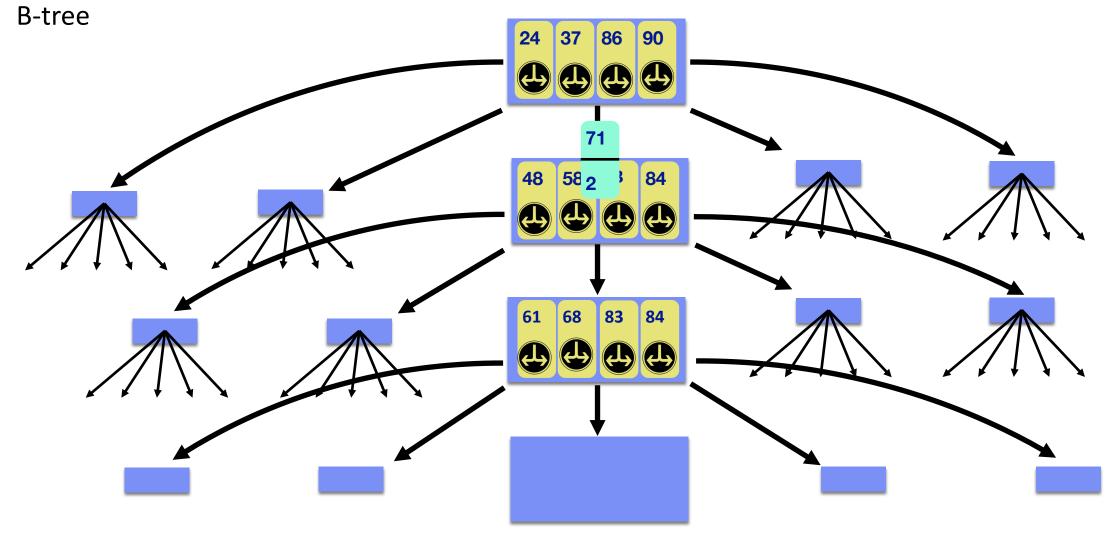






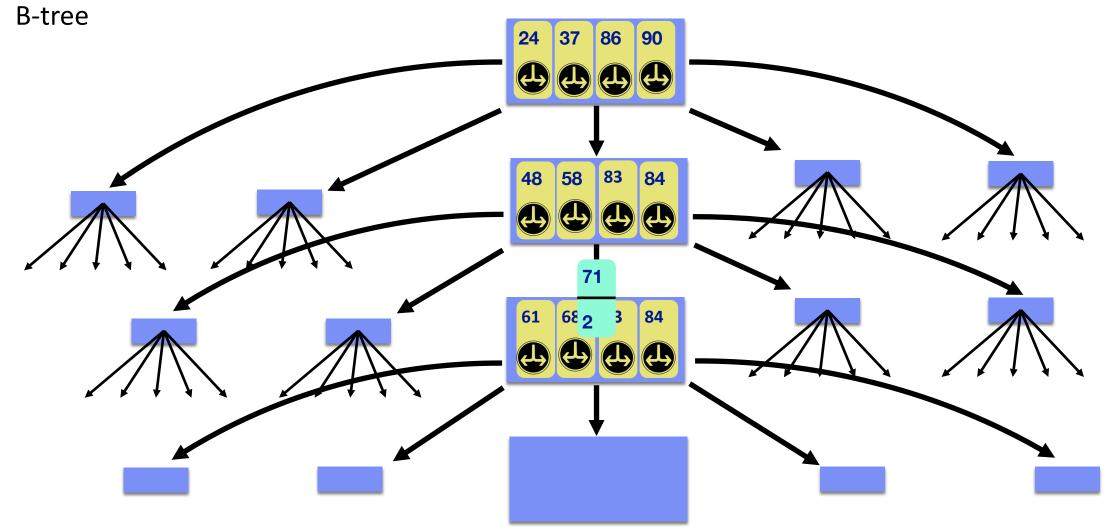


Flush-Then-Compact

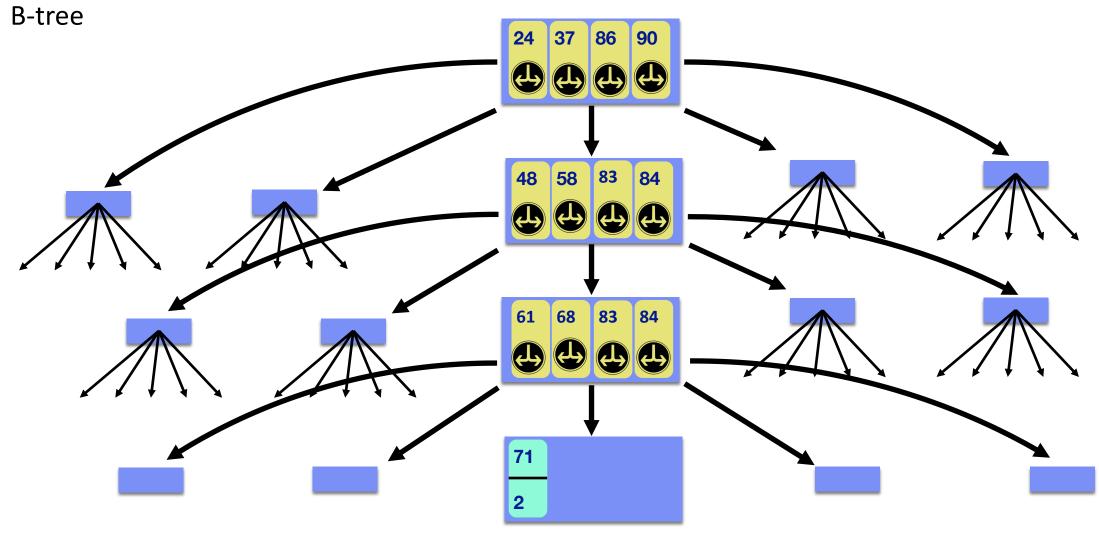




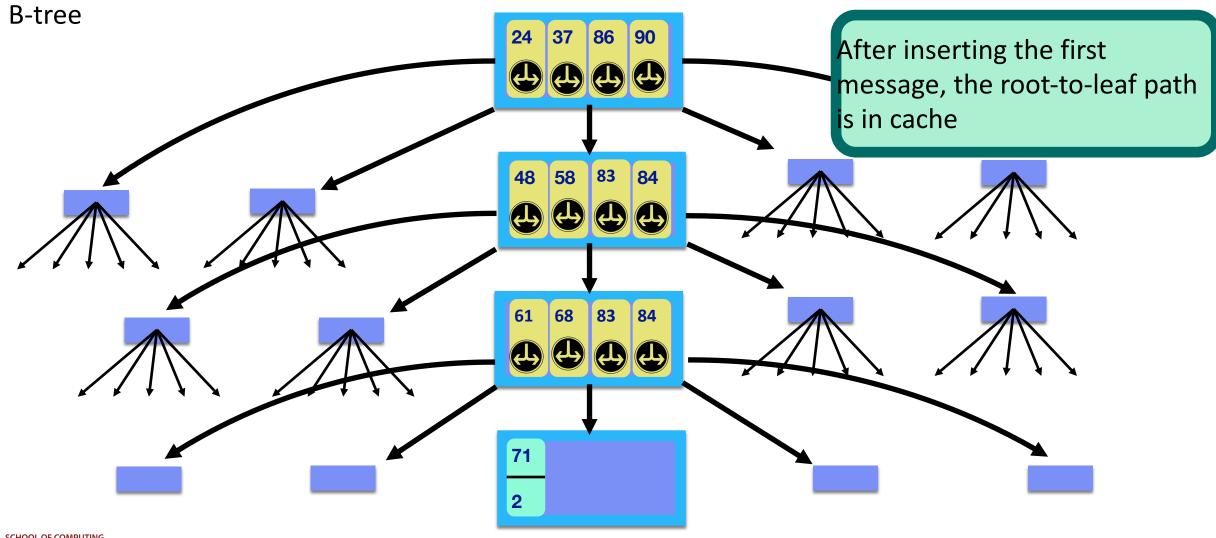
Flush-Then-Compact

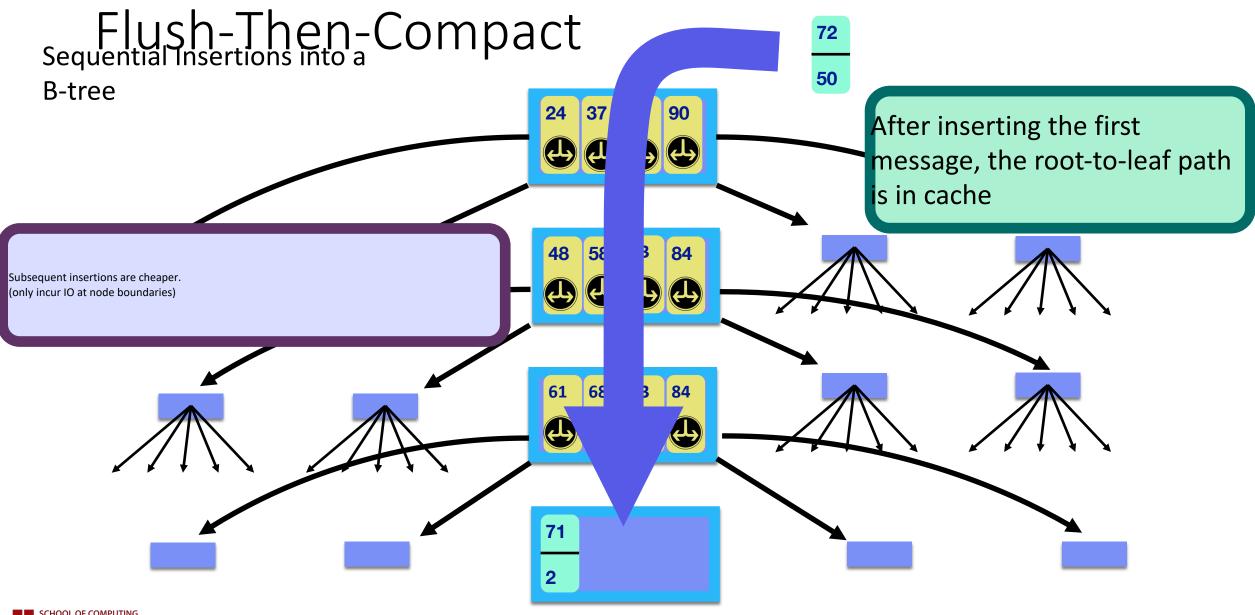


Flush-Then-Compact

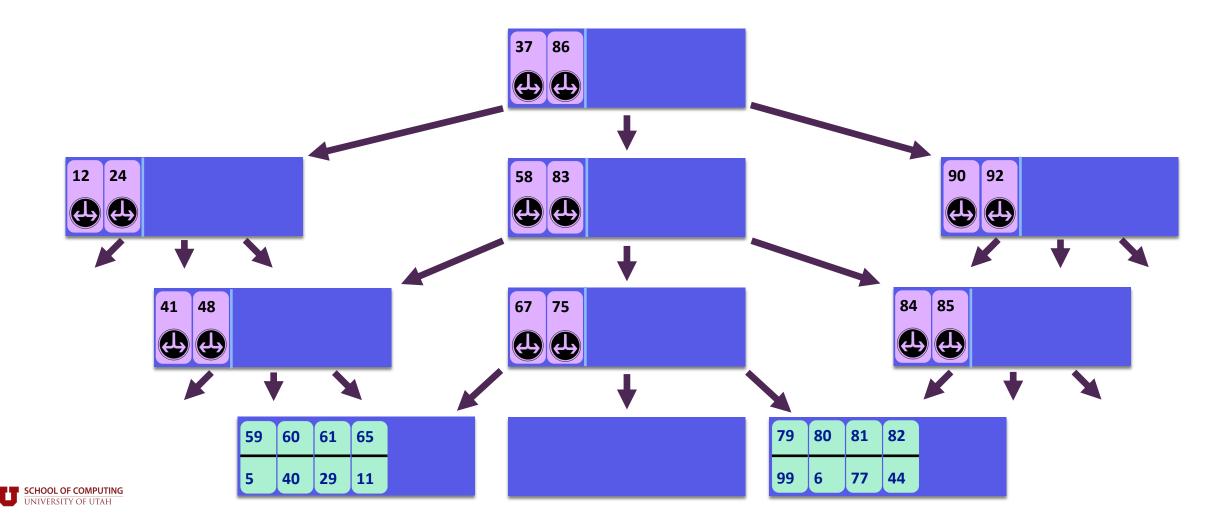






