



# Optical Flow I

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CS 6320, Spring 2012

(credits: Marc Pollefeys UNC Chapel Hill, Comp 256 / K.H. Shafique, UCSF, CAP5415 / S. Narasimhan, CMU / Bahadir K. Gunturk, EE 7730 / Bradski&Thrun, Stanford CS223)



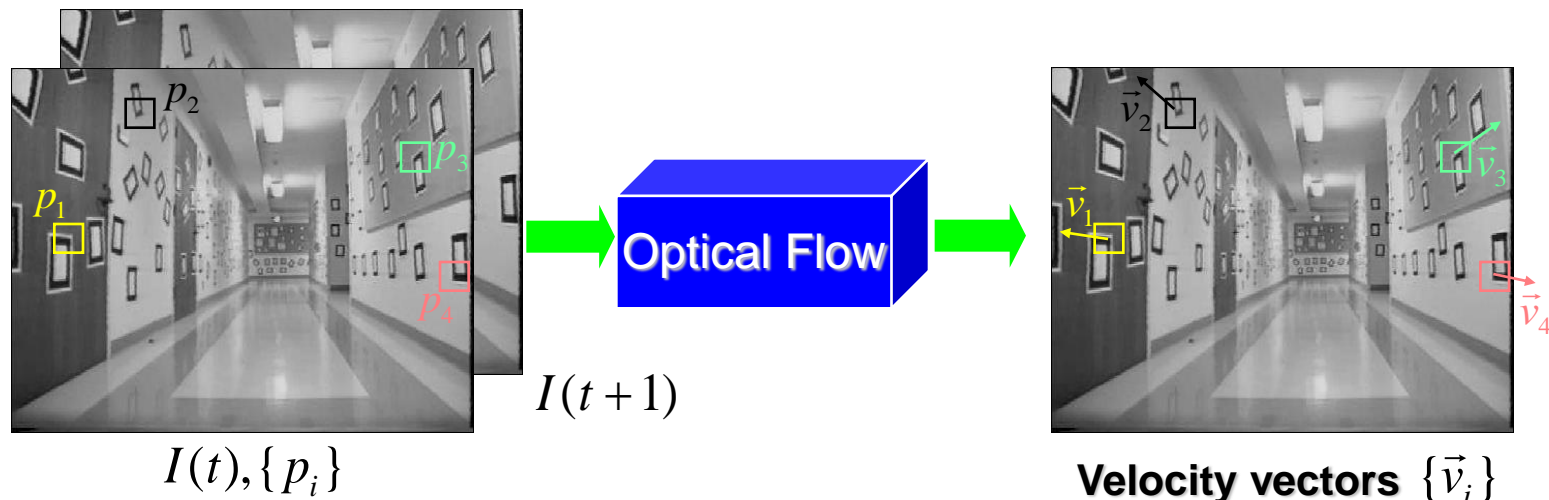
# Materials

- Gary Bradski & Sebastian Thrun, Stanford CS223  
<http://robots.stanford.edu/cs223b/index.html>
- S. Narasimhan, CMU: <http://www.cs.cmu.edu/afs/cs/academic/class/15385-s06/lectures/ppts/lec-16.ppt>
- M. Pollefeys, ETH Zurich/UNC Chapel Hill:  
<http://www.cs.unc.edu/Research/vision/comp256/vision10.ppt>
- K.H. Shafique, UCSF: <http://www.cs.ucf.edu/courses/cap6411/cap5415/>
  - Lecture 18 (March 25, 2003), Slides: [PDF](#)/ [PPT](#)
- Jepson, Toronto:  
<http://www.cs.toronto.edu/pub/jepson/teaching/vision/2503/opticalFlow.pdf>
- Original paper Horn&Schunck 1981:  
<http://www.csd.uwo.ca/faculty/beau/CS9645/PAPERS/Horn-Schunck.pdf>
- MIT AI Memo Horn& Schunck 1980:  
<http://people.csail.mit.edu/bkph/AIM/AIM-572.pdf>
- Bahadir K. Gunturk, EE 7730 Image Analysis II
- Some slides and illustrations from L. Van Gool, T. Darell, B. Horn, Y. Weiss, P. Anandan, M. Black, K. Toyama

# Tracking – Rigid Objects



# What is Optical Flow (OF)?



**Optical flow** is the relation of the motion field:

- *the 2D projection of the physical movement of points relative to the observer to 2D displacement of pixel patches on the image plane.*

**Common assumption:**

**The appearance of the image patches do not change (brightness constancy)**

$$I(p_i, t) = I(p_i + \vec{v}_i, t + 1)$$

**Note:** more elaborate tracking models can be adopted if more frames are process all at once



# Optical Flow

- Brightness Constancy
- The Aperture problem
- Regularization
- Lucas-Kanade
- Coarse-to-fine
- Parametric motion models
- Direct depth
- SSD tracking
- Robust flow
- Bayesian flow



# Optical Flow and Motion

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- We are interested in finding the movement of scene objects from time-varying images (videos).
- Lots of uses
  - Motion detection
  - Track objects
  - Correct for camera jitter (stabilization)
  - Align images (mosaics)
  - 3D shape reconstruction
  - Special effects
  - Games: <http://www.youtube.com/watch?v=JlLkkom6tWw>
  - User Interfaces: <http://www.youtube.com/watch?v=Q3gT52sHDI4>
  - Video compression

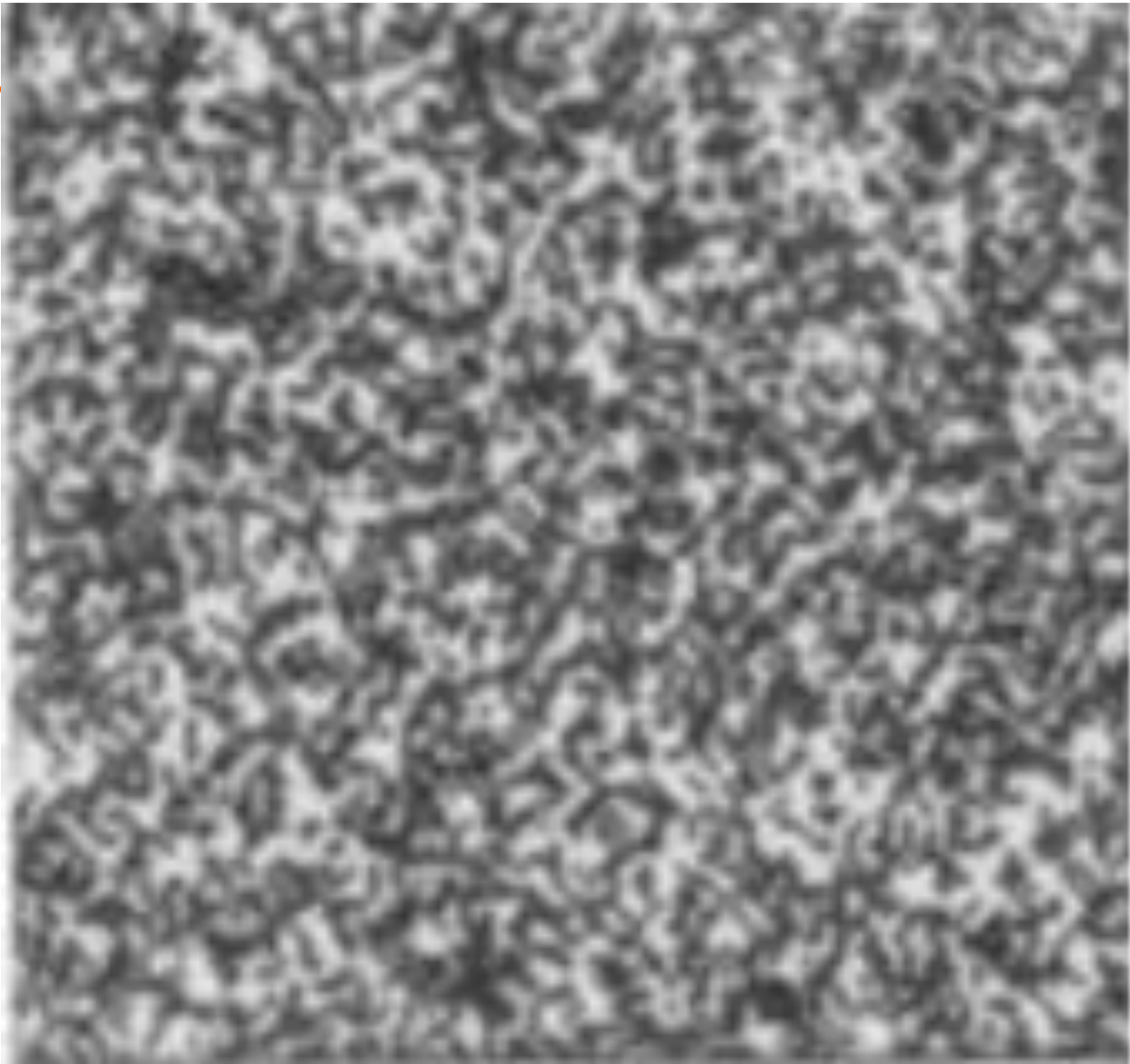


# Optical Flow: Where do pixels move to?



# Related to: Optical flow

Wh





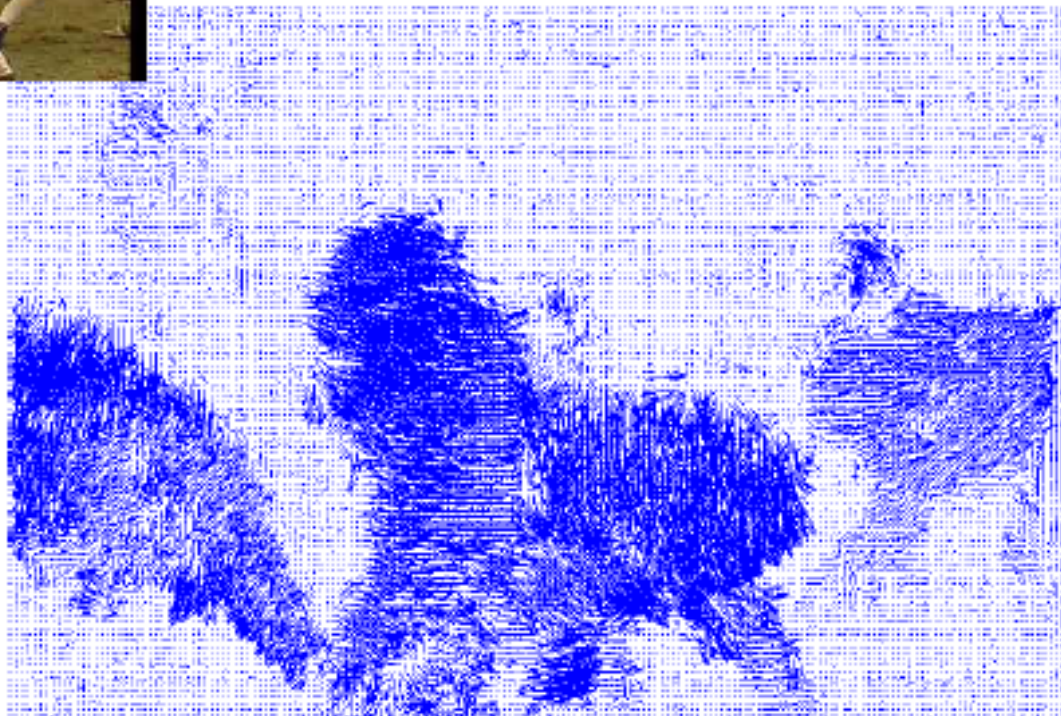
# Tracking – Non-rigid Objects

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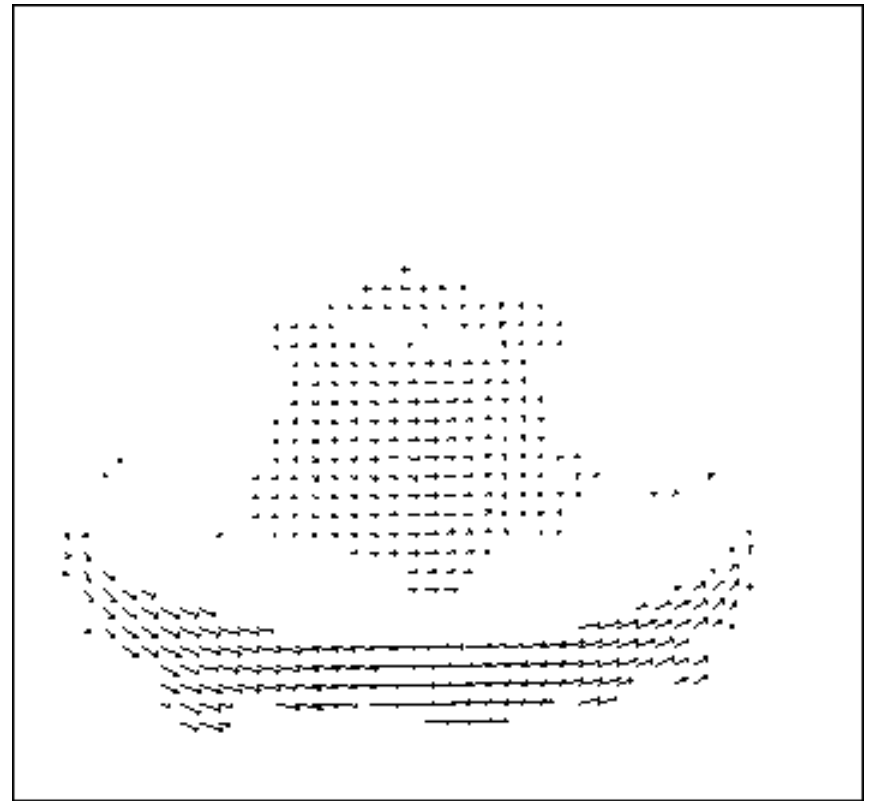
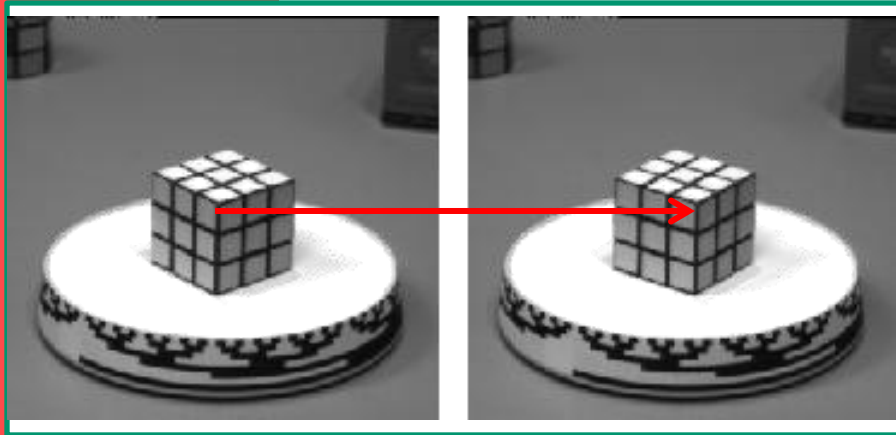
(Comaniciu et al, Siemens)

# Tracking – Non-rigid Objects

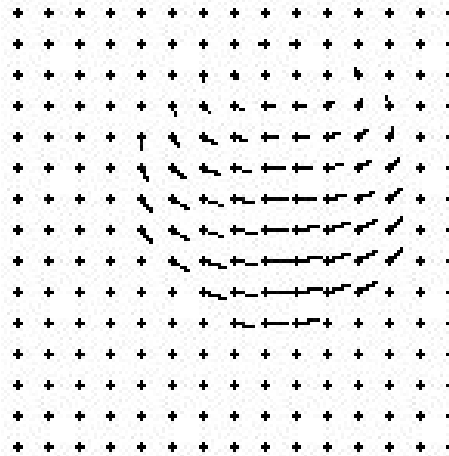
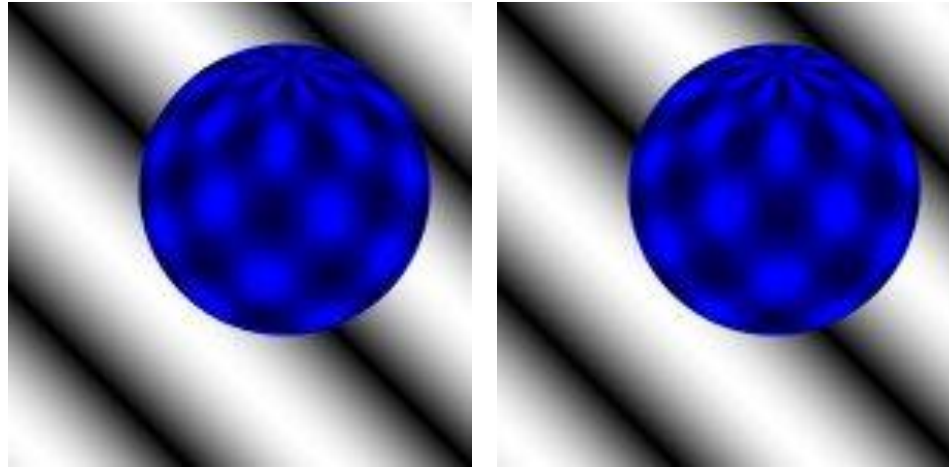


# Optical Flow: Correspondence

Basic question: Which Pixel went where?



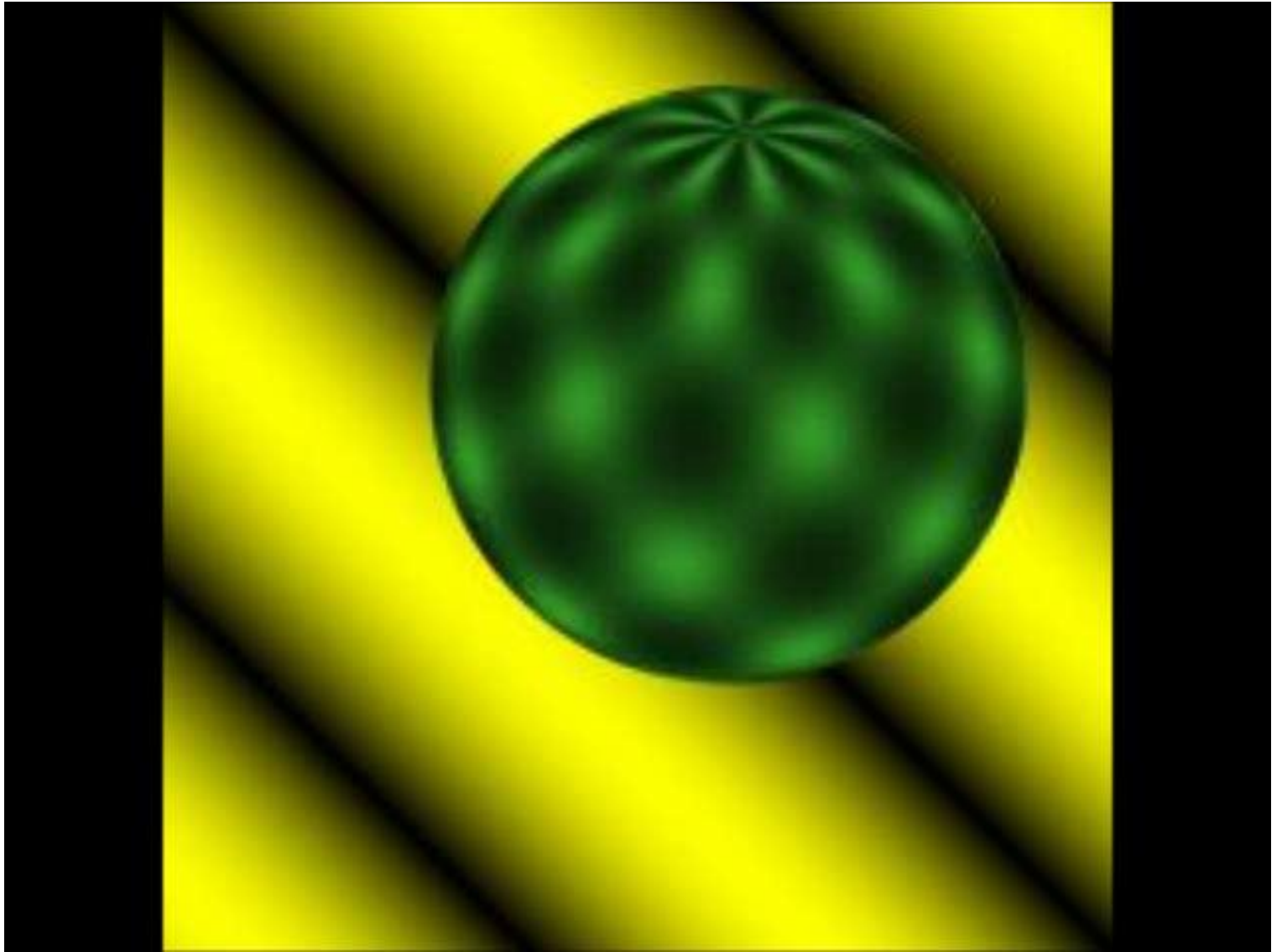
# Optical Flow is NOT 3D motion field



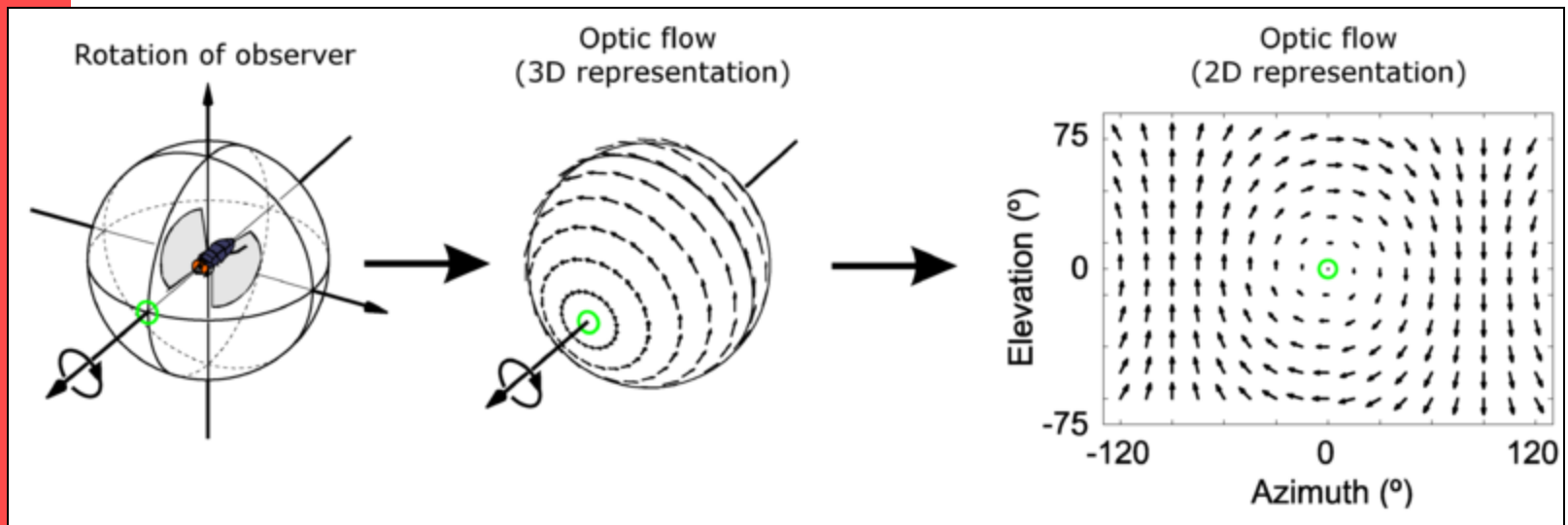
Optical flow: Pixel  
motion field as  
observed in image.