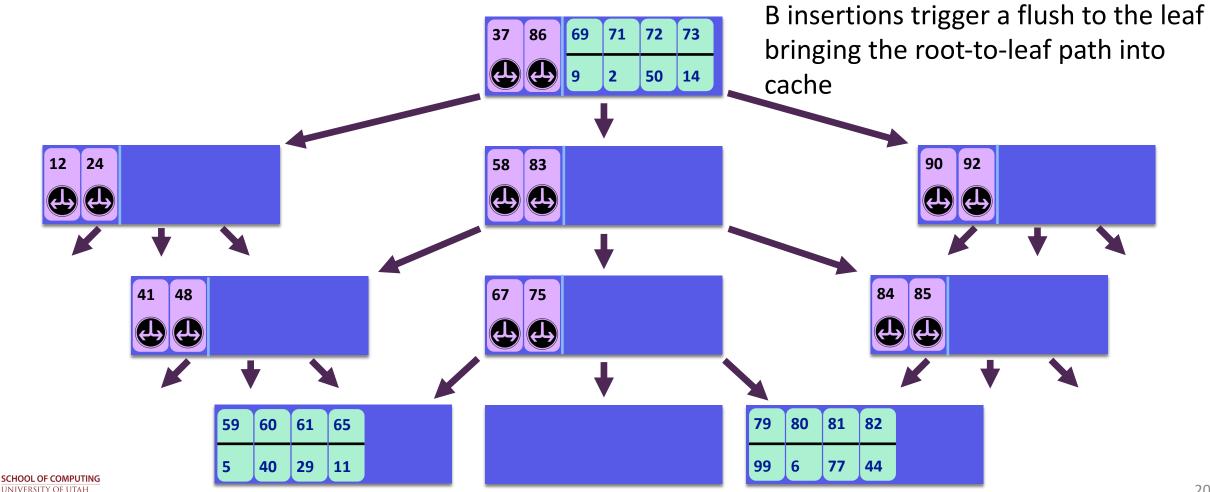


#### Flush-Then-Compact 69 71 72 73 Sequential Insertions into a 9 2 50 14 B<sup>ε</sup>-tree

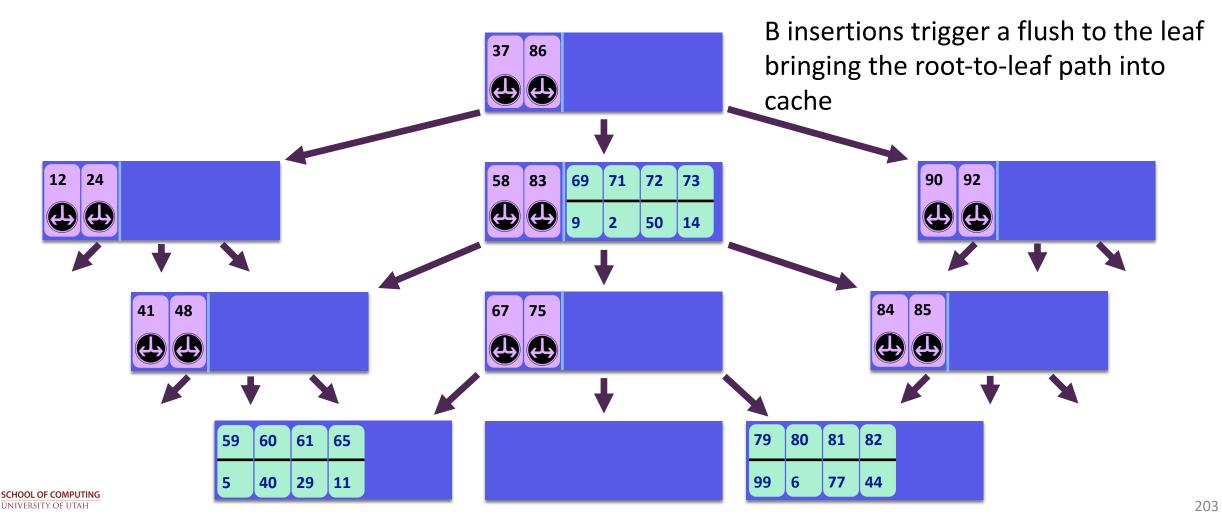


Flush-Then-Compact

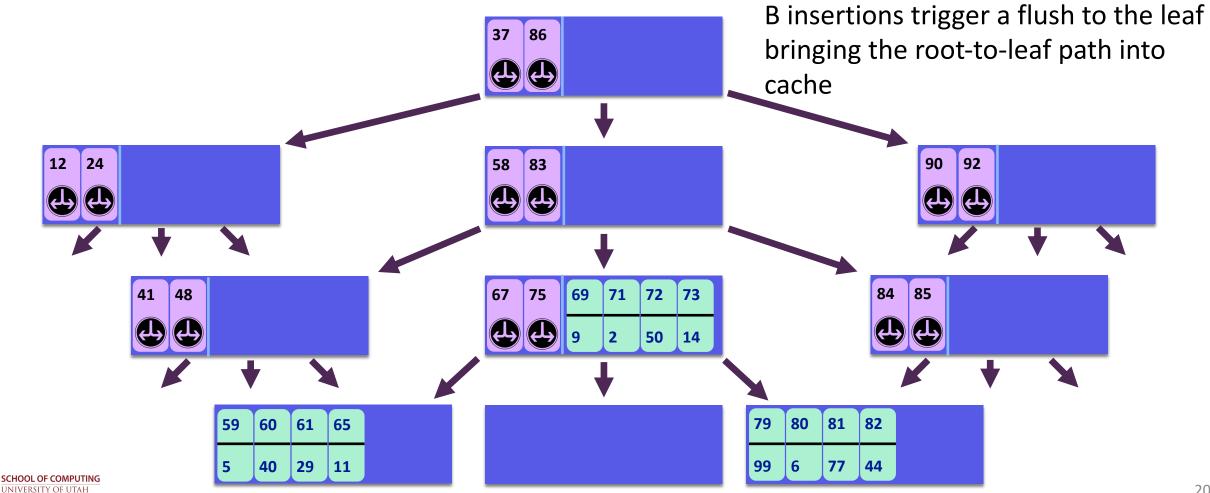
Sequential Insertions into a



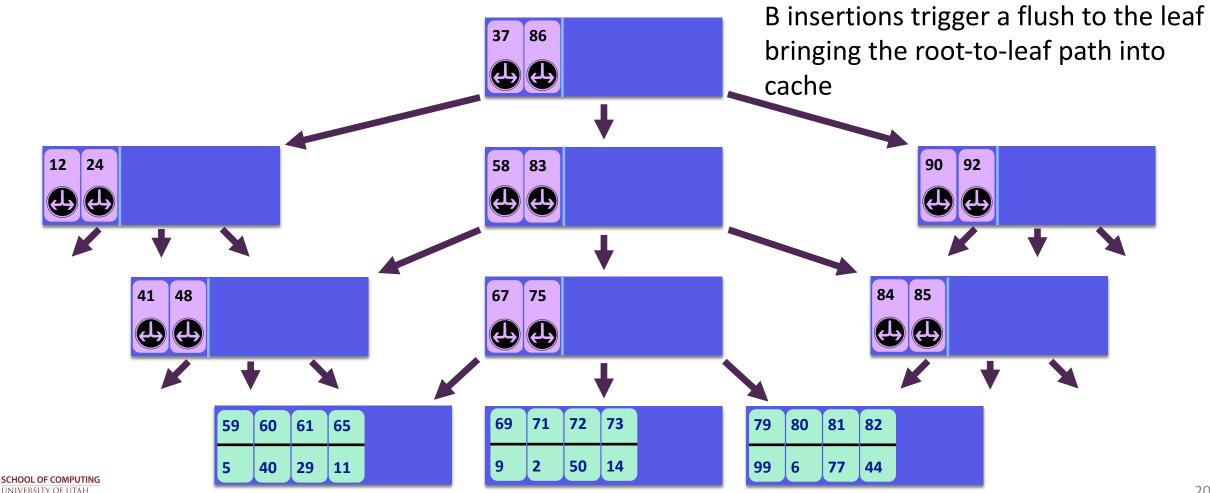
#### Sequential Insertions into a



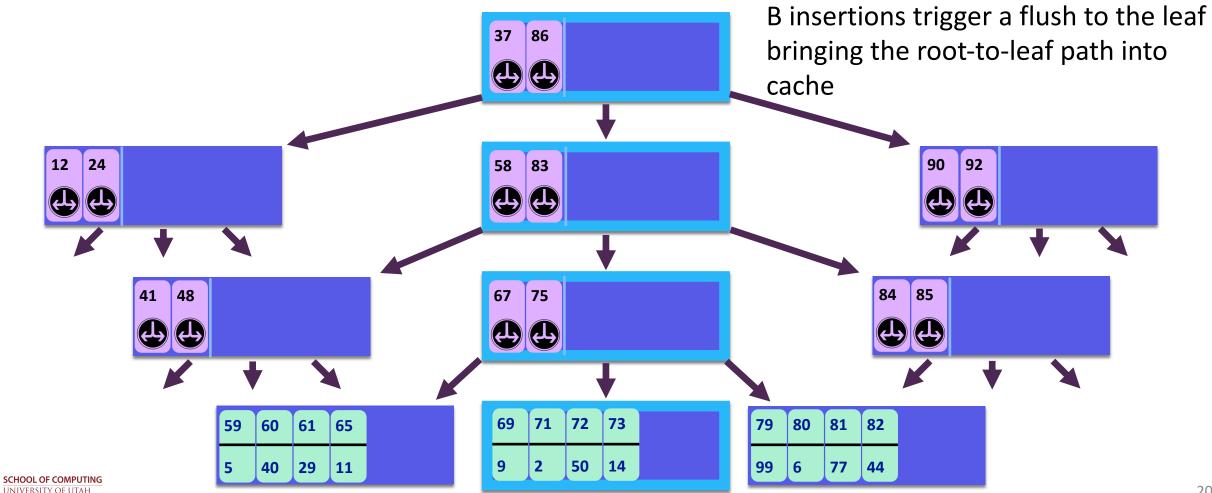
#### Sequential Insertions into a

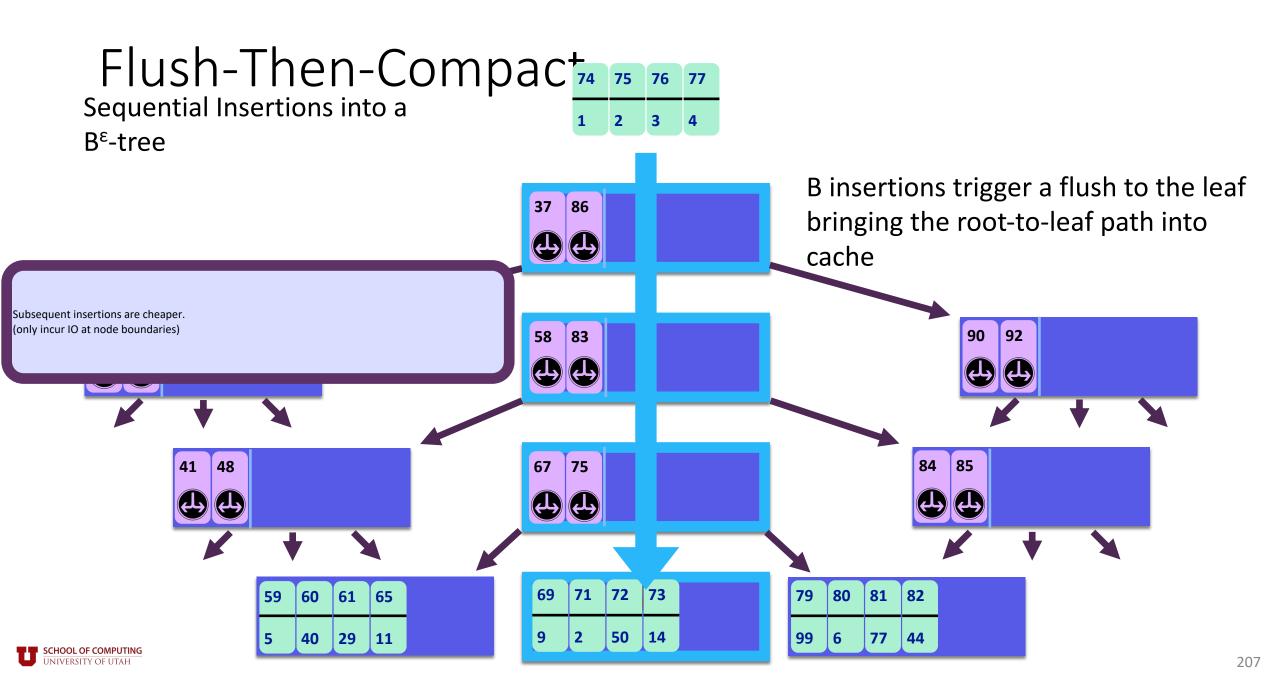


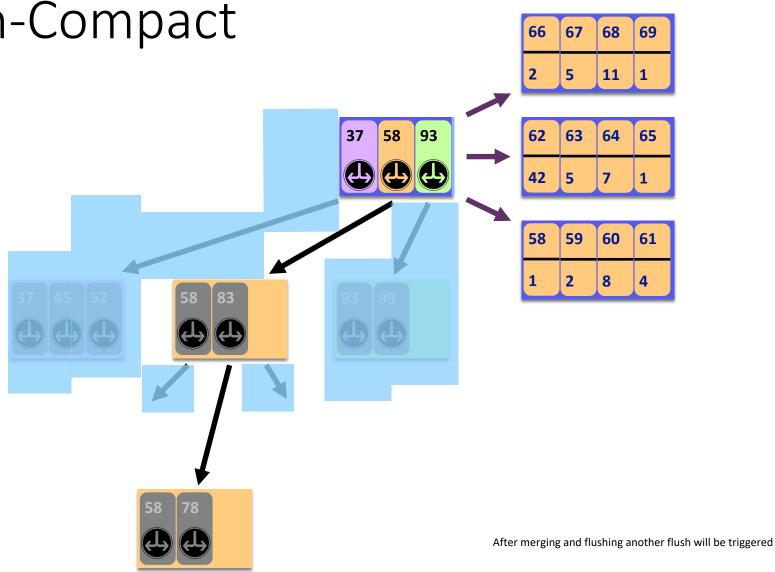
#### Sequential Insertions into a



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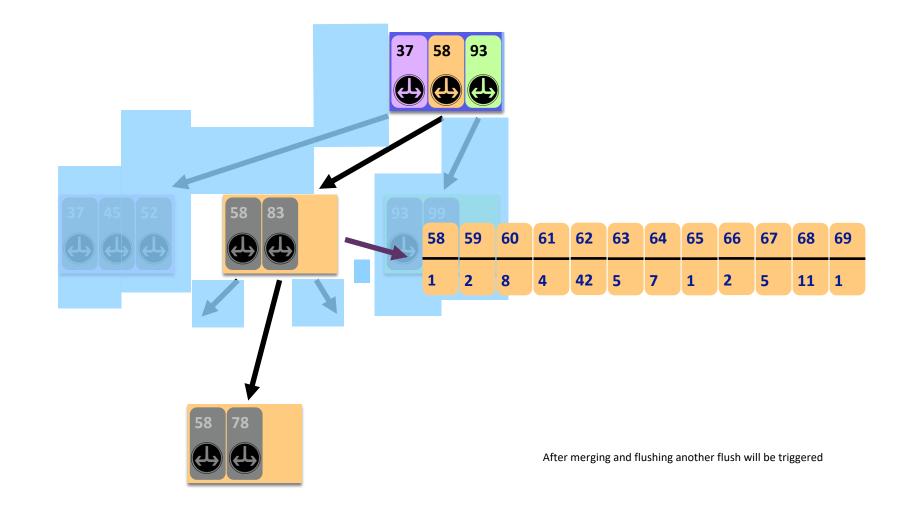