# Structure from Motion?



# Optical Flow is NOT 3D motion field



<http://en.wikipedia.org/wiki/File:Opticfloweg.png>

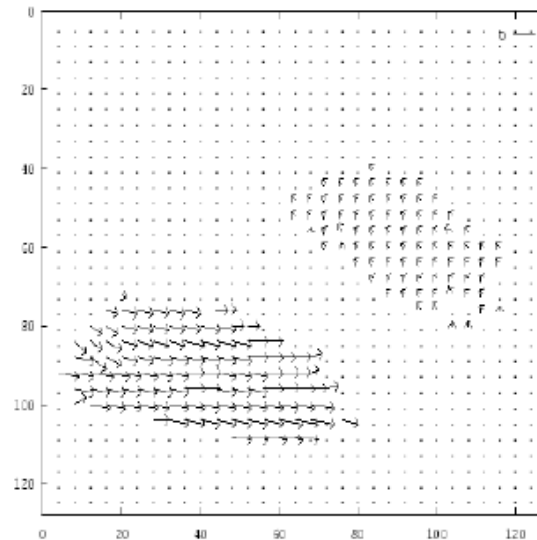




## Definition of optical flow

**OPTICAL FLOW = apparent motion of brightness patterns**

Ideally, the optical flow is the projection of the three-dimensional velocity vectors on the image

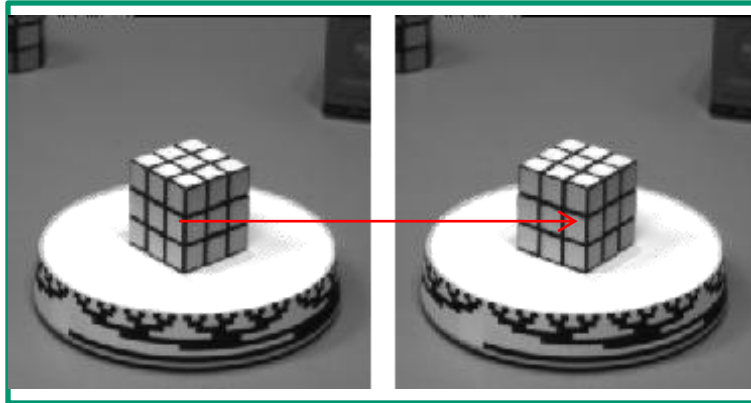




# Optical Flow

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- Regularization
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- Bayesian flow

# Start with an Equation: Brightness Constancy



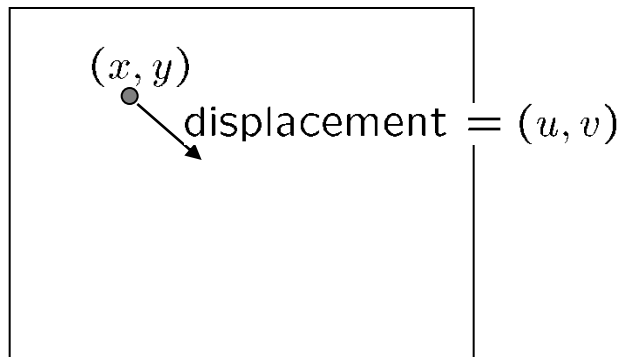
Time:  $t$

Time:  $t + dt$

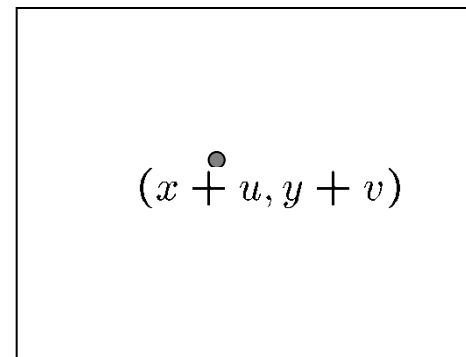
Point moves (small), but its  
brightness remains constant:

$$I_{t_1}(x, y) = I_{t_2}(x + u, y + v)$$

$$I = \text{constant} \rightarrow \frac{dI}{dt} = 0$$



$I_1$



$I_2$



## Mathematical formulation

$I(x(t), y(t), t)$  = brightness at  $(x, y)$  at time  $t$

*Brightness constancy assumption (shift of location but brightness stays same):*

$$I\left(x + \frac{dx}{dt} \delta t, y + \frac{dy}{dt} \delta t, t + \delta t\right) = I(x, y, t)$$

*Optical flow constraint equation (chain rule):*

$$\frac{dI}{dt} = \frac{\partial I}{\partial x} \frac{dx}{dt} + \frac{\partial I}{\partial y} \frac{dy}{dt} + \frac{\partial I}{\partial t} = 0$$



## The aperture problem

$$u = \frac{dx}{dt}, \quad v = \frac{dy}{dt}$$

$$I_x = \frac{\partial I}{\partial x}, \quad I_y = \frac{\partial I}{\partial y}, \quad I_t = \frac{\partial I}{\partial t}$$

$$I_x u + I_y v + I_t = 0$$

1 equation in 2 unknowns

Horn and  
Schunck  
optical flow  
equation

# Optical Flow: 1D Case

Brightness Constancy Assumption:

$$f(t) \equiv \underbrace{I(x(t), t)} = I(x(t + dt), t + dt)$$

$$\frac{\partial f(x)}{\partial t} = 0 \quad \text{Because no change in brightness with time}$$

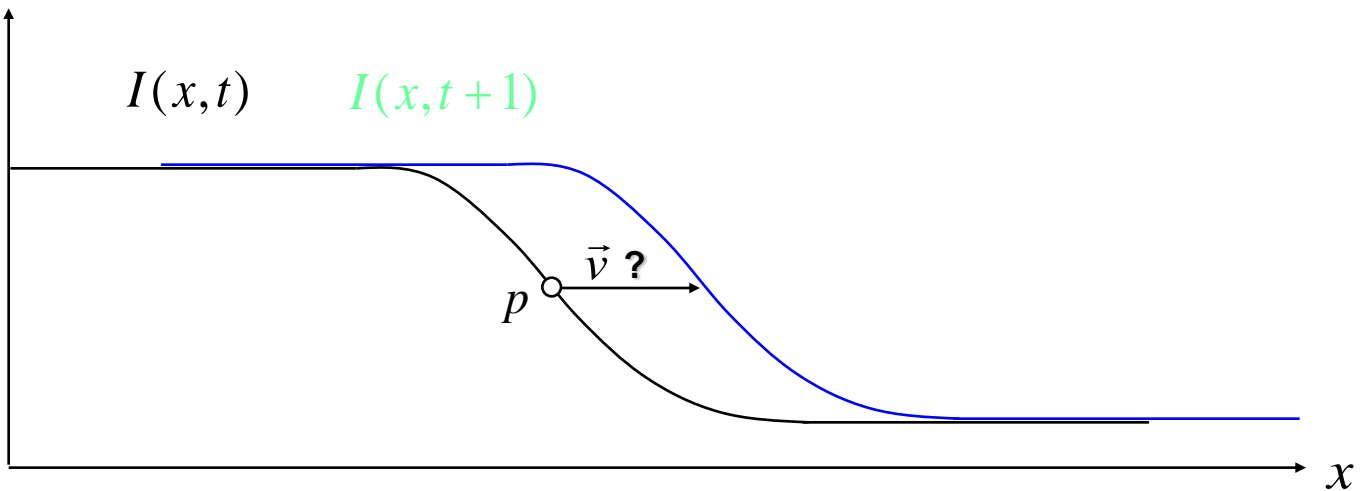
$$\frac{\partial I}{\partial x} \bigg|_t \left( \frac{\partial x}{\partial t} \right) + \frac{\partial I}{\partial t} \bigg|_{x(t)} = 0$$