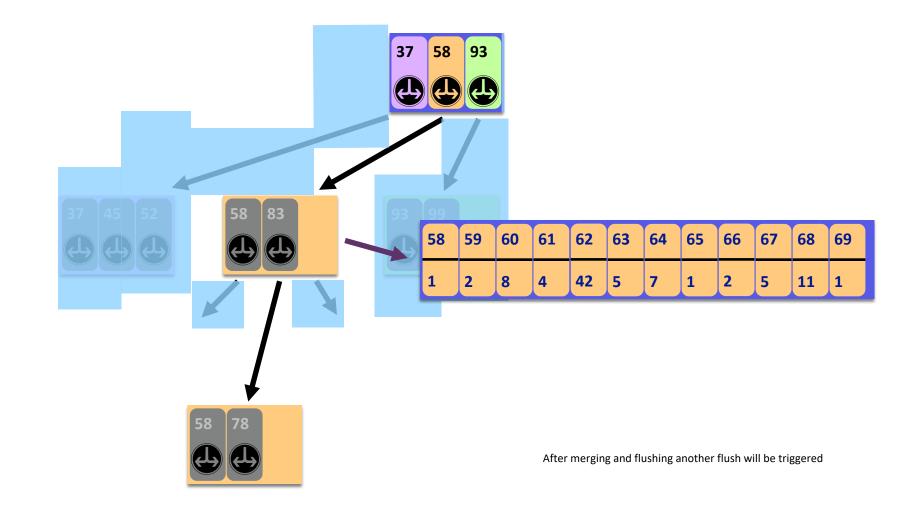


Flush-Then-Compact

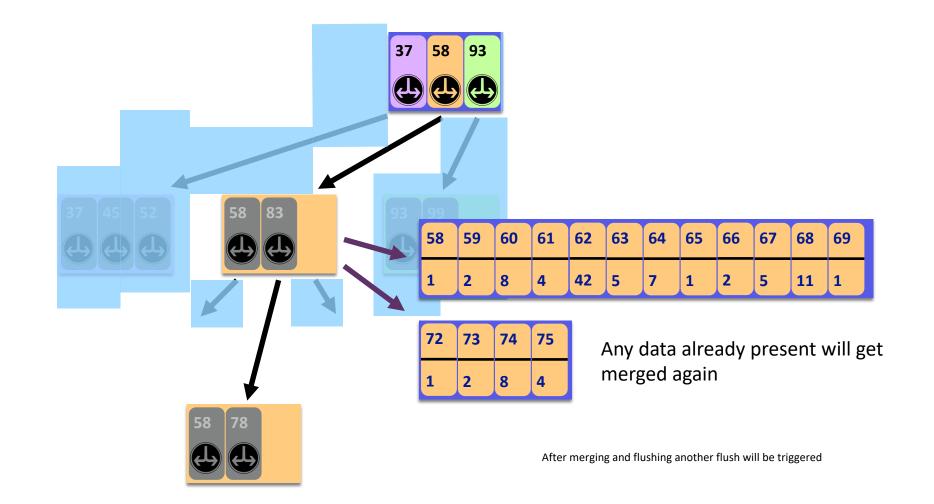




Flush-Then-Compact



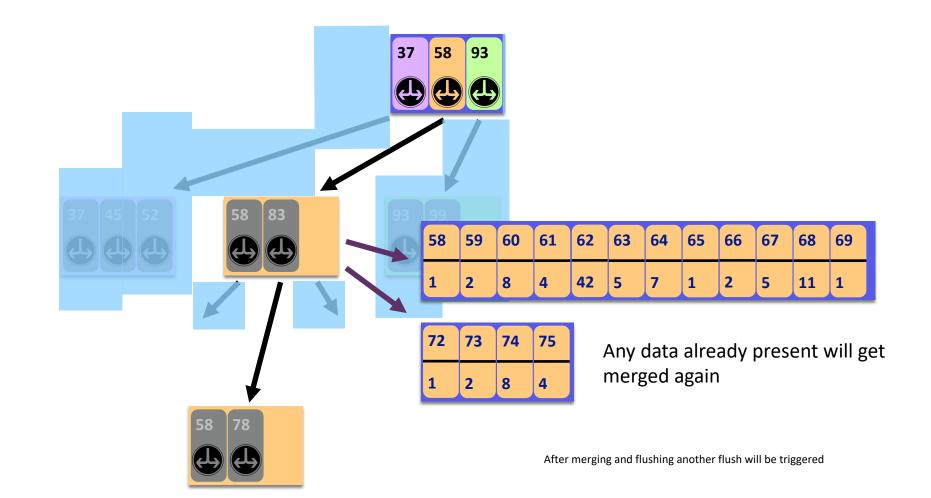
Flush-Then-Compact





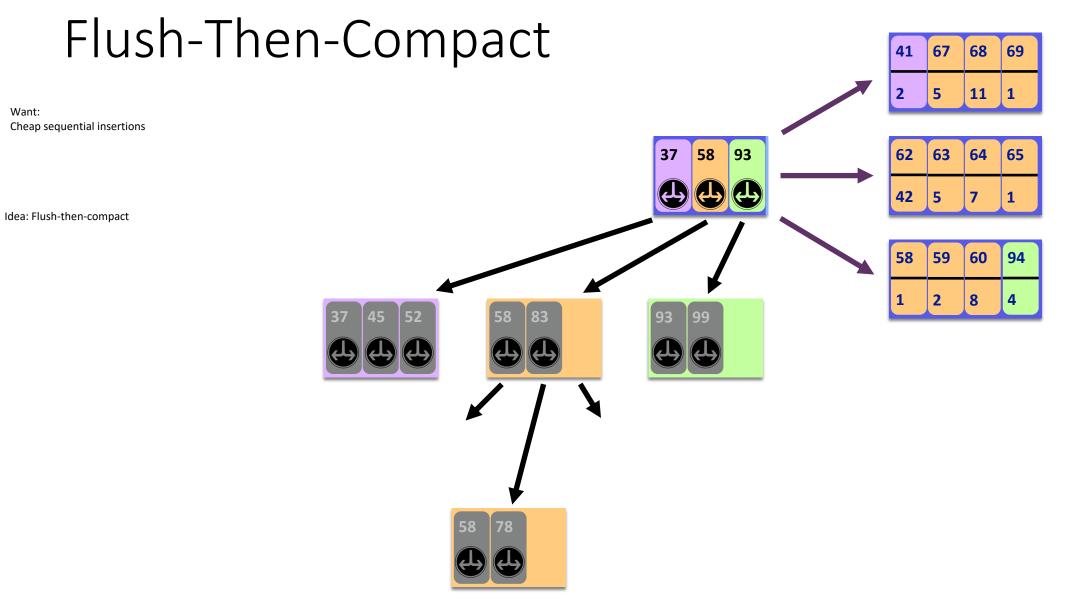
Flush-Then-Compact

Want: Cheap sequential insertions

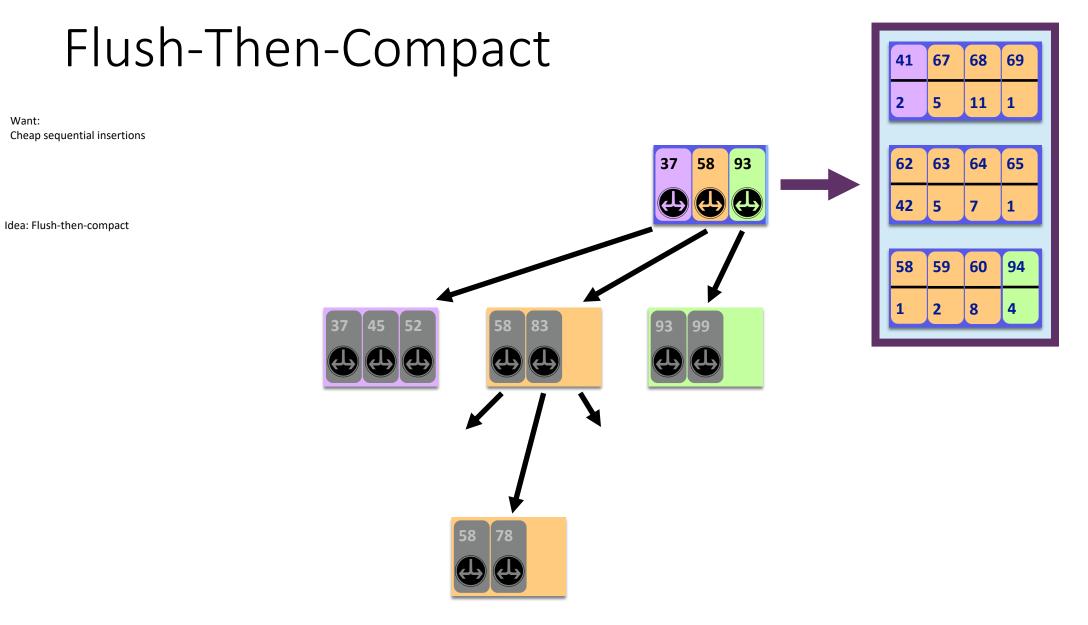