$$I_x \quad v \quad I_t$$

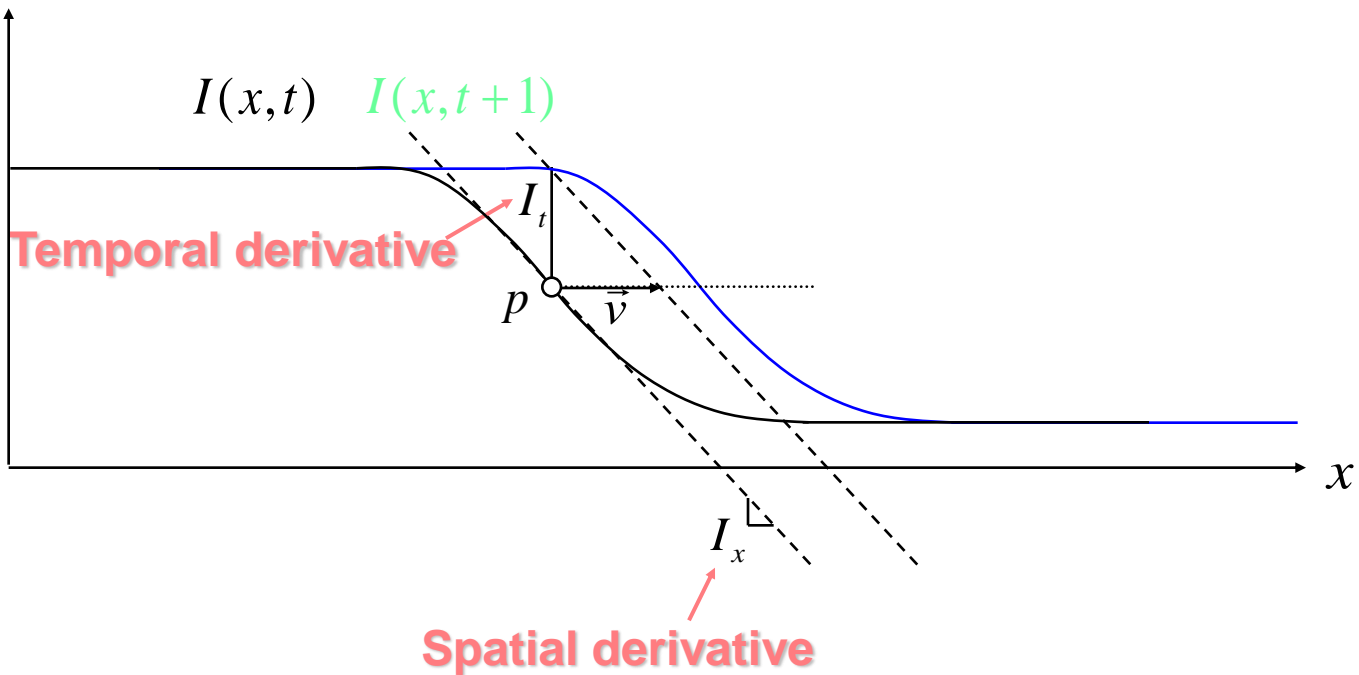
$$\Rightarrow v = -\frac{I_t}{I_x}$$



# Tracking in the 1D case:



# Tracking in the 1D case:



$$I_x = \left. \frac{\partial I}{\partial x} \right|_t$$

$$I_t = \left. \frac{\partial I}{\partial t} \right|_{x=p}$$



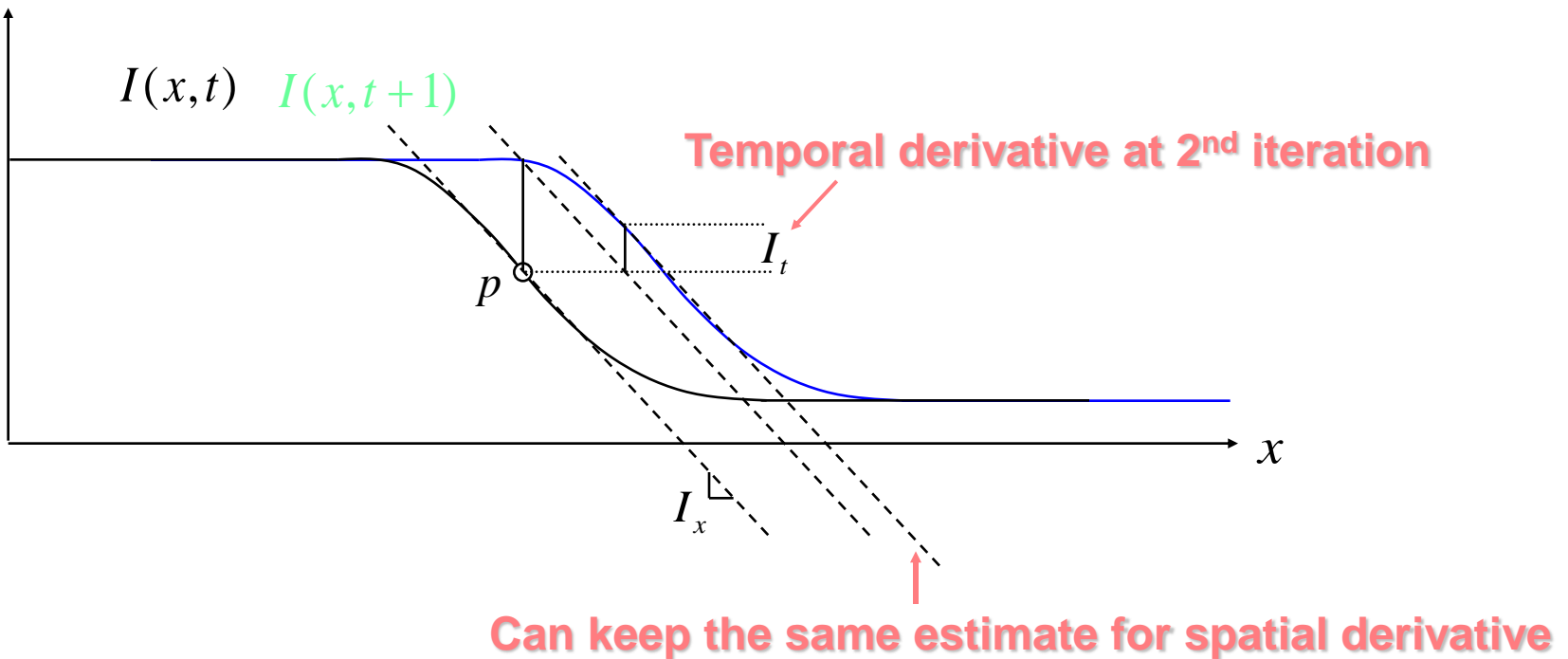
$$\vec{v} \approx -\frac{I_t}{I_x}$$

**Assumptions:**

- Brightness constancy
- Small motion

# Tracking in the 1D case:

Iterating helps refining the velocity vector




$$\vec{v} \leftarrow \vec{v}_{previous} - \frac{I_t}{I_x}$$

Converges in about 5 iterations

# From 1D to 2D tracking

$$1\text{D: } \frac{\partial I}{\partial x} \Big|_t \left( \frac{\partial x}{\partial t} \right) + \frac{\partial I}{\partial t} \Big|_{x(t)} = 0$$

$$2\text{D: } \frac{\partial I}{\partial x} \Big|_t \left( \frac{\partial x}{\partial t} \right) + \frac{\partial I}{\partial y} \Big|_t \left( \frac{\partial y}{\partial t} \right) + \frac{\partial I}{\partial t} \Big|_{x(t)} = 0$$

$$\frac{\partial I}{\partial x} \Big|_t u + \frac{\partial I}{\partial y} \Big|_t v + \frac{\partial I}{\partial t} \Big|_{x(t)} = 0$$


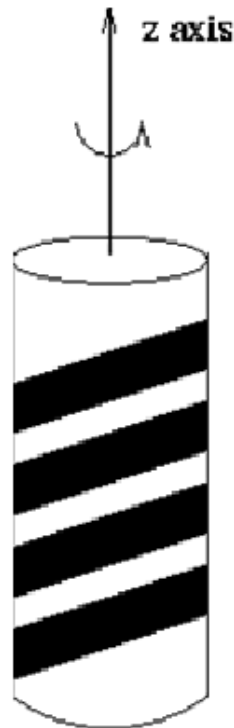
Shoot! One equation, two velocity  $(u, v)$  unknowns...

# Optical Flow vs. Motion: Aperture Problem

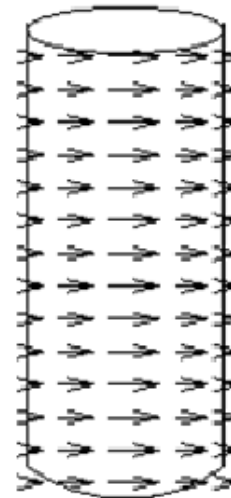
Barber shop pole:

<http://www.youtube.com/watch?v=VmqQs613SbE>

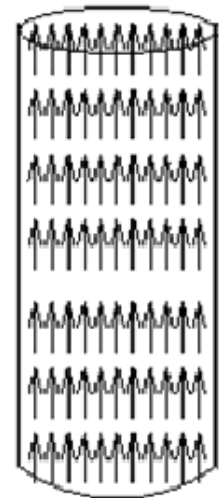
## Barber pole illusion



Barber's pole



Motion field



Optical flow

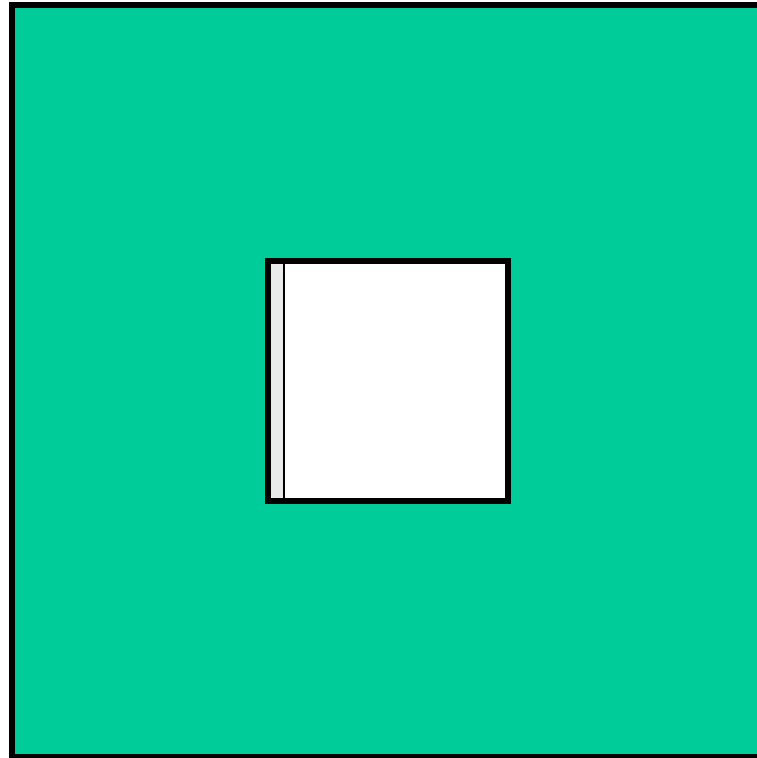


# Optical Flow

- Brightness Constancy
- **The Aperture problem**
- Regularization
- Lucas-Kanade
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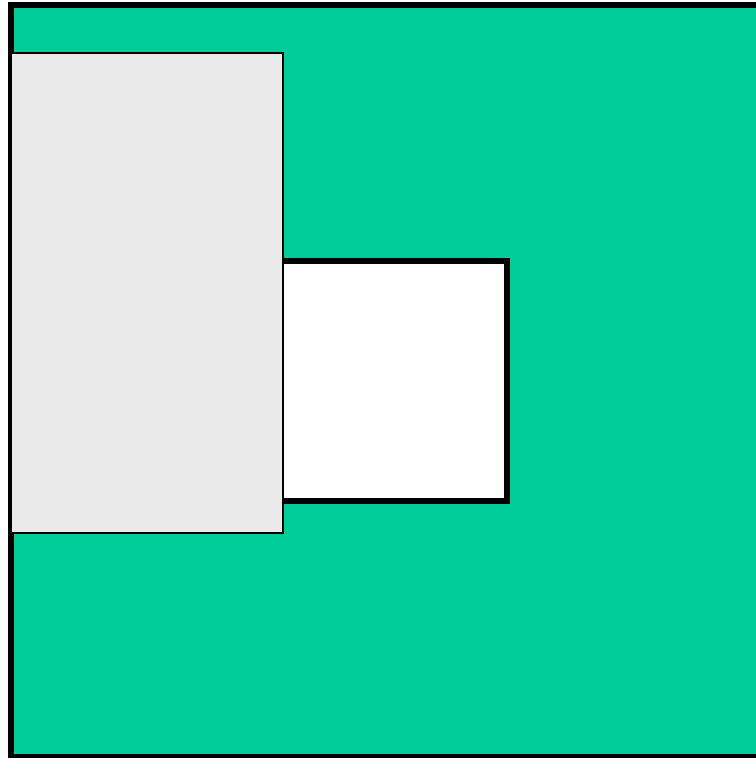


# How does this show up visually? Known as the “Aperture Problem”





# Aperture Problem Exposed



Motion along just an edge is ambiguous

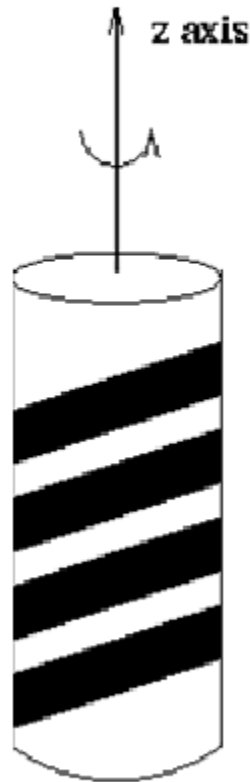
Gary Bradski & Sebastian Thrun, Stanford CS223

<http://robots.stanford.edu/cs223b/index.html>

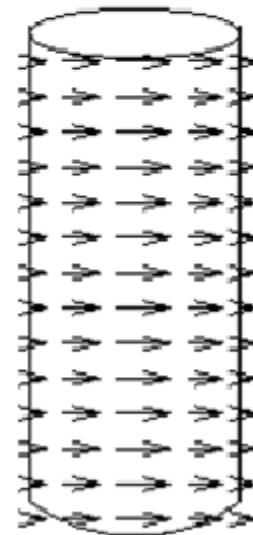
# Aperture Problem in Real Life

## Aperture Problem

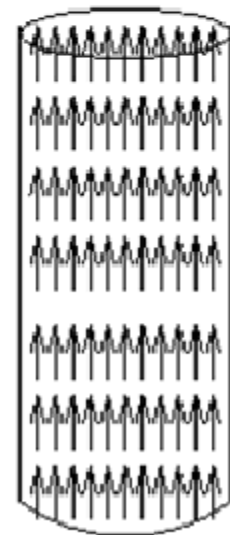
### Barber pole illusion



Barber's pole



Motion field



Optical flow

# Normal Flow

## Notation

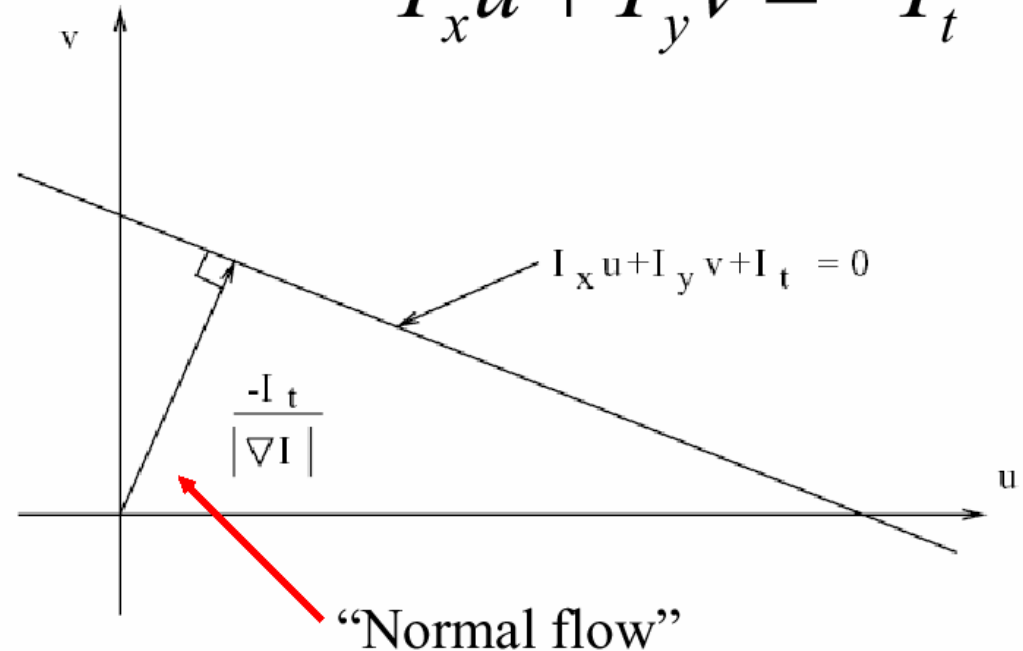
$$I_x u + I_y v + I_t = 0$$

$$\nabla I^T \mathbf{u} = -I_t$$

$$\mathbf{u} = \begin{bmatrix} u \\ v \end{bmatrix} \quad \nabla I = \begin{bmatrix} I_x \\ I_y \end{bmatrix}$$

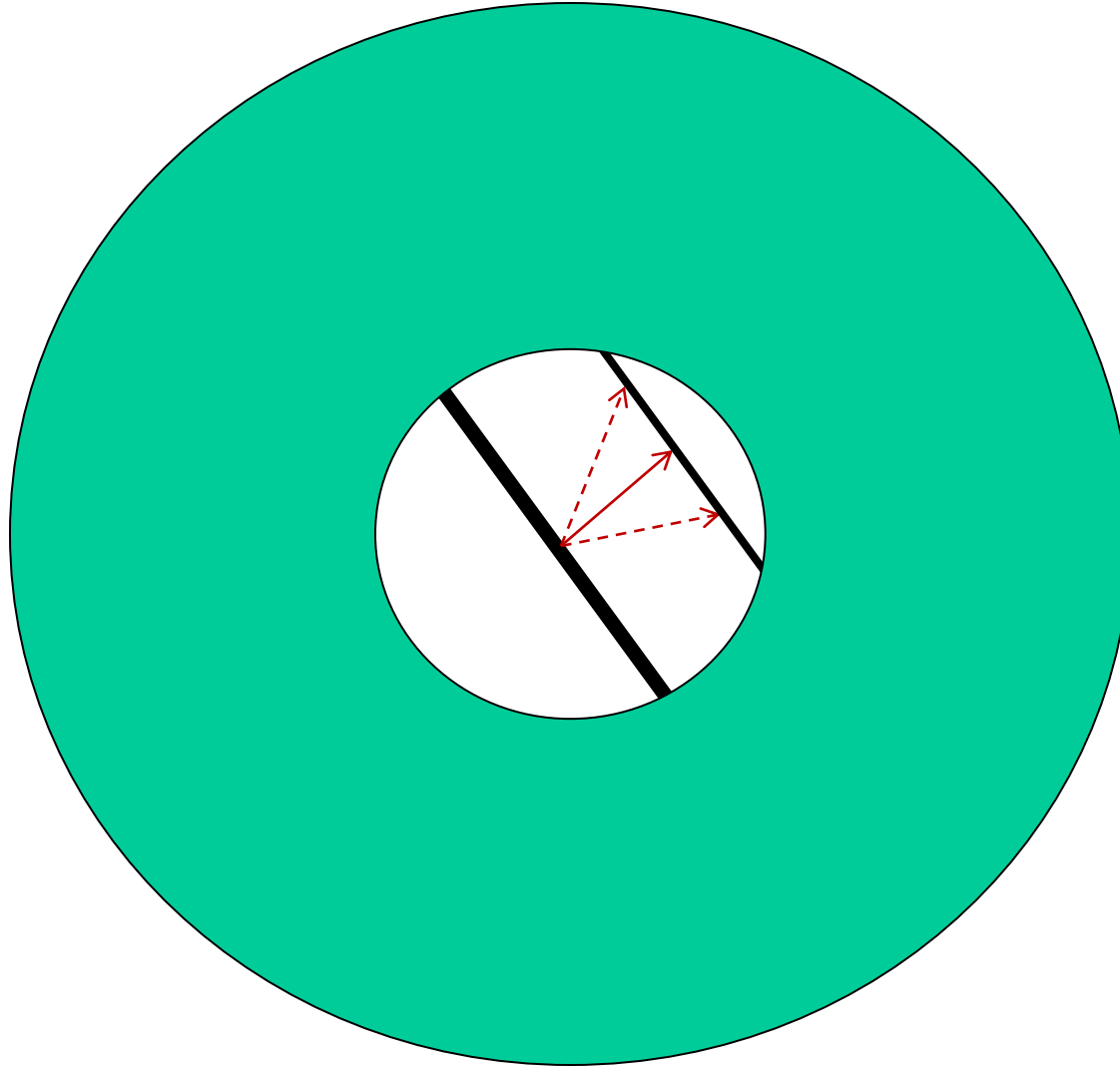
At a single image pixel, we get a line:

$$I_x u + I_y v = -I_t$$



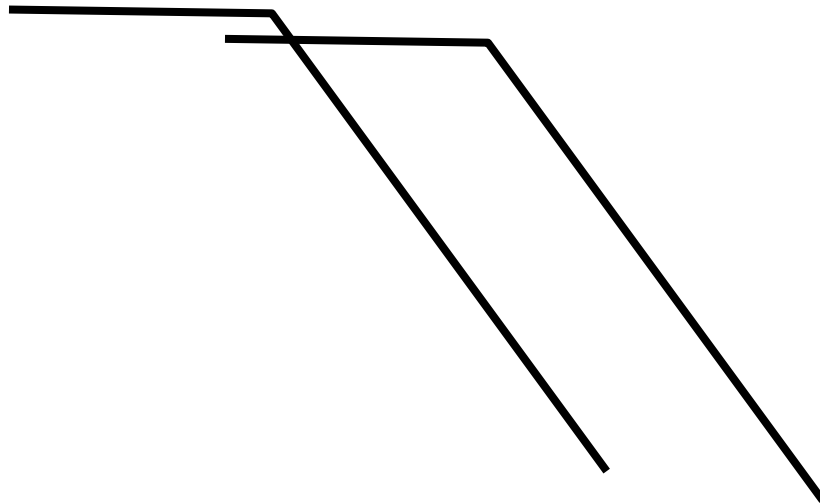
We get at most “Normal Flow” – with one point we can only detect movement perpendicular to the brightness gradient. Solution is to take a patch of pixels Around the pixel of interest.

# Aperture Problem



# Aperture Problem

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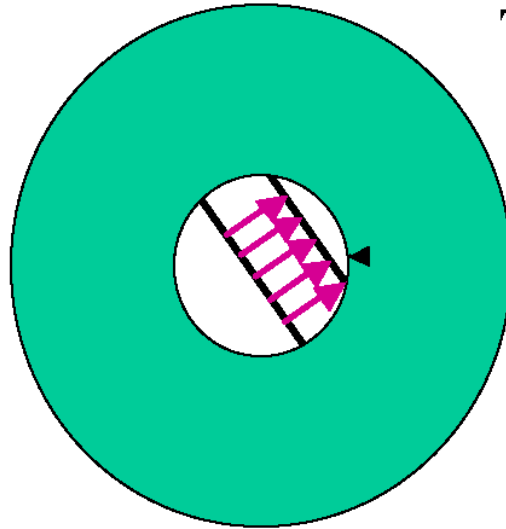


# Aperture Problem and Normal Flow

The gradient constraint:

$$I_x u + I_y v + I_t = 0$$

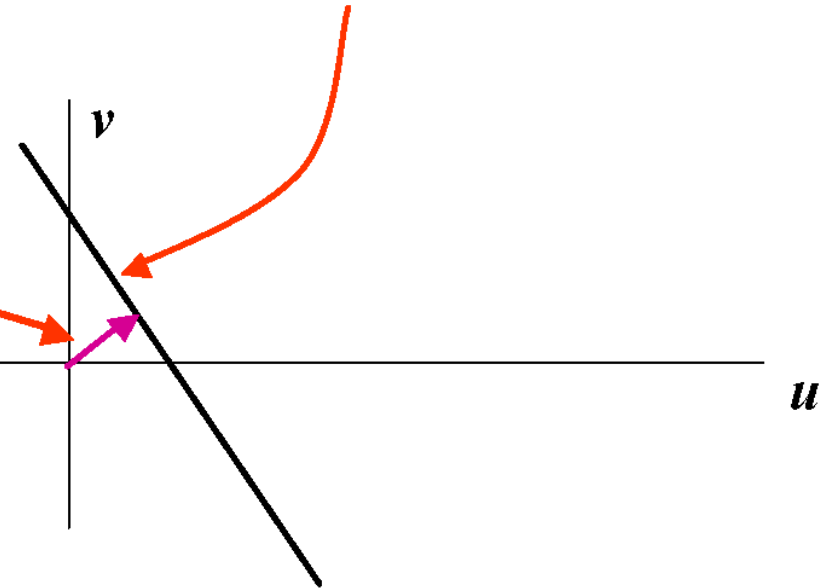
$$\nabla I \bullet \vec{U} = 0$$



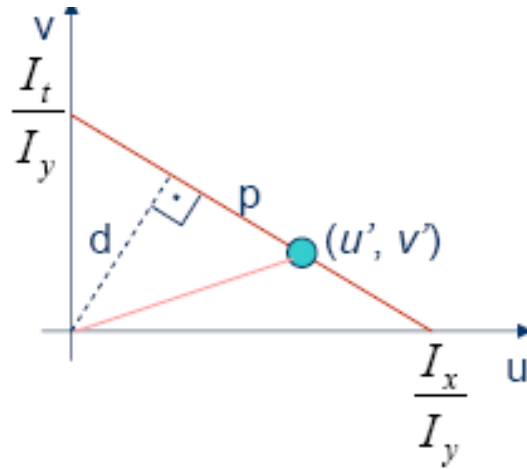
Defines a line in the  $(u, v)$  space

Normal Flow:

$$u_{\perp} = -\frac{I_t}{|\nabla I|} \frac{\nabla I}{|\nabla I|}$$



# Aperture Problem and Normal Flow



$$v = u \frac{I_x}{I_y} + \frac{I_t}{I_y}$$

- Let  $(u', v')$  be true flow
- True flow has two components
  - Normal flow:  $d$
  - Parallel flow:  $p$
- Normal flow **can be** computed
- Parallel flow **cannot**