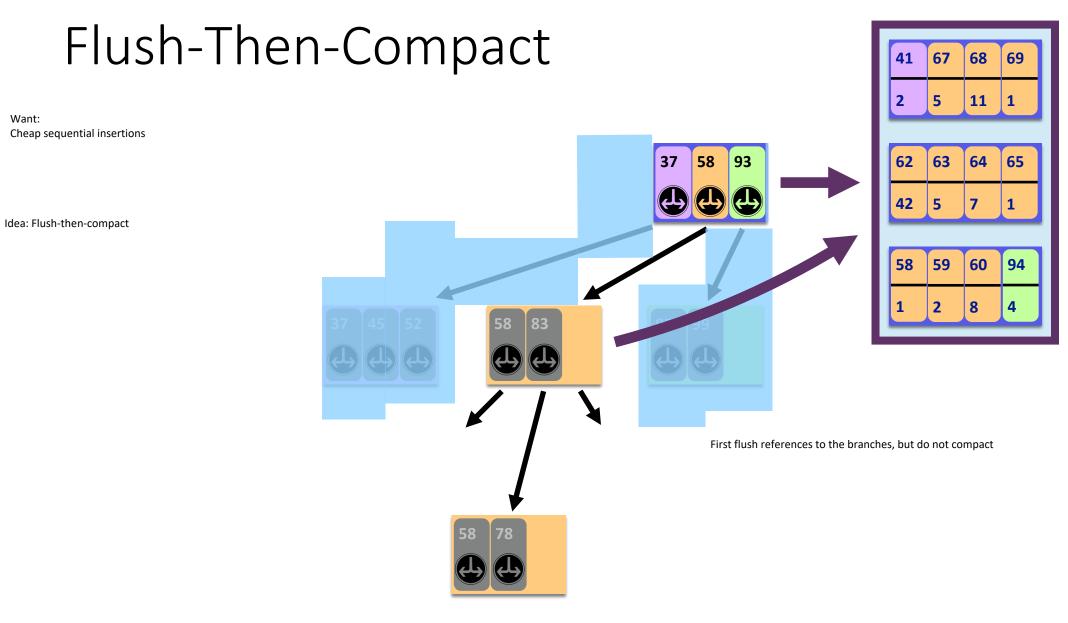
Can still end up merging on each level

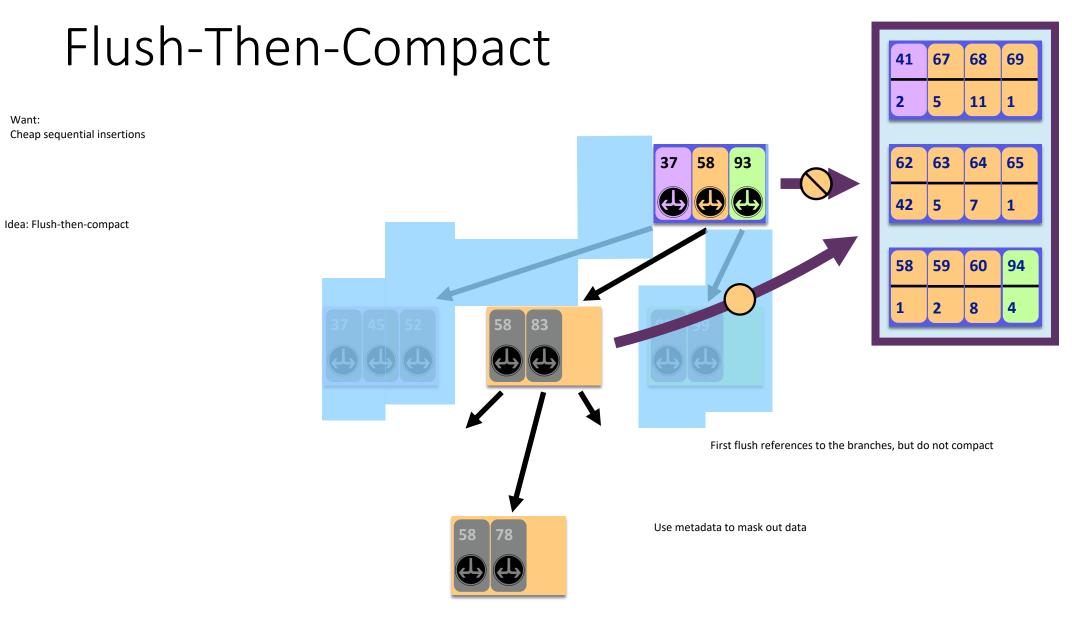






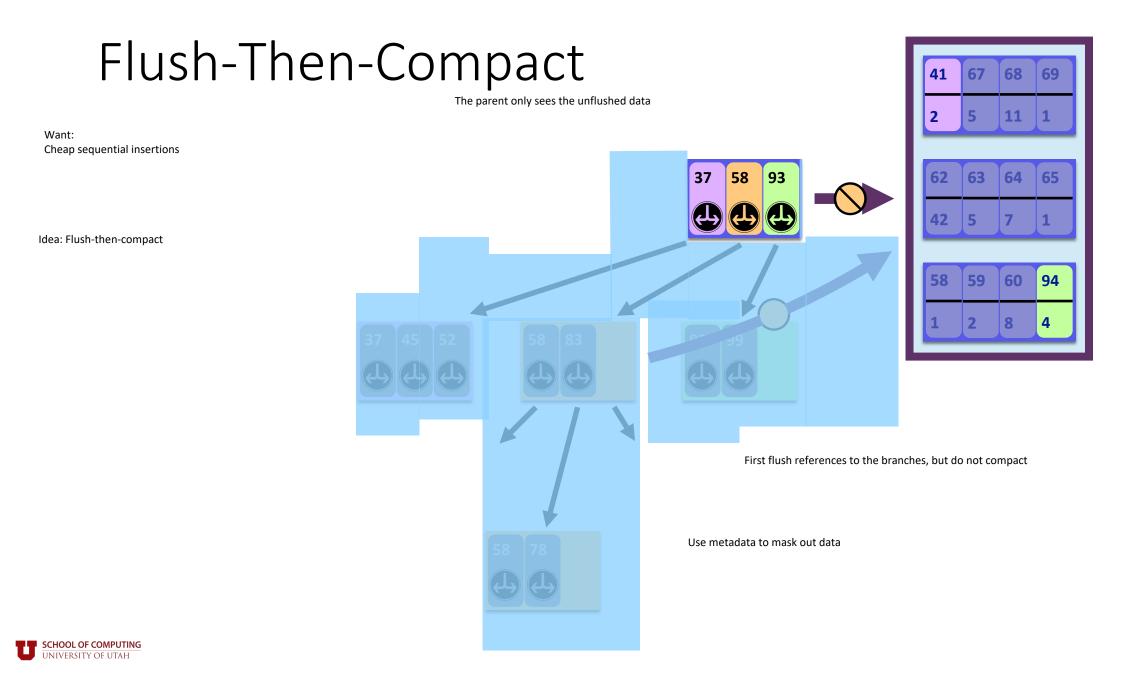


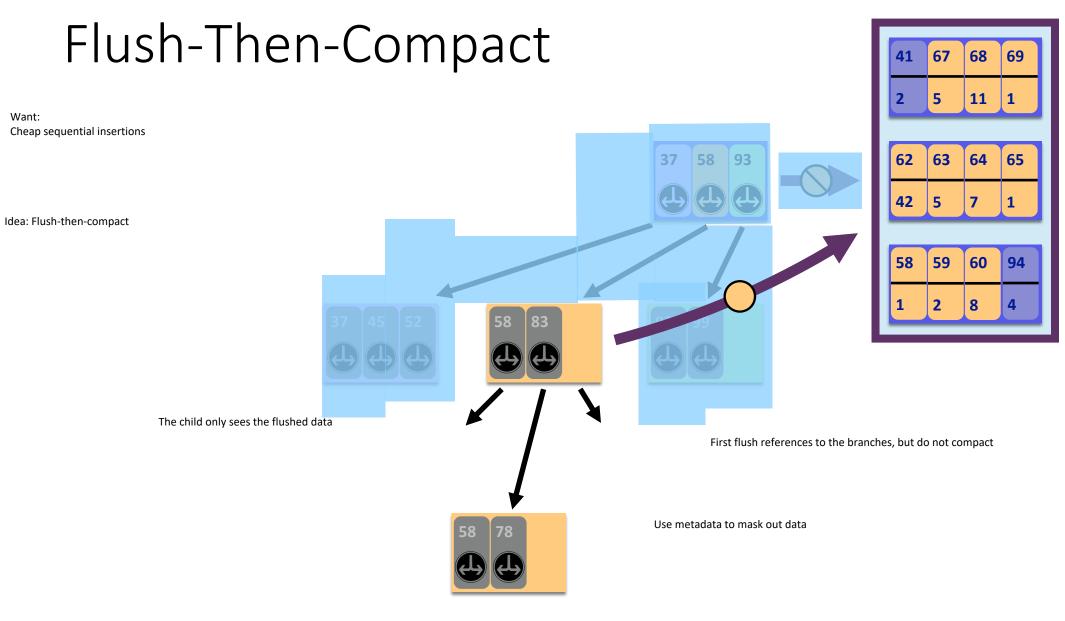


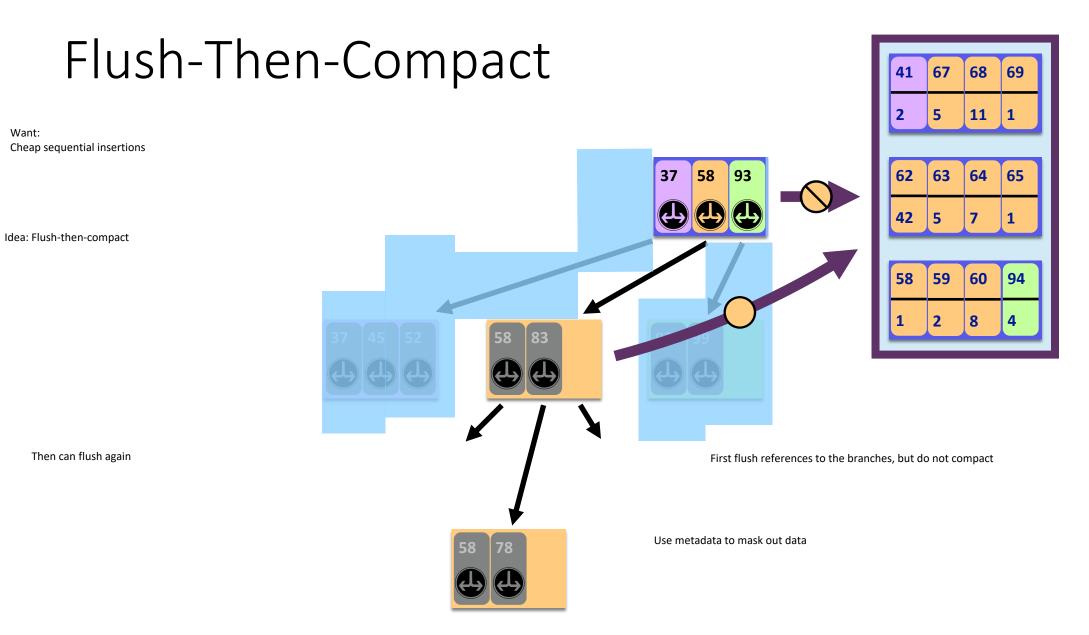


SCHOOL OF COMPUTING UNIVERSITY OF UTAH

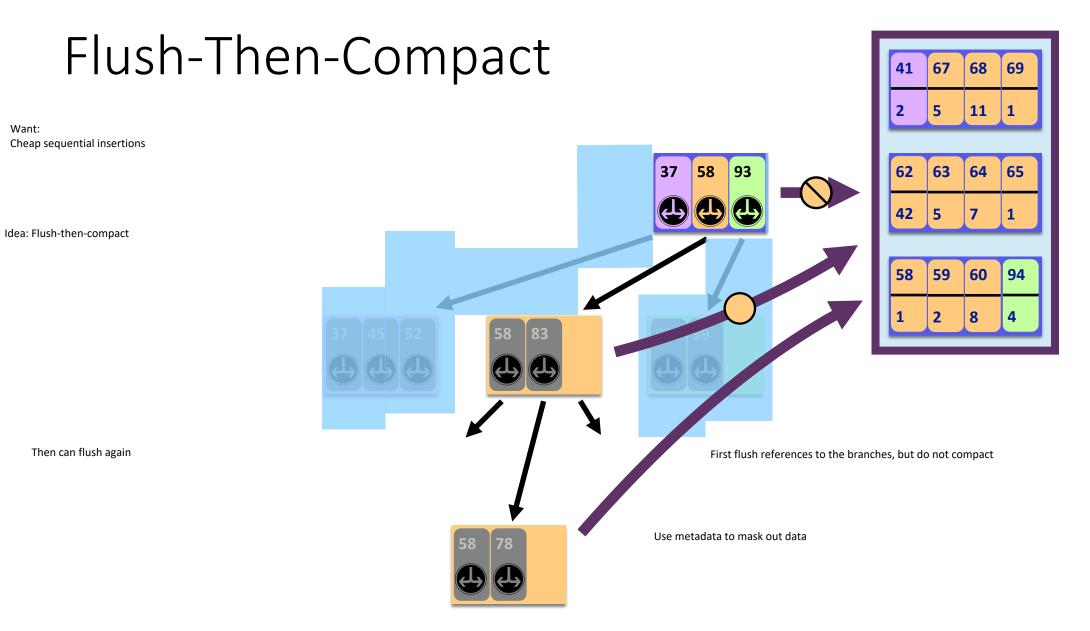
Want:





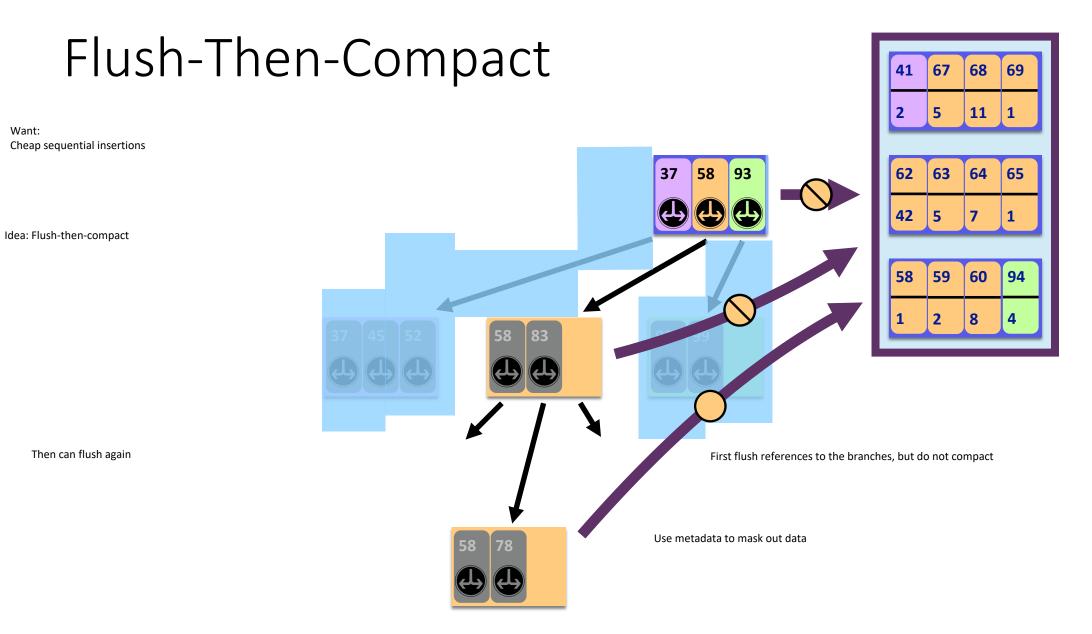






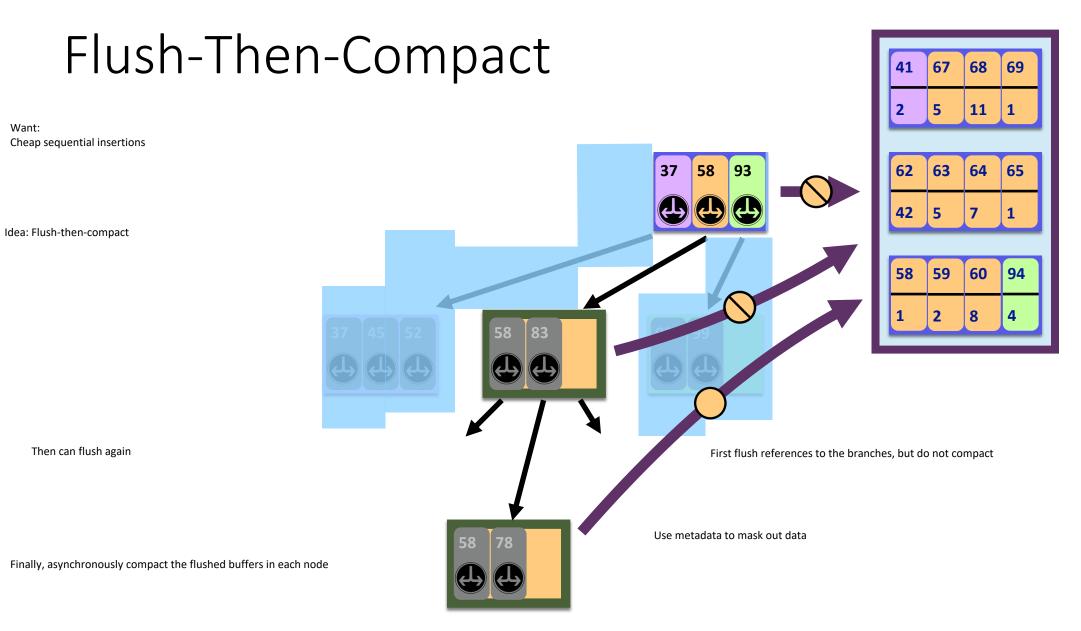


Want:

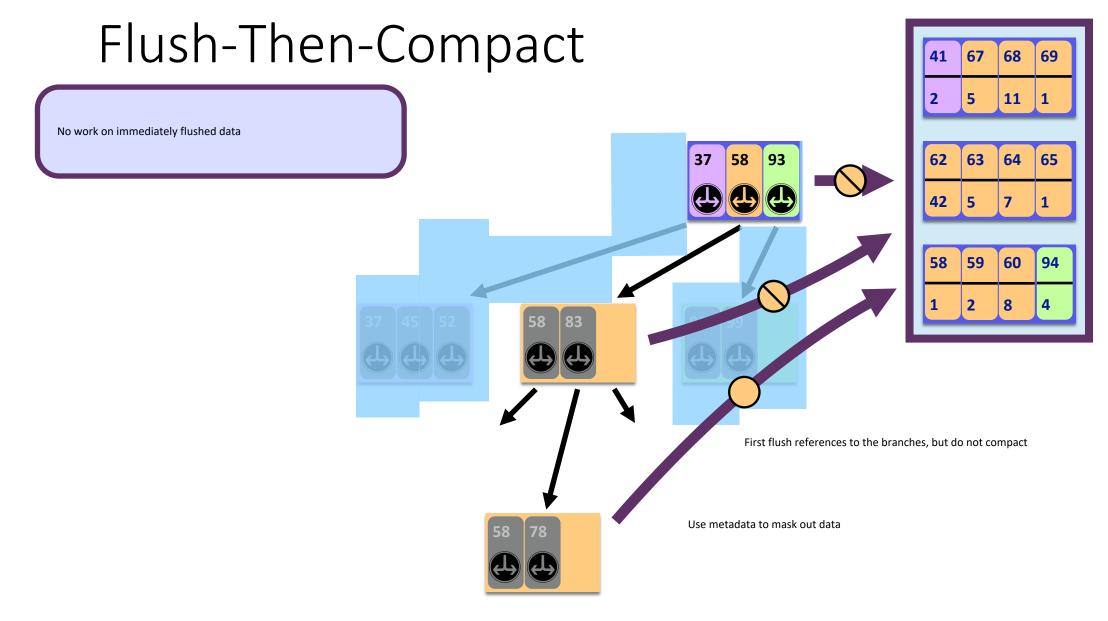




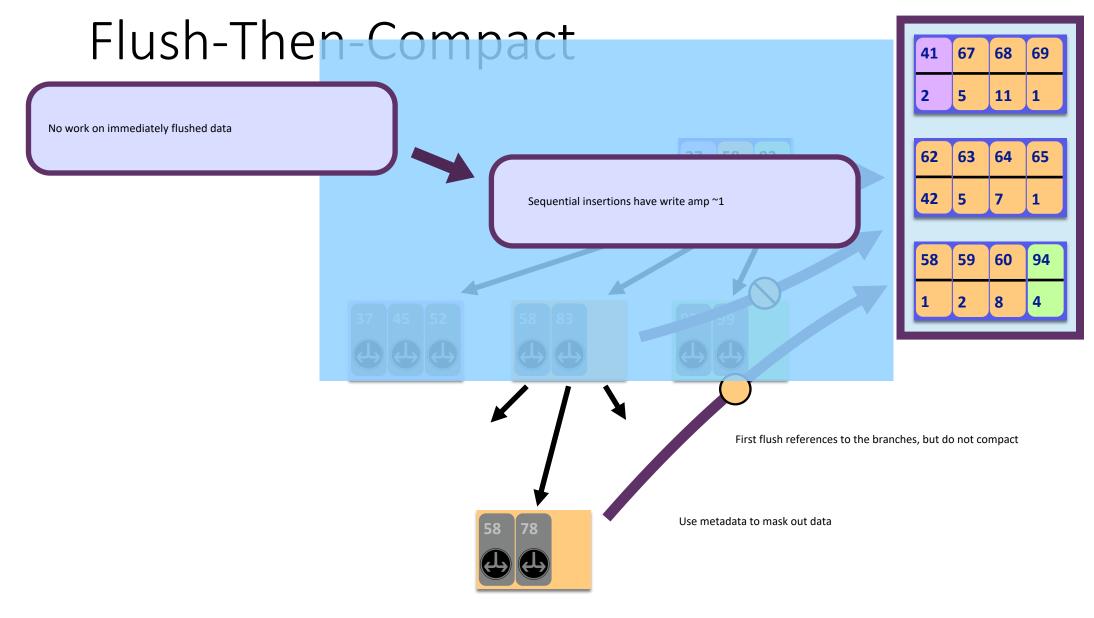
Want:

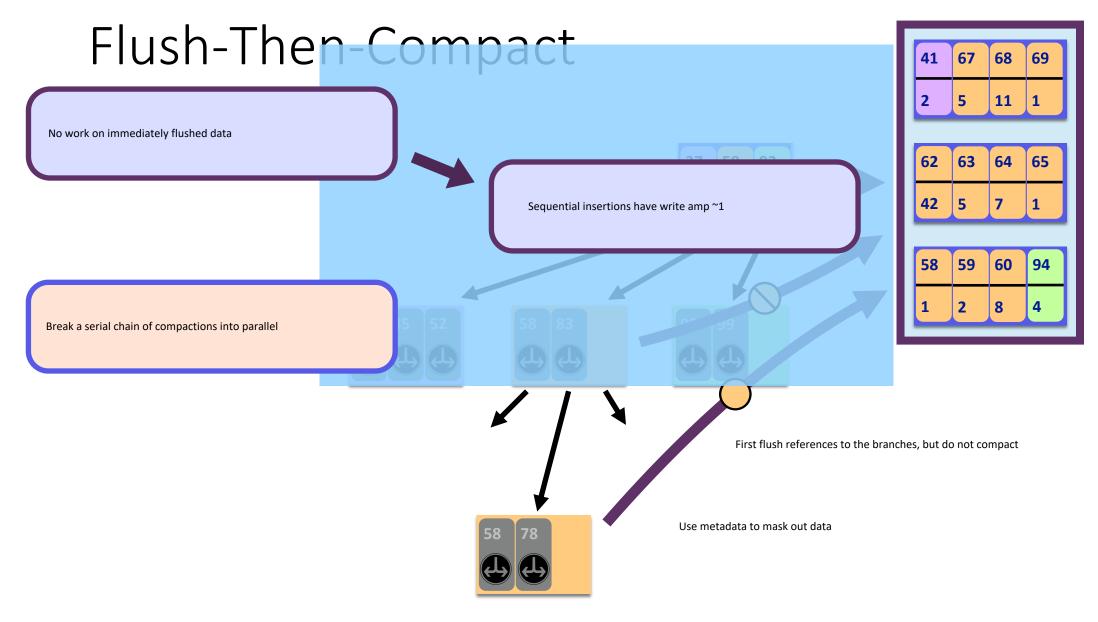


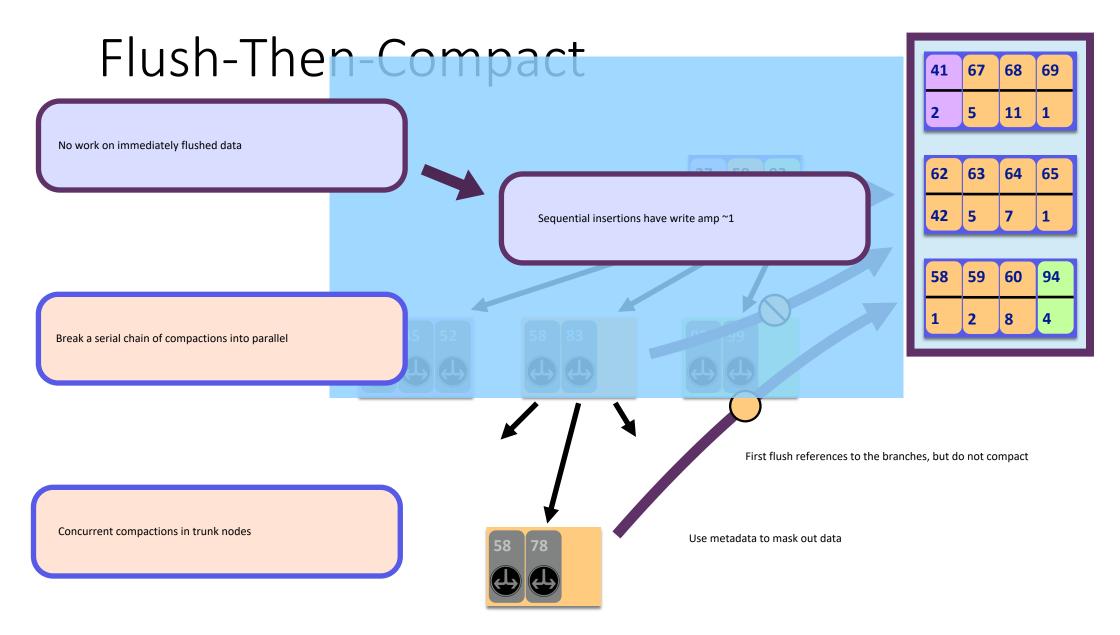


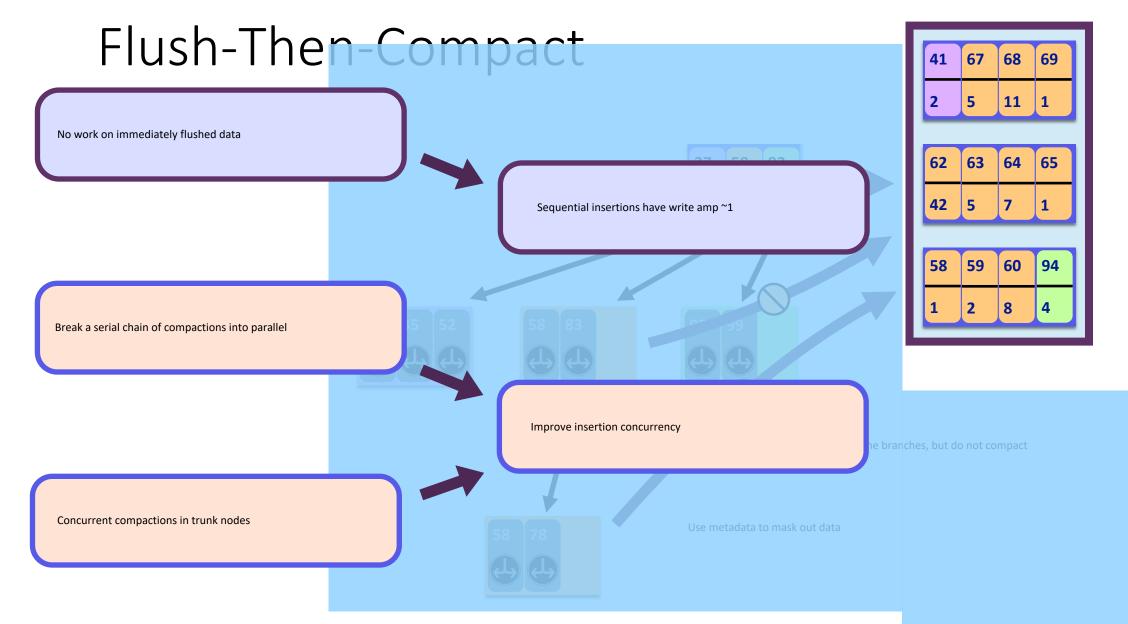














## Flush-Then-Compact

Run a single-threaded workload with a percentage sequential insertions and the rest random

#### 1000 SplinterDB 866 799 676 521 193 85 OPercontago Segoentialo

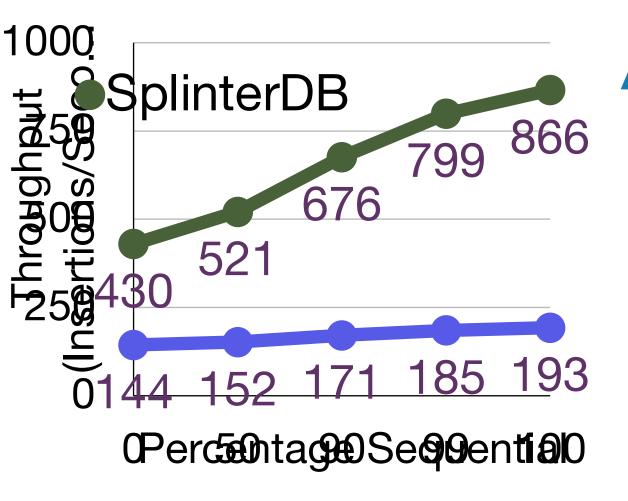
X-axis not to scale



# Flush-Then-Compact

Run a single-threaded workload with a percentage sequential insertions and the rest random

Because of flush-then-compact, SplinterDB smoothly increases throughput as the workload gets more sequential



X-axis not to scale



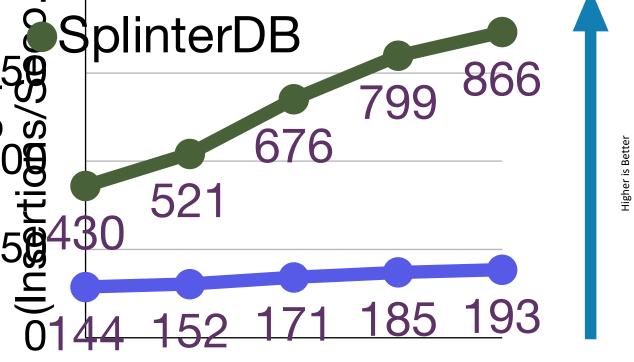
230

### Flush-Then-Compact

1000

Run a single-threaded workload with a percentage sequential insertions and the rest random

Because of flush-then-compact, SplinterDB smoothly increases throughput as the workload gets more sequential