# Computing True Flow

- Horn & Schunck
- Schunck
- Lukas and Kanade





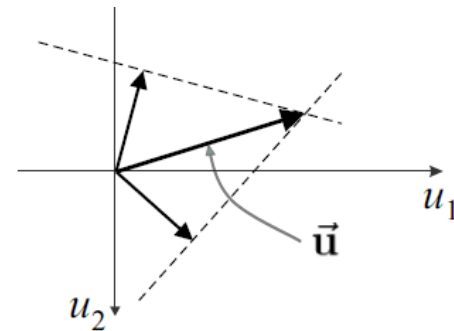
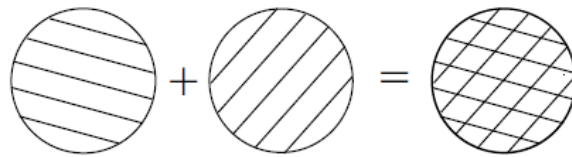
# Possible Solution: Neighbors

Two adjacent pixels which are part of the same rigid object:

- we can calculate normal flows  $\mathbf{v}_{n1}$  and  $\mathbf{v}_{n2}$
- Two OF equations for 2 parameters of flow:  $\bar{\mathbf{v}} = \begin{pmatrix} v \\ u \end{pmatrix}$

$$\nabla I_1 \cdot \bar{\mathbf{v}} - I_{t1} = 0$$

$$\nabla I_2 \cdot \bar{\mathbf{v}} - I_{t2} = 0$$

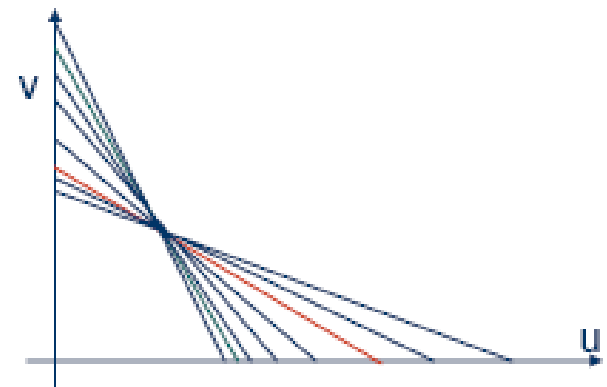
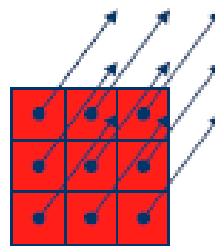
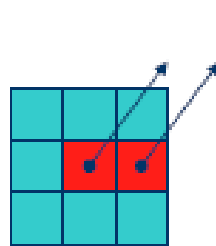


# Considering Neighbor Pixels

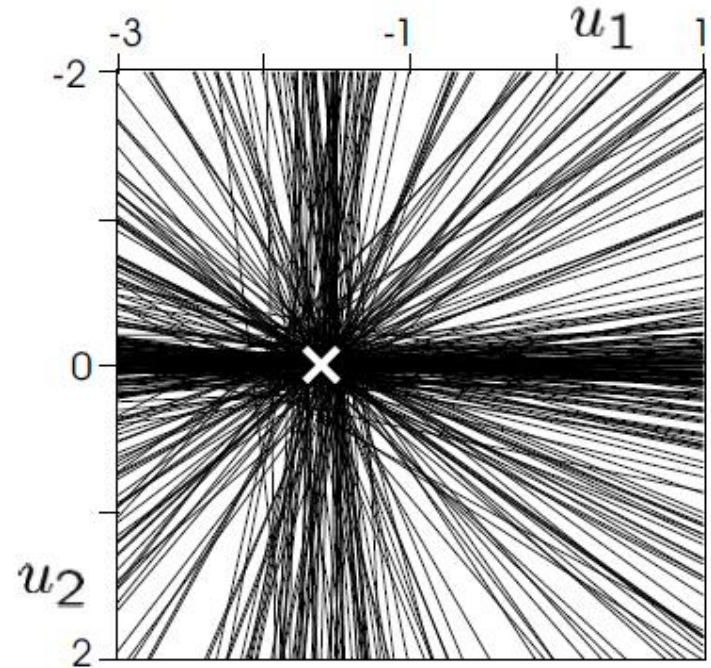


## Schunck

- If two neighboring pixels move with same velocity
  - Corresponding flow equations intersect at a point in  $(u,v)$  space
  - Find the intersection point of lines
  - If more than 1 intersection points find clusters
  - Biggest cluster is true flow



# Considering Neighbor Pixels



Cluster center provides velocity vector common for all pixels in patch.



# Optical Flow

- Brightness Constancy
- The Aperture problem
- **Regularization: Horn & Schunck**
- Lucas-Kanade
- Coarse-to-fine
- Parametric motion models
- Direct depth
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- Robust flow
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## Horn & Schunck algorithm

Horn and Schunck's approach — Regularization

Two terms are defined as follows:

- Departure from smoothness

$$e_s = \int \int_{\Omega} ((u_x^2 + u_y^2) + (v_x^2 + v_y^2)) dx dy$$

- Error in optical flow constraint equation

$$e_c = \int \int_{\Omega} (E_x u + E_y v + E_t)^2 dx dy$$

The formulation is to minimize the linear combination of  $e_s$  and  $e_c$ ,

$$e_s + \lambda e_c$$

where  $\lambda$  is a parameter.

*Note:* In this formulation,  $u$  and  $v$  are functions of  $x$  and  $y$ . Physically,  $u$  is the  $x$ -component of the motion, and  $v$  is the  $y$ -component of the motion.



# Horn & Schunck algorithm

$$\int_D (\nabla I \cdot \vec{v} + I_t)^2 + \lambda^2 \left[ \left( \frac{\partial v_x}{\partial x} \right)^2 + \left( \frac{\partial v_x}{\partial y} \right)^2 + \left( \frac{\partial v_y}{\partial x} \right)^2 + \left( \frac{\partial v_y}{\partial y} \right)^2 \right] dx dy$$

Additional smoothness constraint

(usually motion field varies smoothly in the image  
→ penalize departure from smoothness) :

$$e_s = \iint ((u_x^2 + u_y^2) + (v_x^2 + v_y^2)) dx dy,$$

OF constraint equation term

(formulate error in optical flow constraint) :

$$e_c = \iint (I_x u + I_y v + I_t)^2 dx dy,$$

minimize  $e_s + \lambda e_c$

# Horn & Schunck algorithm

Variational calculus: Pair of second order differential equations that can be solved iteratively.

- Define an energy function and minimize

$$E(x, y) = (uI_x + vI_y + I_t)^2 + \lambda \overbrace{(u_x^2 + u_y^2 + v_x^2 + v_y^2)}^f$$

- Differentiate w.r.t. unknowns  $u$  and  $v$

$$\frac{\partial E}{\partial u} = 2I_x(uI_x + vI_y + I_t) + \frac{\partial f}{\partial u} \quad \frac{\partial f}{\partial u} = \frac{\partial}{\partial u} \frac{\partial u}{\partial x} + \frac{\partial}{\partial u} \frac{\partial u}{\partial y} = 2(u_{xx} + u_{yy})$$

↓  
laplacian of  $u$

$$\frac{\partial E}{\partial v} = 2I_y(uI_x + vI_y + I_t) + 2(v_{xx} + v_{yy})$$

↓  
laplacian of  $v$



# Horn & Schunck algorithm



$$I_x(uI_x + vI_y + I_t) + \Delta^2 u = 0$$

$$I_y(uI_x + vI_y + I_t) + \Delta^2 v = 0$$

- Laplacian controls smoothness of optical flow
  - A particular choice can be  $\Delta^2 u = u - u_{avg}$ ,  $\Delta^2 v = v - v_{avg}$ .
- Rearranging equations

$$u(\lambda + I_x^2) + vI_xI_y + I_xI_t - \lambda u_{avg} = 0$$

$$v(\lambda + I_y^2) + uI_xI_y + I_yI_t - \lambda v_{avg} = 0$$

- 2 equations 2 unknowns
- Write v in terms of u
- Plug it in the other equation

$$u = u_{avg} - I_x \left( \frac{I_x u_{avg} + I_y v_{avg} + I_t}{I_x^2 + I_y^2 + \lambda} \right) \quad v = v_{avg} - I_y \left( \frac{I_x u_{avg} + I_y v_{avg} + I_t}{I_x^2 + I_y^2 + \lambda} \right)$$

- Iteratively compute u and v
  - Assume initially u and v are 0
  - Compute  $u_{avg}$  and  $v_{avg}$  in a neighborhood

# Horn & Schunck



The Euler-Lagrange equations :

$$F_u - \frac{\partial}{\partial x} F_{u_x} - \frac{\partial}{\partial y} F_{u_y} = 0$$

$$F_v - \frac{\partial}{\partial x} F_{v_x} - \frac{\partial}{\partial y} F_{v_y} = 0$$

In our case ,

$$F = (u_x^2 + u_y^2) + (v_x^2 + v_y^2) + \lambda(I_x u + I_y v + I_t)^2,$$

so the Euler-Lagrange equations are

$$\Delta u = \lambda(I_x u + I_y v + I_t)I_x,$$

$$\Delta v = \lambda(I_x u + I_y v + I_t)I_y,$$

$$\Delta = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \quad \text{is the Laplacian operator}$$

# Horn & Schunck

Remarks :

1. Coupled PDEs solved using iterative methods and finite differences

$$\frac{\partial u}{\partial t} = \Delta u - \lambda(I_x u + I_y v + I_t)I_x,$$

$$\frac{\partial v}{\partial t} = \Delta v - \lambda(I_x u + I_y v + I_t)I_y,$$

2. More than two frames allow a better estimation of  $I_t$
3. Information spreads from corner-type patterns



# Discrete Optical Flow Algorithm

Consider image pixel  $(i, j)$

- Departure from Smoothness Constraint:

$$s_{ij} = \frac{1}{4} [(u_{i+1,j} - u_{i,j})^2 + (u_{i,j+1} - u_{i,j})^2 + (v_{i+1,j} - v_{i,j})^2 + (v_{i,j+1} - v_{i,j})^2]$$

- Error in Optical Flow constraint equation:

$$c_{ij} = (E_x^{ij} u_{ij} + E_y^{ij} v_{ij} + E_t^{ij})^2$$

- We seek the set  $\{u_{ij}\}$  &  $\{v_{ij}\}$  that minimize:

$$e = \sum_i \sum_j (s_{ij} + \lambda c_{ij})$$

NOTE:  $\{u_{ij}\}$  &  $\{v_{ij}\}$  show up in more than one term



# Discrete Optical Flow Algorithm

- Differentiating  $e$  w.r.t  $v_{kl}$  &  $u_{kl}$  and setting to zero:

$$\frac{\partial e}{\partial u_{kl}} = 2(u_{kl} - \overline{u_{kl}}) + 2\lambda (E_x^{kl} u_{kl} + E_y^{kl} v_{kl} + E_t^{kl}) E_x^{kl} = 0$$

$$\frac{\partial e}{\partial v_{kl}} = 2(v_{kl} - \overline{v_{kl}}) + 2\lambda (E_x^{kl} u_{kl} + E_y^{kl} v_{kl} + E_t^{kl}) E_y^{kl} = 0$$

- $\overline{v_{kl}}$  &  $\overline{u_{kl}}$  are averages of  $(u, v)$  around pixel  $(k, l)$

Update Rule:

$$u_{kl}^{n+1} = \overline{u_{kl}^n} - \frac{E_x^{kl} \overline{u_{kl}^n} + E_y^{kl} \overline{v_{kl}^n} + E_t^{kl}}{1 + \lambda [(E_x^{kl})^2 + (E_y^{kl})^2]} E_x^{kl}$$

$$v_{kl}^{n+1} = \overline{v_{kl}^n} - \frac{E_x^{kl} \overline{u_{kl}^n} + E_y^{kl} \overline{v_{kl}^n} + E_t^{kl}}{1 + \lambda [(E_x^{kl})^2 + (E_y^{kl})^2]} E_y^{kl}$$

# Horn-Schunck Algorithm : Discrete Case



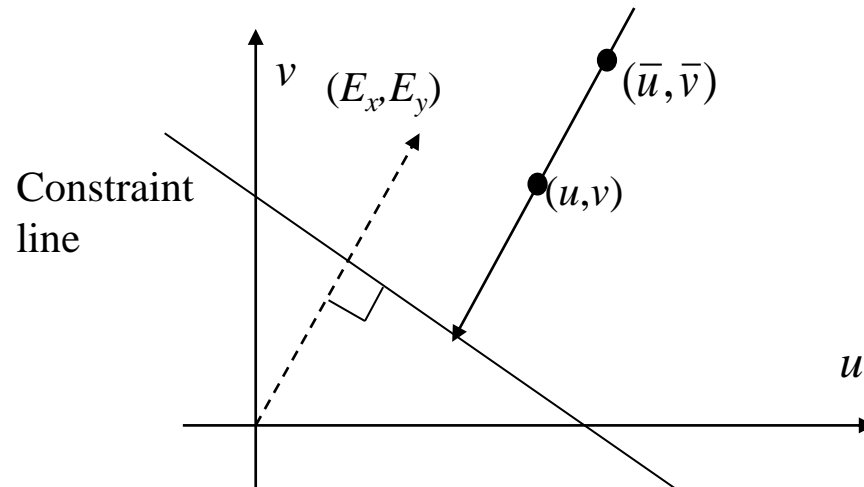
- Derivatives (and error functionals) are approximated by difference operators
- Leads to an iterative solution:

$$\begin{aligned}u_{ij}^{n+1} &= \bar{u}_{ij}^n - \alpha E_x & \alpha &= \frac{E_x \bar{u}_{ij}^n + E_y \bar{v}_{ij}^n + E_t}{1 + \lambda(E_x^2 + E_y^2)} \\v_{ij}^{n+1} &= \bar{v}_{ij}^n - \alpha E_y\end{aligned}$$

$\bar{u}, \bar{v}$  is the average of values of neighbors



# Intuition of the Iterative Scheme



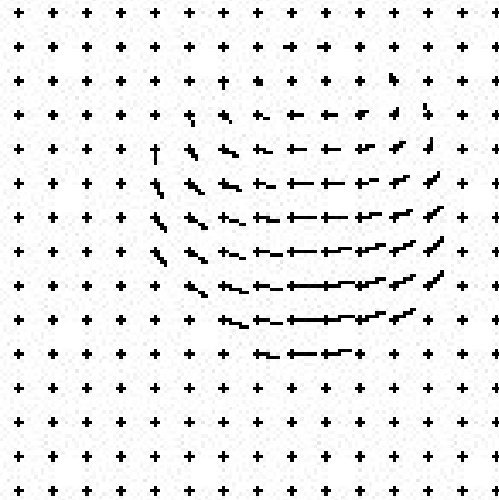
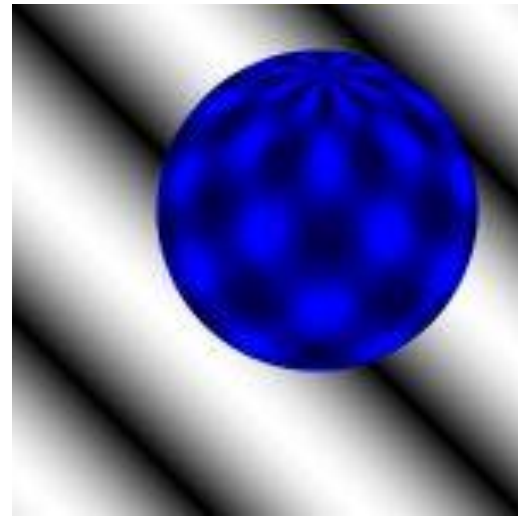
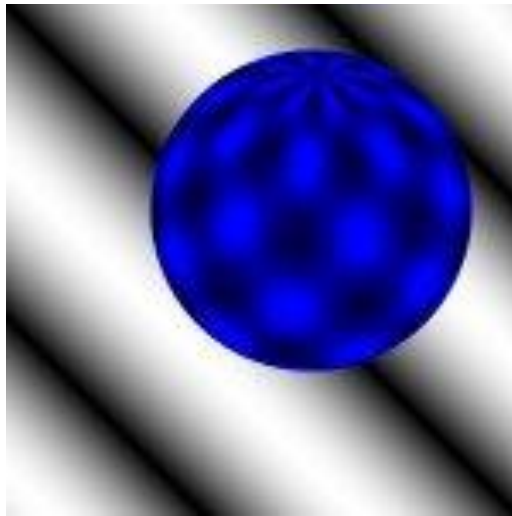
The new value of  $(u, v)$  at a point is equal to the average of surrounding values minus an adjustment in the direction of the brightness gradient

# Horn - Schunck Algorithm



```
begin
  for j:= 1 to N do for i:= 1 to M do begin
    calculate the values  $E_x(i, j, t)$ ,  $E_y(i, j, t)$ , and  $E_t(i, j, t)$  using
    a selected approximation formula;
    { special cases for image points at the image border
      have to be taken into account }
    initialize the values  $u(i, j)$  and  $v(i, j)$  with zero
  end {for};
  choose a suitable weighting value  $\lambda$ ; { e.g.  $\lambda = 10$  }
  choose a suitable number  $n_0 \geq 1$  of iterations; {  $n_0 = 8$  }
  n := 1; { iteration counter }
  while n  $\leq$   $n_0$  do begin
    for j:= 1 to N do for i:= 1 to M do begin
       $\bar{u} := \frac{1}{4}(u(i-1, j) + u(i+1, j) + u(i, j-1) + u(i, j+1))$ ;
       $\bar{v} := \frac{1}{4}(v(i-1, j) + v(i+1, j) + v(i, j-1) + v(i, j+1))$ ;
      { treat image points at the image border separately }
       $\alpha := \frac{E_x(i, j, t)\bar{u} + E_y(i, j, t)\bar{v} + E_t(i, j, t)}{1 + \lambda(E_x^2(i, j, t) + E_y^2(i, j, t))} \cdot \lambda$  ;
       $u(i, j) := \bar{u} - \alpha \cdot E_x(i, j, t)$  ;  $v(i, j) := \bar{v} - \alpha \cdot E_y(i, j, t)$ 
    end {for};
    n := n + 1
  end {while}
end;
```

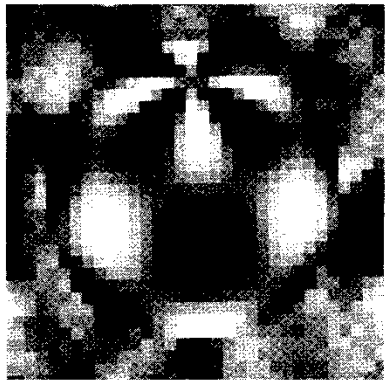
# Example



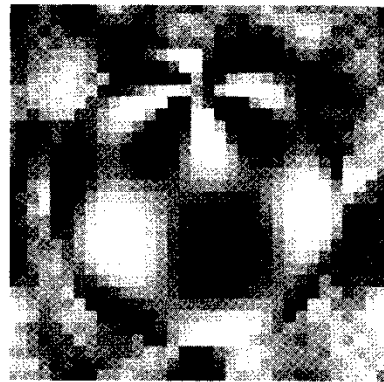
<http://of-eval.sourceforge.net/>



# Results



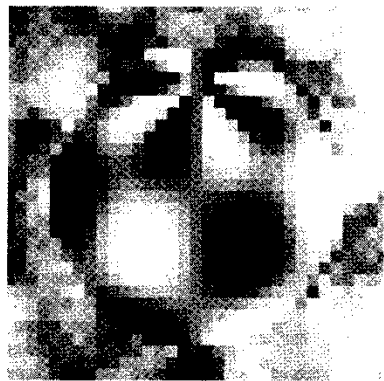
(a)



(b)

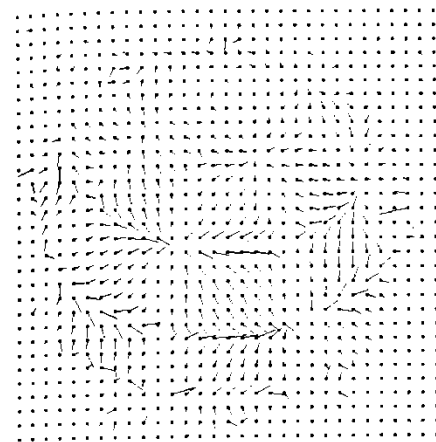


(c)

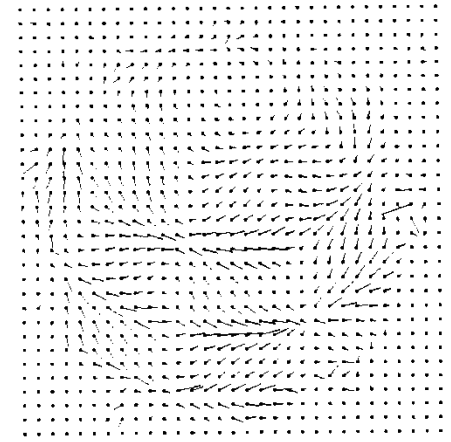


(d)

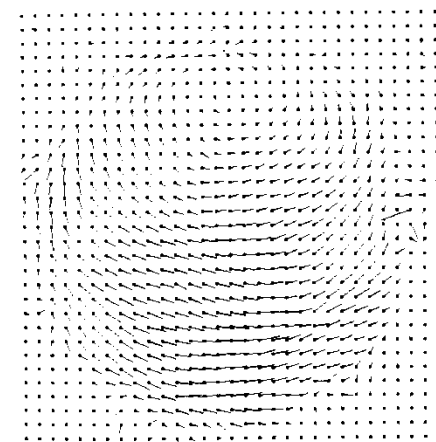
**Figure 12-8.** Four frames of a synthetic image sequence showing a sphere slowly rotating in front of a randomly patterned background.



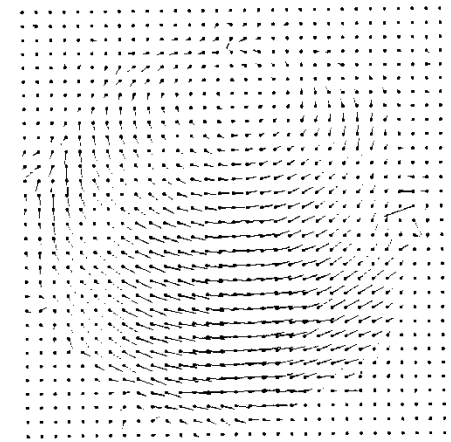
(a)



(b)



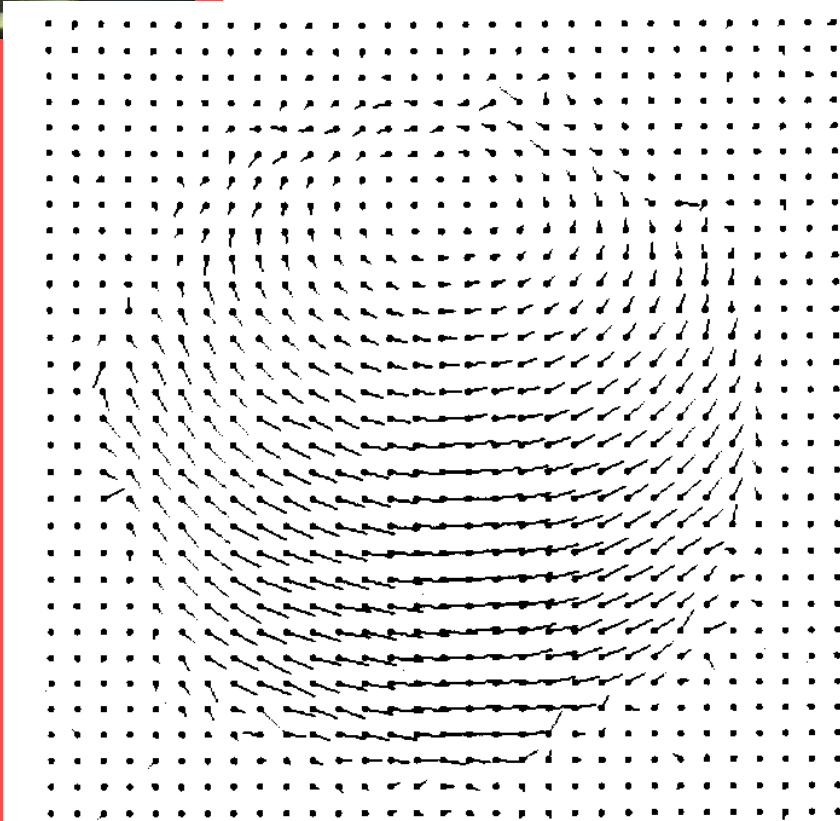
(c)