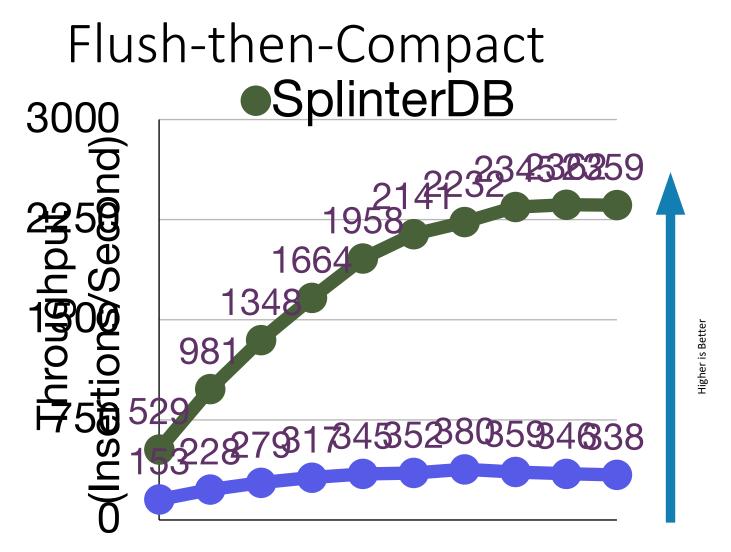


#### OPercontage Seggential

X-axis not to scale

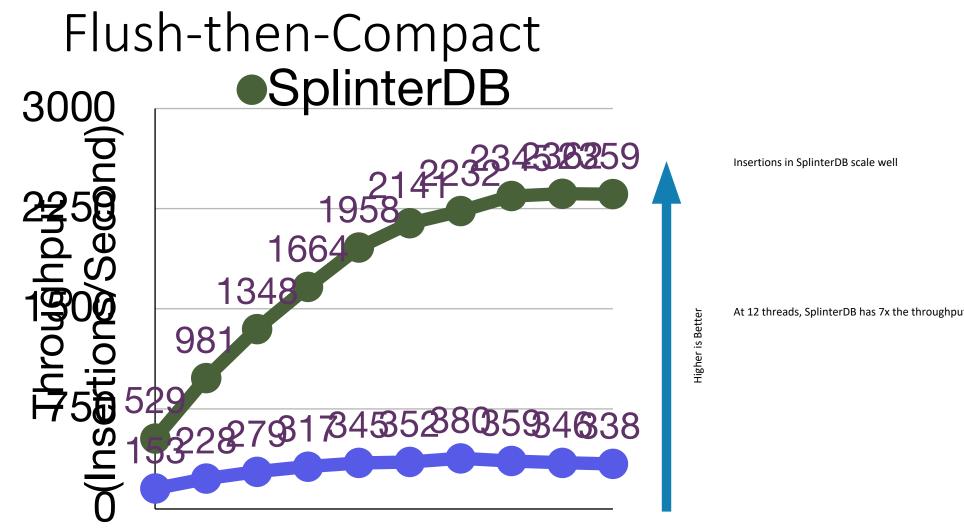
RocksDB improves, but at a much lower rate





Insertions in SplinterDB scale well

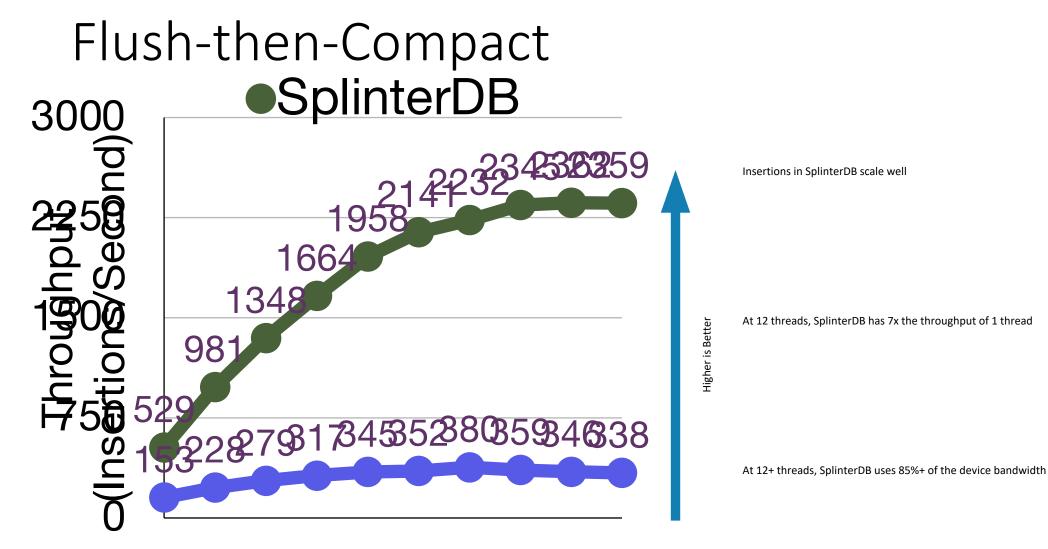
2 4 Number 101 2 th 4 2 4 2 0



4 1 cm 8 et 0 1 2 th 4 et a 1 8 2 0 2

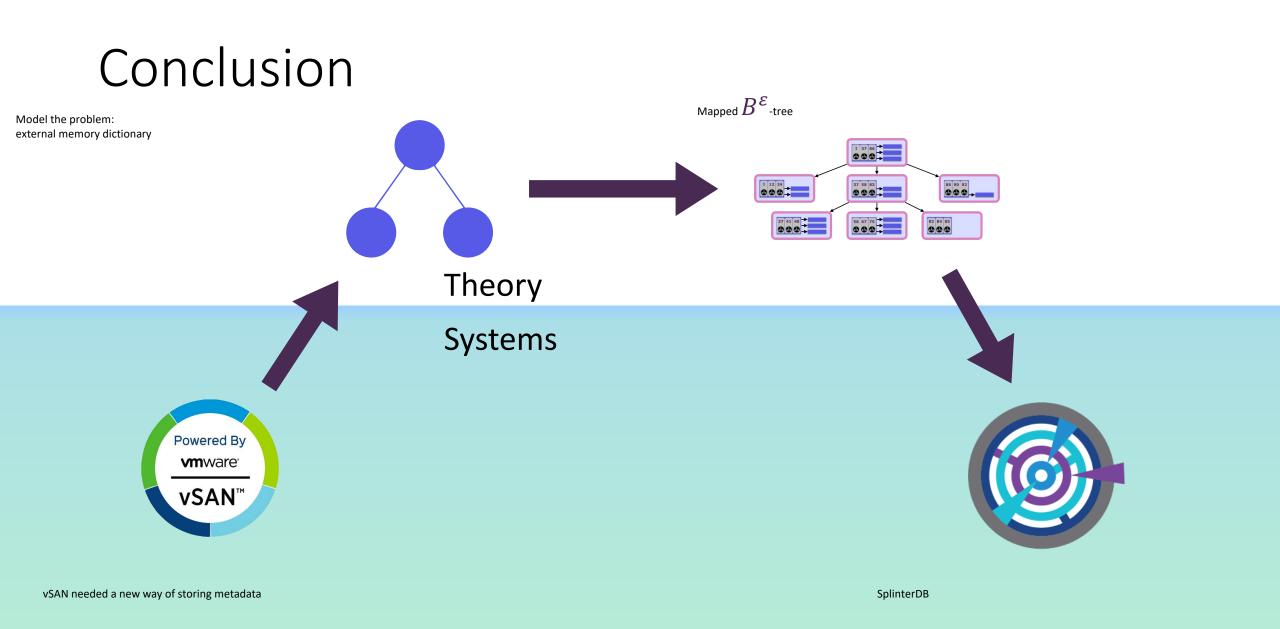
SCHOOL OF COMPUTING NIVERSITY OF UTAH

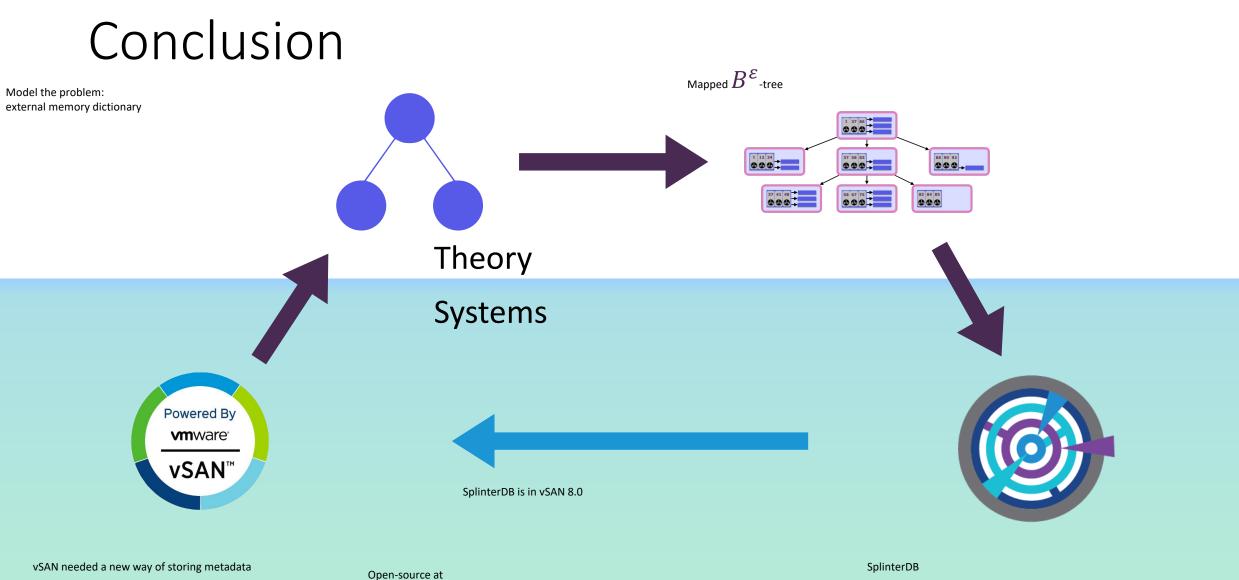
At 12 threads, SplinterDB has 7x the throughput of 1 thread



2 4 Number 01 2 th 4 2 6 3 2 0







Open-source at https://github.com/vmware/splinterdb