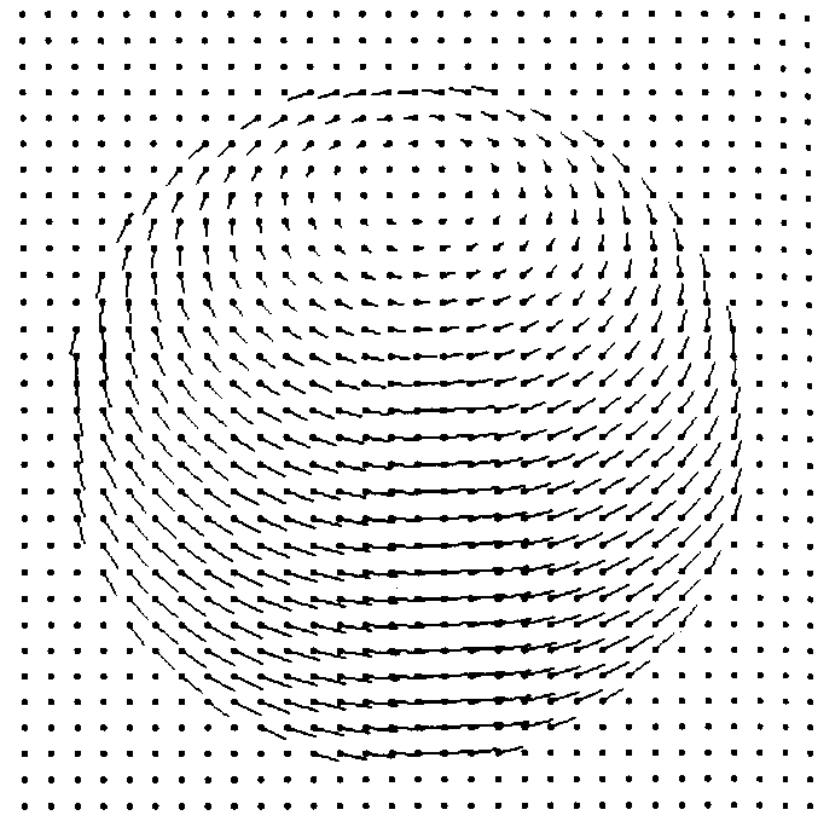
(d)

**Figure 12-9.** Estimates of the optical flow shown in the form of needle diagrams after 1, 4, 16, and 64 iterations of the algorithm.

# Results



(a)

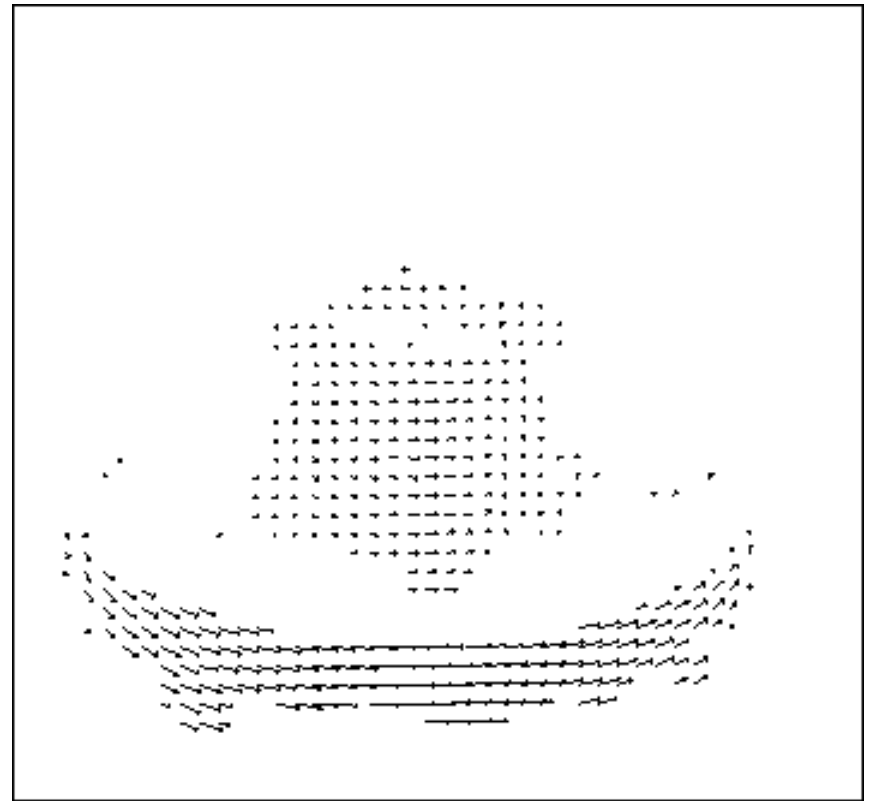
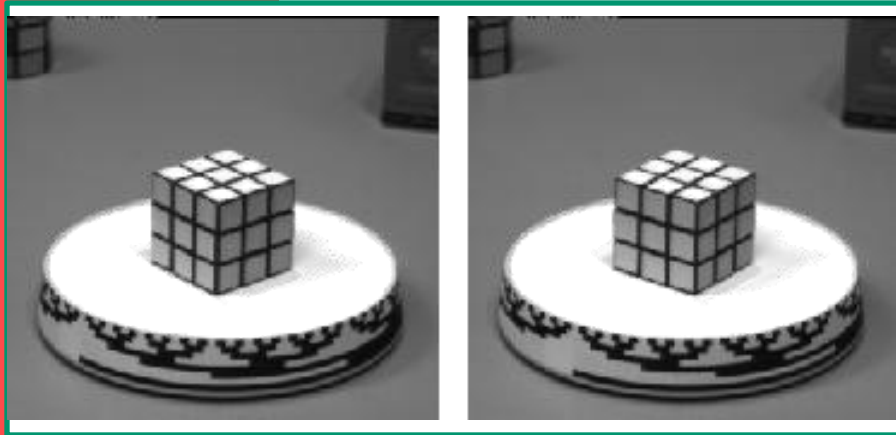


(b)

**Figure 12-10.** (a) The estimated optical flow after several more iterations. (b) The computed motion field.

# Optical Flow Result

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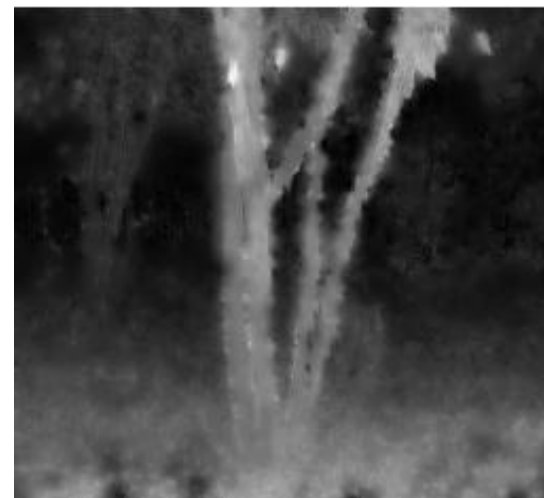
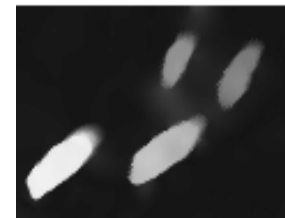
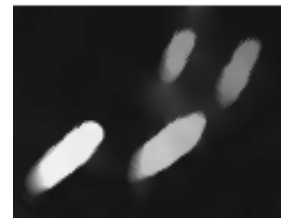




## Horn & Schunck, remarks

1. Errors at boundaries
2. Example of *regularisation*  
(selection principle for the solution of illposed problems)

# Results of an enhanced system







# Results

<http://www-student.informatik.uni-bonn.de/~gerdes/OpticalFlow/index.html>



Differenzbild (pixelweise)



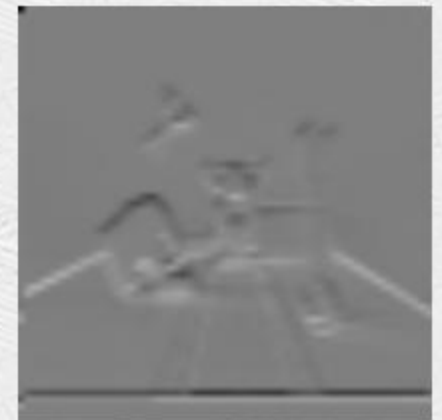
Gradient  $E_t$  (in 2x2x2 Block)



PAPER lambda=0.001 #Iterationen: 1



Gradient  $E_x$  (in 2x2x2 Block)

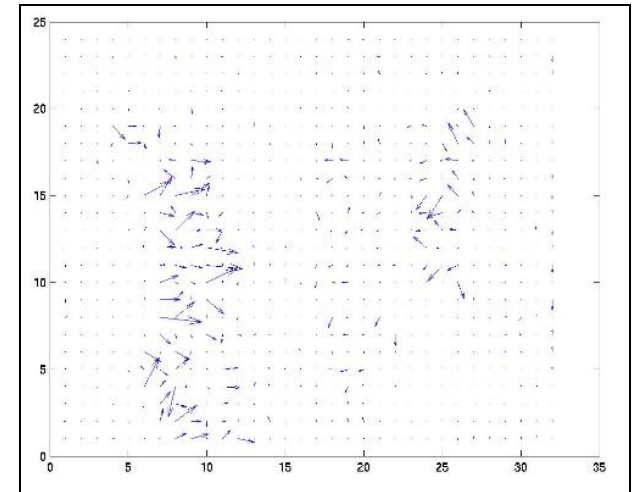
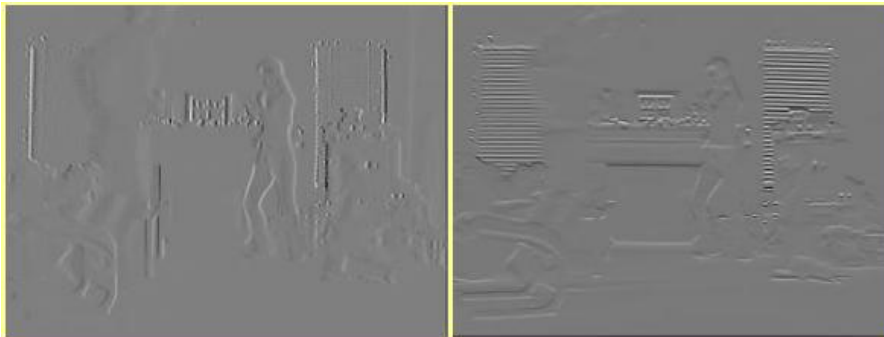


Gradient  $E_y$  (in 2x2x2 Block)



# Results

<http://www.cs.utexas.edu/users/jmugan/GraphicsProject/OpticalFlow/>





# Optical Flow

- Brightness Constancy
- The Aperture problem
- Regularization
- **Lucas-Kanade**
- Coarse-to-fine
- Parametric motion models
- Direct depth
- SSD tracking
- Robust flow
- Bayesian flow

